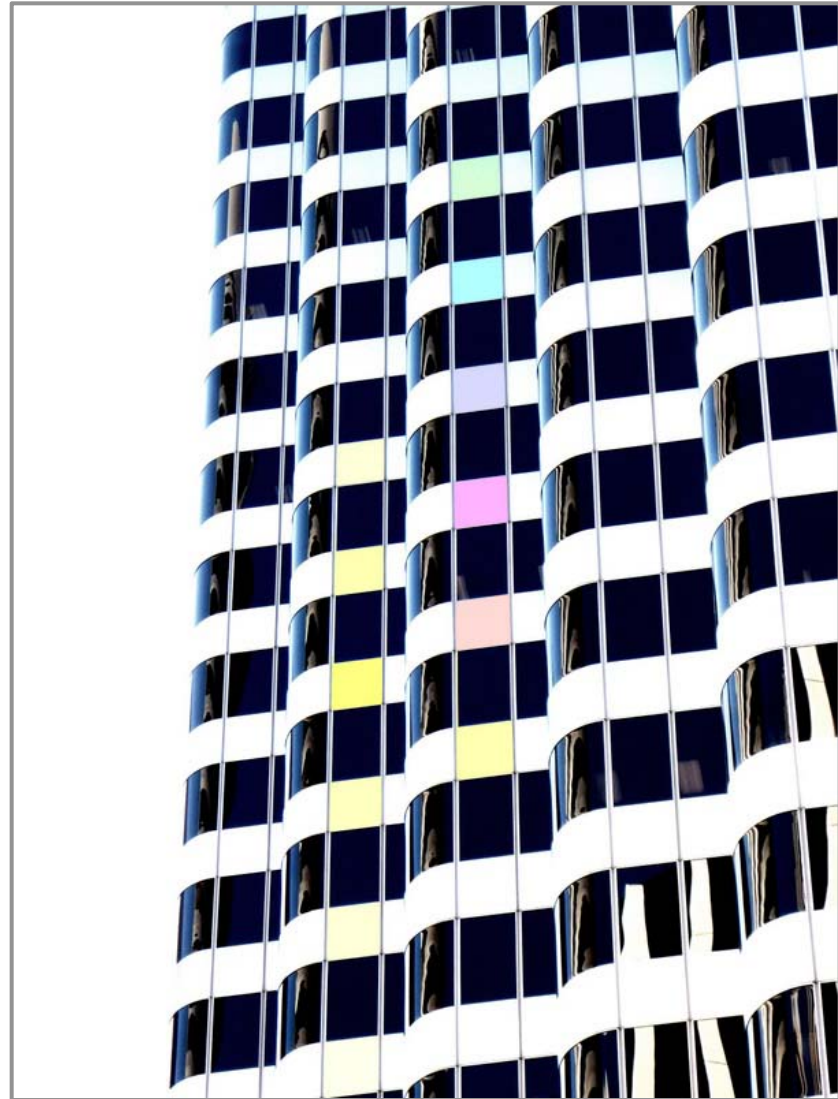


# Human Genome Annotation

Mark B Gerstein  
Yale

Slides at  
**Lectures.GersteinLab.org**

(See Last Slide for References  
& More Info.)



# GersteinLab.org Research

## Overview: Bioinformatics

- **Genome Annotation**

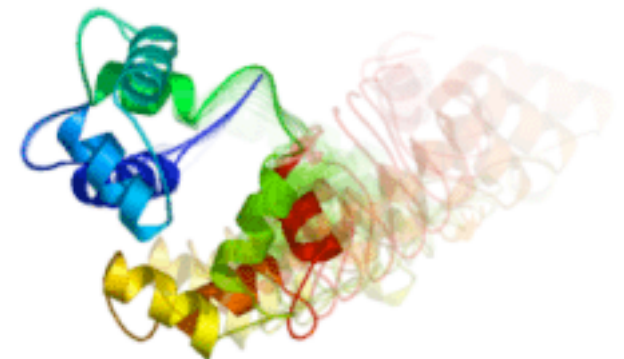
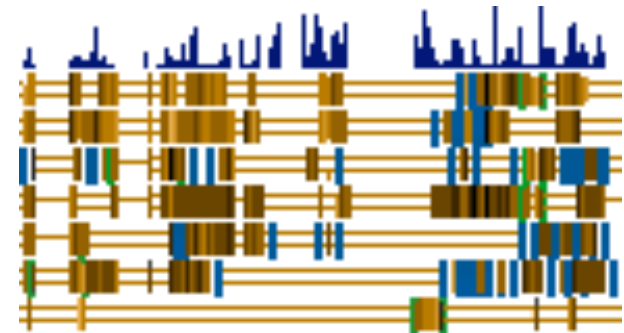
- ◇ Characterizing the function of non-coding regions of the genome, focusing on protein fossils and novel RNAs (Pseudogene.org + GenomeTech.GersteinLab.org)

- **Molecular Networks**

- ◇ Using molecular networks to integrate & mine functional genomics information and describe gene function on a large-scale (Networks.GersteinLab.org)

- **Macromolecular Motions**

- ◇ Analyzing select populations of 3D-structures in detail, trying to understand their flexibility in terms of packing (MolMovDB.org)







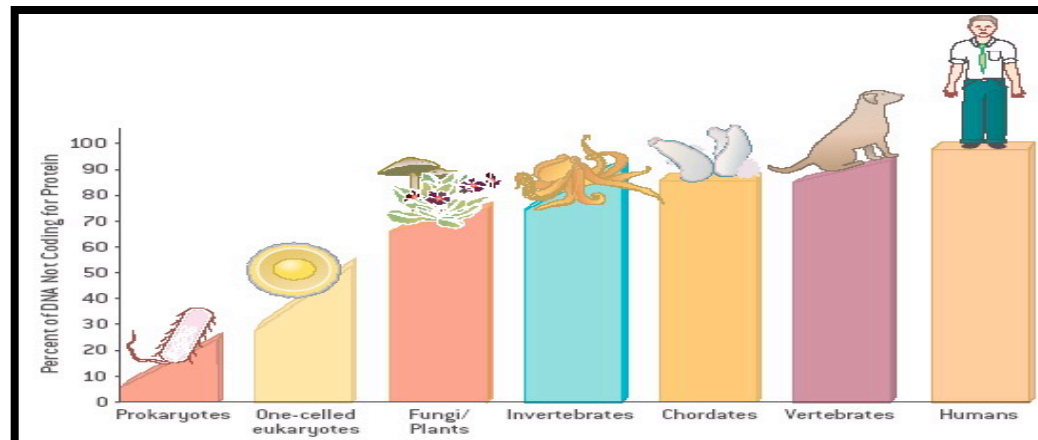
2001: Most of the genome is not coding (only ~1.2% exon).

[IHGSC, *Nature* 409, 2001]

[Venter et al. *Science* 29, 2001]



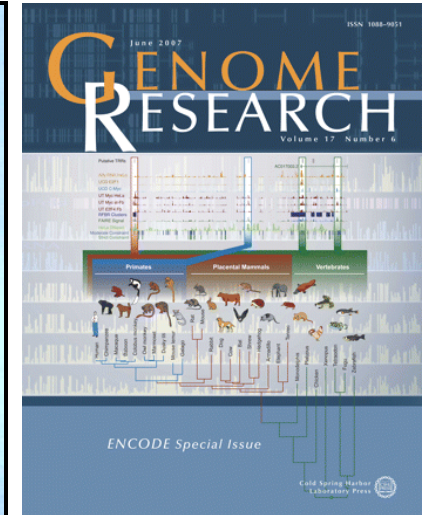
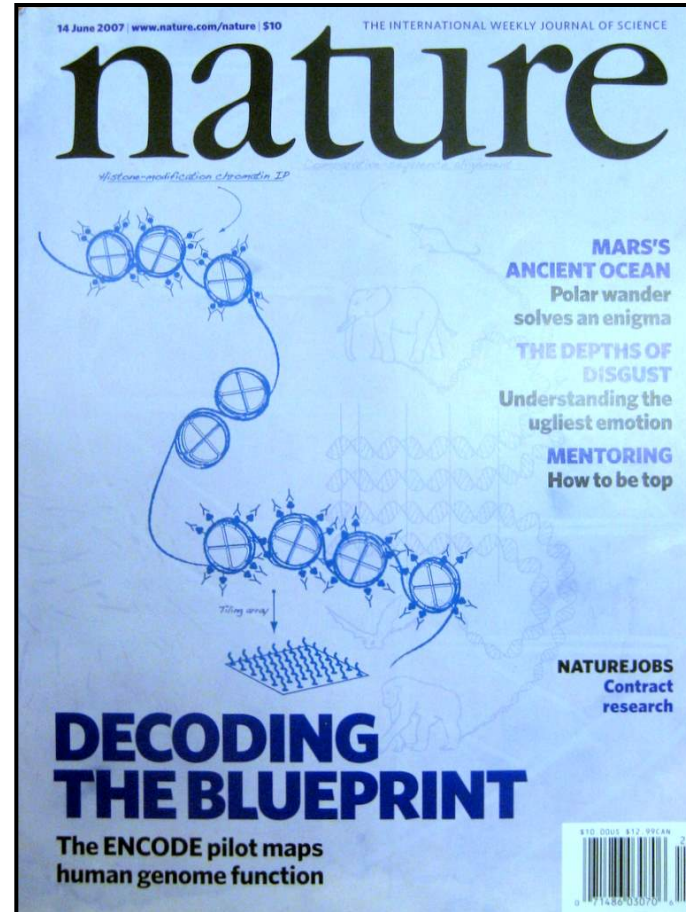
2001



**Humans have a comparatively large non-coding fraction of their genome**

[IHGSC, *Nature* 409, 2001]  
[enter et al. *Science* 29, 2001]





2007 : Pilot results from ENCODE Consortium on decoding what the bases do

[IHGSC, *Nature* 409, 2001]

[ENCODE Consortium, *Nature* 447, 2007]





# Different Views of the Function of Junk DNA

[NY Times, 26-Jun-07]

**ESSAY**

## Human DNA, the Ultimate Spot for Secret Messages (Are Some There Now?)

By DENNIS OVERBYE

In Douglas Adams's science fiction classic, "The Hitchhiker's Guide to the Galaxy," there is a character by the name of Slartibartfast, who designed the fjords of Norway and left his signature in a glacier.

I was reminded of Slartibartfast recently as I was trying to grasp the implications of the feat of a team of Japanese geneticists who announced that they had taught relativity to a bacterium, sort of.

Using the same code that computer keyboards use, the Japanese group, led by Masaru Tomita of Keio University, wrote four copies of Albert Einstein's famous formula,  $E=mc^2$ , along with "1905," the date that the young Einstein derived it, into the bacterium's genome, the 400-million-long string of A's, G's, T's and C's that determine everything the little bug is and everything it's ever going to be.

The point was not to celebrate Einstein. The feat, they said in a paper published in the journal *Biotechnology Progress*, was a demonstration of DNA as the ultimate information storage material, able to withstand floods, terrorism, time and the changing fashions in technology, not to mention the ability to be imprinted with little unobtrusive trademark labels — little "Made by Monsanto" tags, say.

In so doing they have accomplished at least a part of the dream that Jaron Lanier, a computer scientist and musician, and David Sulzer, a biologist at Columbia, enunciated in 1999. To create the ultimate time capsule as part of the millennium festivities at this newspaper, they proposed to encode a year's worth of the New York Times magazine into the junk DNA of a cockroach. "The archival cockroach will be a robust repository," Mr. Lanier wrote, "able to survive almost all conceivable scenarios."

If cockroaches can be archives, why not us? The human genome, for example, consists of some 2.9 billion of those letters — the equivalent of about 750 megabytes of data — but only about 3 percent of it goes into composing the 22,000 or so genes that make us what we are.

The remaining 97 percent, so-called junk DNA, looks like gibberish. It's the dark matter of inner space. We don't know what it is saying to or about us, but within that sea of megabytes there is plenty of room for the imagination to roam, for trademark labels and much more. The King James Bible, to pick one obvious example, only amounts to about five megabytes.

Inevitably, if you are me, you begin to wonder if there is already something written in the warm wet archive, whether or not some Slartibartfast has already been here and we ourselves are walking around with little trademark tags or more wriggling and squiggling and folded inside us. Gill Bejerano, a geneticist at the University of California, Santa Cruz, who mentioned Slartibartfast to me, pointed out that the problem with raising this question is that people who look will see messages in the genome even if they aren't there — the way people have claimed in recent years to have found secret codes in the Bible.

Nevertheless, no less a personage than Francis Crick, the co-discoverer of the double helix, writing with the chemist Leslie Orgel, now at the Salk Institute in San Diego, suggested in 1973 that the primitive Earth was infected with DNA broadcast through space by an alien species.

As a result, it has been suggested that the search for extraterrestrial intelligence, or SETI, should look inward as well as outward. In an article in *New Scientist*, Paul Davies, a cosmologist at Arizona State University,

change, and have remained identical in humans, rats, mice, chickens and dogs for at least 300 million years.

But Dr. Bejerano, one of the discoverers of these "ultraconserved" strings of the genome, said that many of them had turned out to be playing important command and control functions.

"Why they need to be so conserved remains a mystery," he said, noting that even regular genes that do something undergo more change over time. Most junk bits of DNA that neither help nor annoy an organism mutate even more rapidly.

The Japanese team proposed to sidestep the mutation problem by inserting redundant copies of their message into the genome. By comparing the readouts, they said, they would be able to recover Einstein's formula even when up to 15 percent of the original letters in the string had changed, or mutated. "This is the major point of our work," Nozomu Yachie said in an e-mail.

"So might ET have inserted a message into the genome of the near teardrop-shaped, intelligent de- creases the time it takes the DNA to be read out. It is the relentless shifting and mutating, the prob- after all, that generates the raw material for evolution. The discovery's true power lies in the fact that some sections of junk DNA seem to be markedly resistant to

Using the same code that computer keyboards use, the Japanese group... wrote four copies of Albert Einstein's famous formula,  $E=mc^2$ ... into the bacterium's genome... In so doing they have accomplished at least a part of the dream that Jaron Lanier, a computer scientist and musician, and David Sulzer, a biologist at Columbia, enunciated in 1999. To create the ultimate time capsule as part of the millennium festivities at this newspaper, they proposed to encode a year's worth of the New York Times magazine into the junk DNA of a cockroach. "The archival cockroach will be a robust repository," Mr. Lanier wrote, "able to survive almost all conceivable scenarios."



Jimmy Turner

If a bacterium can be encoded with  $E=mc^2$ , if cockroaches can be archives, why not us?

Using the same code that computer keyboards use, the Japanese group... wrote four copies of Albert Einstein's famous formula,  $E=mc^2$ ... into the bacterium's genome... In so doing they have accomplished at least a part of the dream that Jaron Lanier, a computer scientist and musician, and David Sulzer, a biologist at Columbia, enunciated in 1999. To create the ultimate time capsule as part of the millennium festivities at this newspaper, they proposed to encode a year's worth of the New York Times magazine into the junk DNA of a cockroach. "The archival cockroach will be a robust repository," Mr. Lanier wrote, "able to survive almost all conceivable scenarios."





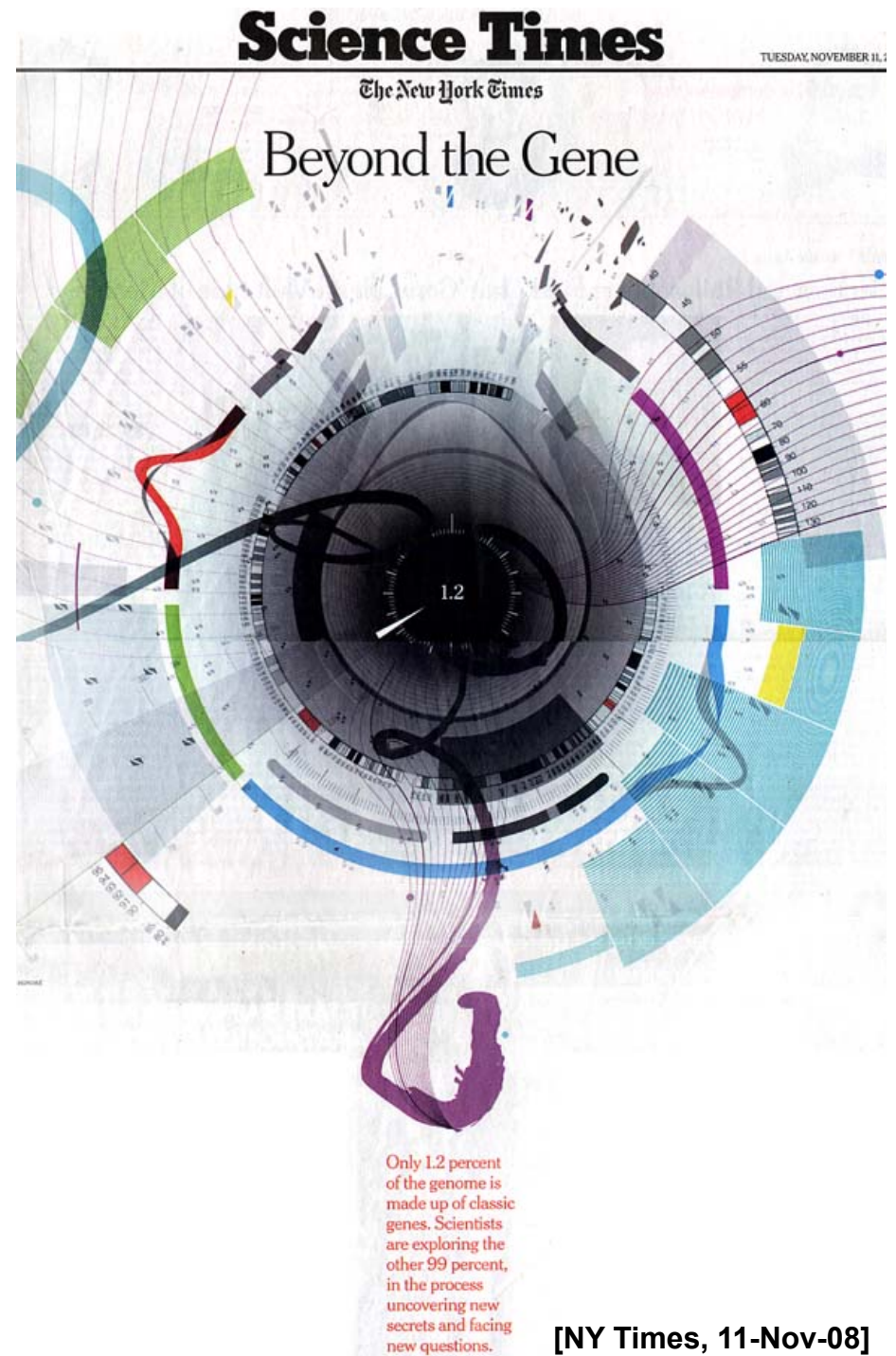


# Significance of the “dark matter of the genome”

- Pervasive Activity
  - Encode pilot
- Association with Disease
  - Noncoding regions identified correlations with human diseases (GWAS)
- History
  - Historical record of genome, molecular clock
- **Personal Genomics**
  - Importance multiplied by future need to interpret millions of personal genomes

## References

<http://www.nature.com/nature/journal/v461/n7261/full/nature08451.html>  
<http://linkinghub.elsevier.com/retrieve/pii/S0002929707625403>  
<http://www.springerlink.com/content/c3816334655h7844/>  
<http://www.sciencemag.org/cgi/content/abstract/1138341v1>  
<http://www.nature.com/nature/journal/v430/n7000/full/nature02697.html>  
<http://www.ncbi.nlm.nih.gov/pubmed/7769622?dopt=Citation>  
<http://www.springerlink.com/content/c8ptualwqby9pxr2/>



## How might we annotate a human text?

Color is Function

Lines are Similarity

[B Hayes, Am. Sci. (Jul.- Aug. '06)]

# The Semicolon Wars

Brian Hayes

IF YOU WANT TO BE a thorough-going world traveler, you need to learn 6,912 ways to say "Where is the toilet, please?" That's the number of languages known to be spoken by the peoples of planet Earth, according to Ethnologue.com.

If you want to be the complete polyglot programmer, you also have quite a challenge ahead of you, learning all the ways to say:

```
printf("hello, world\n");
```

(This one is in C.) A catalog maintained by Bill Kinnersley of the University of Kansas lists about 2,500 programming languages. Another survey, compiled by Diarmuid Piggott, puts the total even higher, at more than 8,500. And keep in mind that whereas human languages have had millennia to evolve and diversify, all the computer languages have sprung up in just 50 years. Even by the more-conservative standards of the Kinnersley count, that means we've been inventing one language a week, on average, ever since Fortran.

For ethnologists, linguistic diversity is a cultural resource to be nurtured and preserved, much like biodiversity.

*Every programmer knows there is one true programming language. A new one every week*

a good-enough notation—for expressing an algorithm or defining a data structure.

There are programmers of my acquaintance who will dispute that last statement. I expect to hear from them. They will argue—zealously, ardently, vehemently—that we have indeed found the right programming language, and for me to claim otherwise is willful ignorance. The one true language may not yet be perfect, they'll concede, but it's built on a sound foundation and solves the main problems, and now we should all work together to refine and improve it. The catch, of course, is that each of these friends will

cide which end of a boiled egg to crack. This famous tempest in an egg cup was replayed 250 years later by designers of computer hardware and communications protocols. When a block of data is stored or transmitted, either the least-significant bit or the most-significant bit can go first. Which way is better? It hardly matters, although life would be easier if everyone made the same choice. But that's *not* what has happened, and so quite a lot of hardware and software is needed just to swap ends at boundaries between systems.

This modern echo of Swift's Endian wars was first pointed out by Danny Cohen of the University of Southern California in a brilliant 1980 memo, "On holy wars and a plea for peace." The memo, subsequently published in *Computer*, was widely read and admired; the plea for peace was ignored.

Another feud—largely forgotten, I think, but never settled by truce or treaty—focused on the semicolon. In Algol and Pascal, program statements have to be separated by semicolons. For example, in `x:=0; y:=x+1; z:=2` the semicolons tell the compiler where one statement ends and the next begins. C

# Overview of the Process of Annotation of non-coding Regions

- Basic Inputs

1. Comparative Genomics.

Doing large-scale similarity comparison, looking for repeated or deleted regions

2. Functional Genomics.

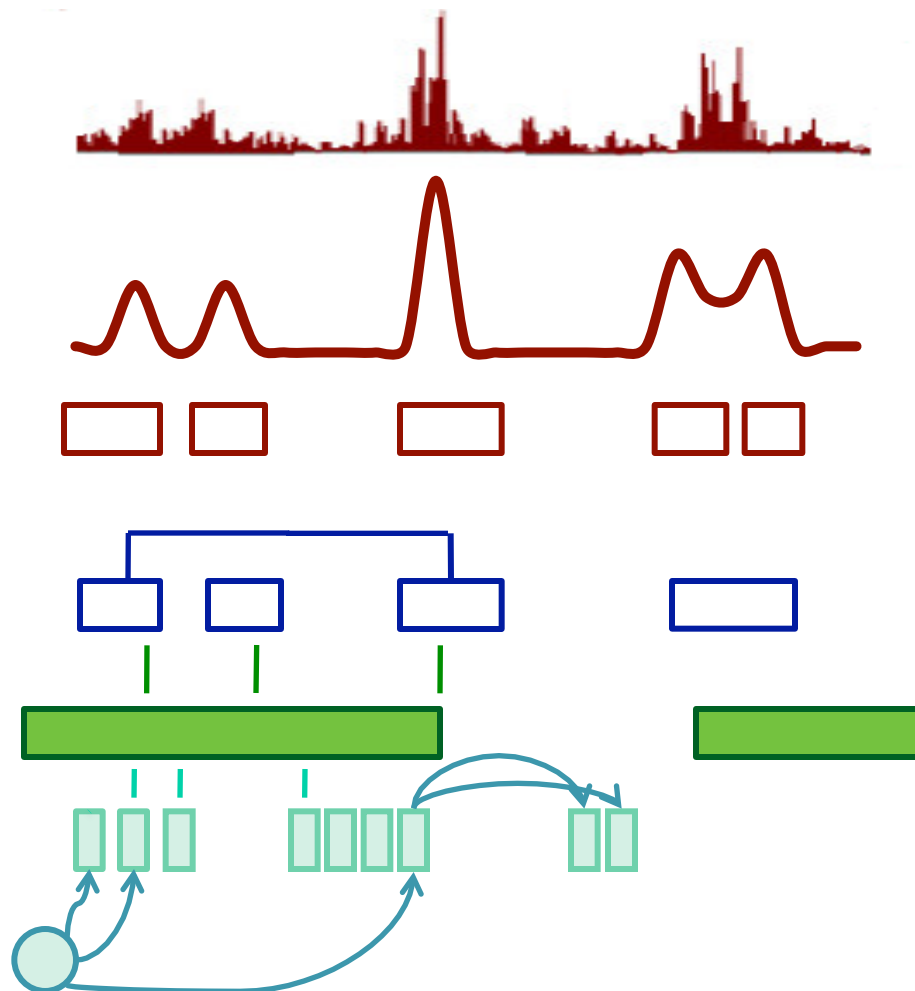
Determining experimental signals for activity (e.g. transcription) across each base of genome

- Comparative Genomics

Finding repeated or deleted blocks in the genome

1. As a function of similarity (i.e. age, perhaps using explicit models)
2. vs. other organisms, vs. human reference, or within the human population (synteny, SDs, and CNVs)
3. Big and small blocks (duplicated regions and retrotransposed repeats)
4. Creation of formal annotations (e.g. genes and pseudogenes)

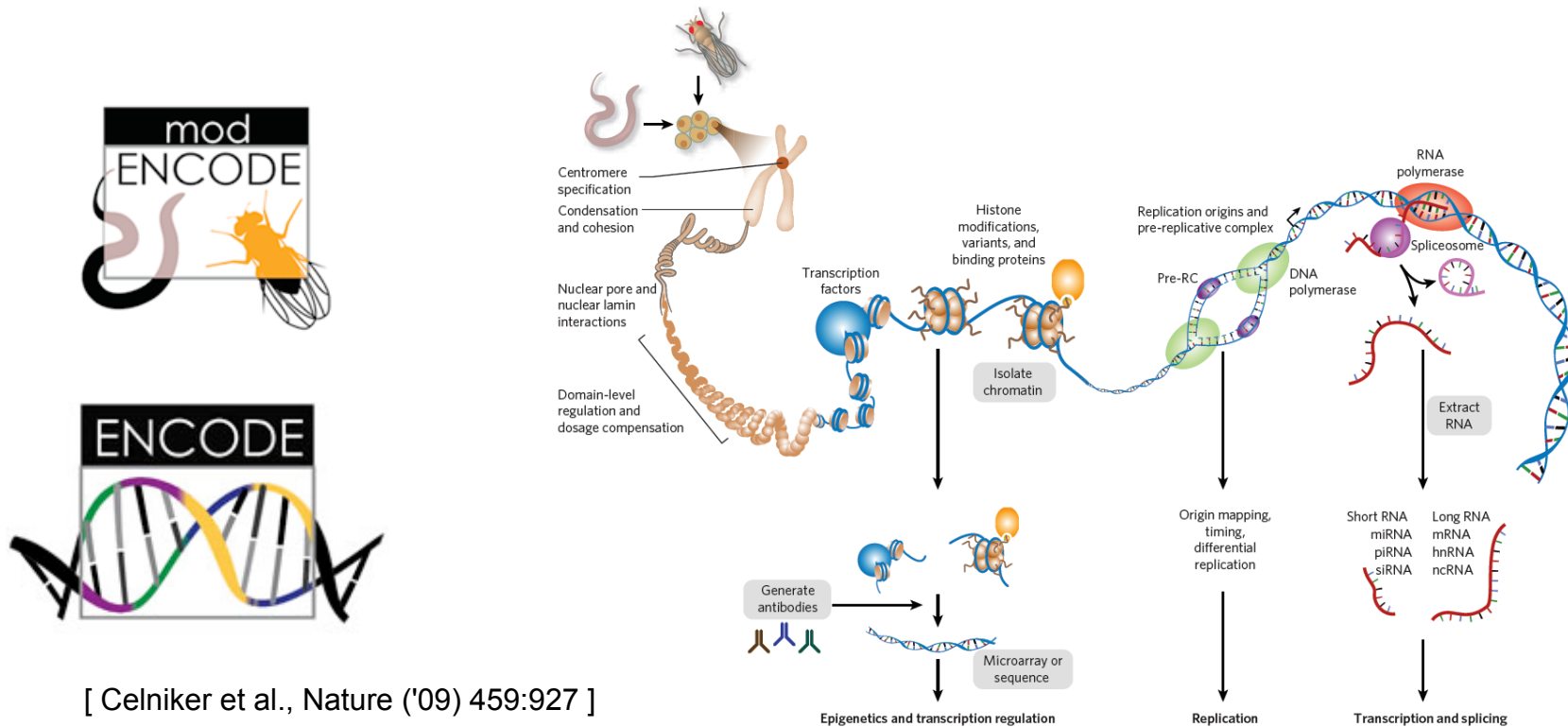
# Overview of Functional Genomics Annotation Process



- **Determining experimental signals for biochemical activity (e.g. transcription) across each base of genome**

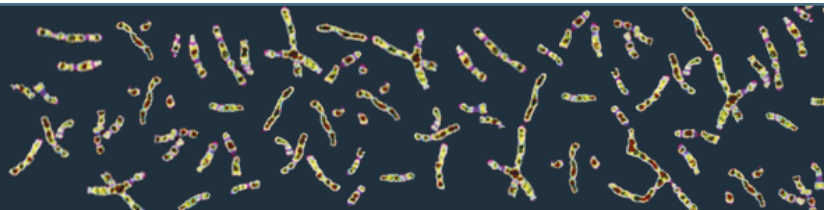
- **Development of Sequence (and Array) Technology**
  - Normalizing & Scoring Signal, Correcting Artifacts, Segmenting to create Small Annotation Blocks
- **Output of Production Pipelines and Surveying a Single Type of Annotation on a Large-scale**
  - Clustering Small Blocks into Larger Ones, Surveying
- **Integrated Analysis Connecting Different Types of Annotation**
  - Building networks and beyond

# ENCODE + modENCODE Consortia for functional annotation & 1KG Consortium for variable blocks in human population



**1000 Genomes**

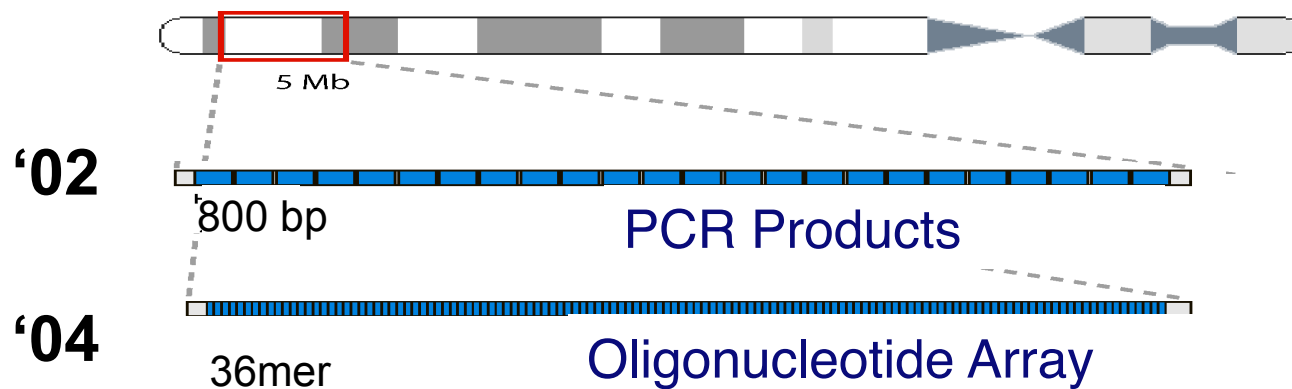
A Deep Catalog of Human Genetic Variation





# Technologies used for Interrogating the Human Genome, over the past 6 years: Reading out "active" or "tagged" regions

## Tiling Arrays



Application in a variety of contexts:

Transcription Mapping

DNA binding (inc. chromatin struc.)

Replication

Structural Variation

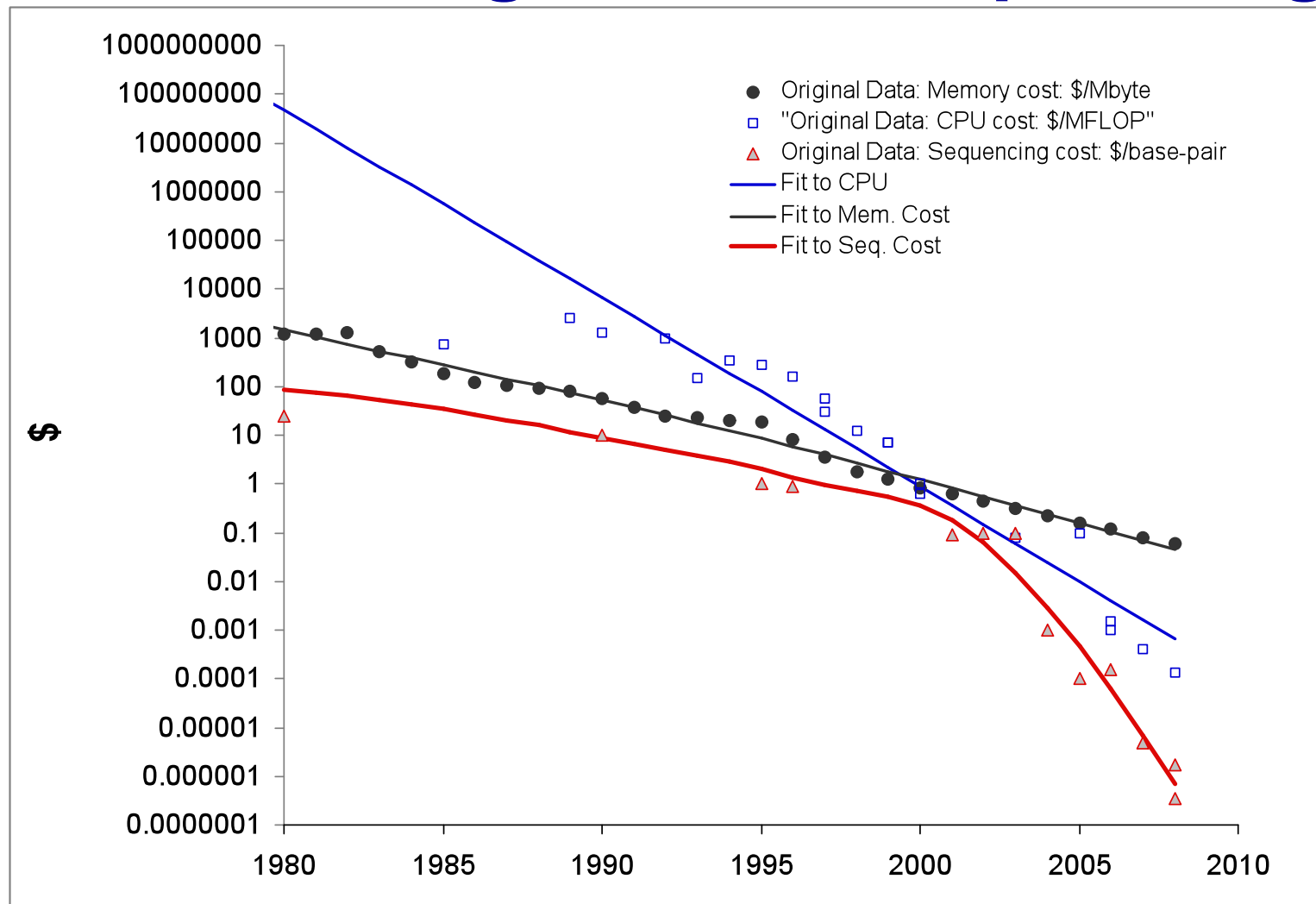
## Massively Parallel Sequencing

'06+



AGTTCACCTAAGA...  
CTTGAATGCCGAT...  
GTCATTCCGCAAT...

# Plummeting Cost of Sequencing



[Greenbaum et al., Am. J. Bioethics ('08)]

# Outline

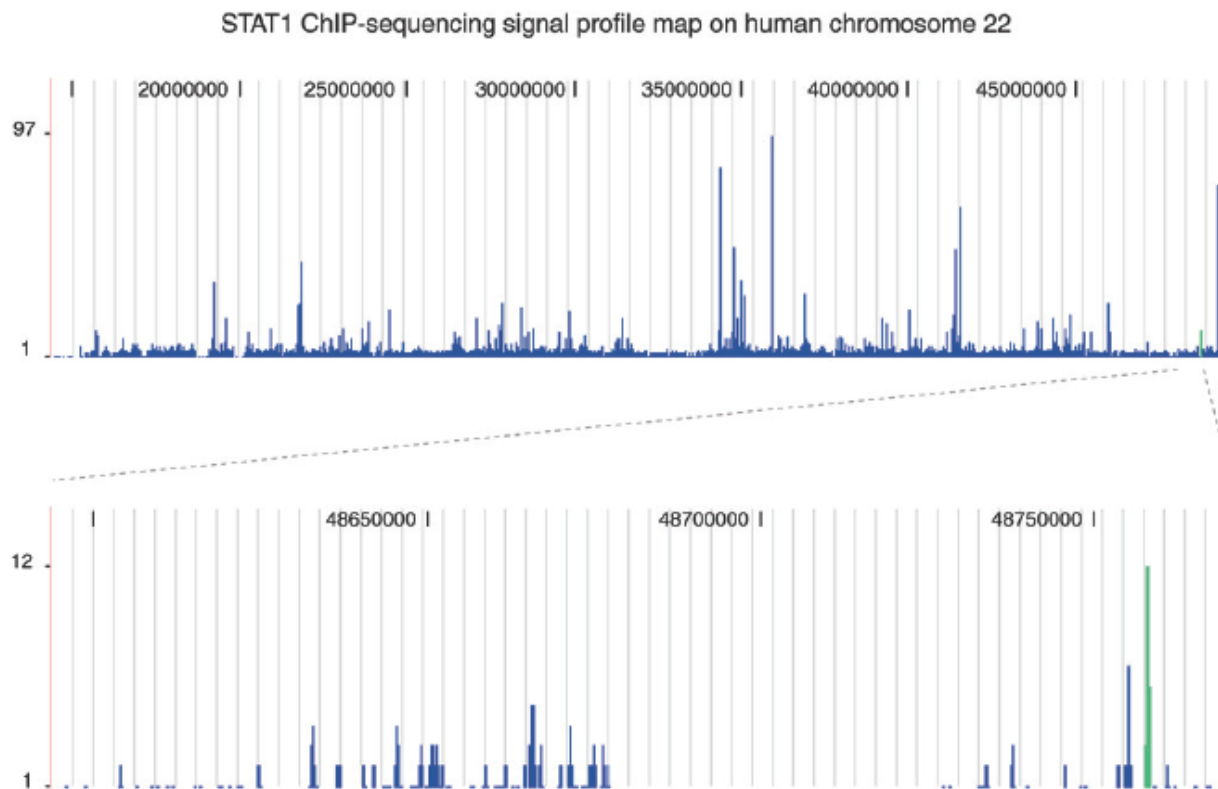


- Regulatory Sites
  - a. ChipSeq signal processing to call punctate "hits"
  - b. Clustering of hits into broader blocks and annotating them
- Variable Blocks in Genome (CNVs,SDs)
  - A/a. Calling them with various signal processing approaches (MSB, PEMer, ReSeqSim)
  - b. Grouping CNVs & SDs into larger features and inter-relating them
- Pseudogenes
  - A. Pattern-match tools for calling them
  - A. Focus on one group of pseudogenes
  - c. Integrating them with other annotations (transcription, regulation, CNVs, SDs)
- Future of Annotation
  - ◇ What is a "gene" post encode?

# Signal Processing: Normalizing Signal and Finding Initial Annotation Blocks ("Hits")

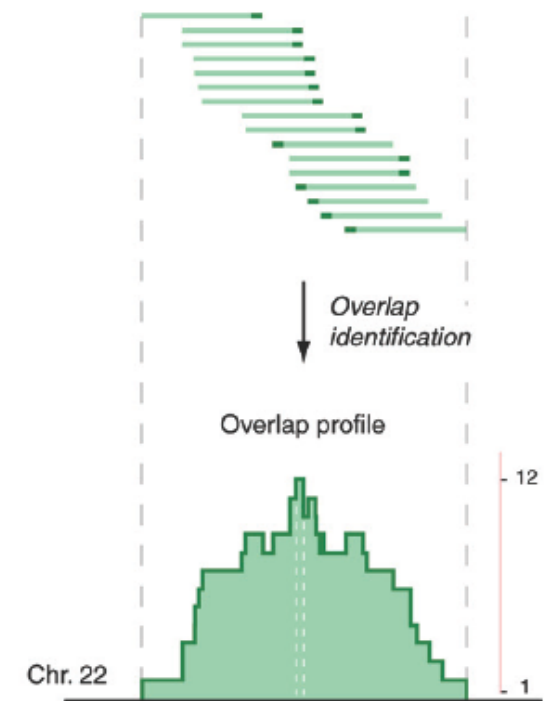


# Representative Signal from Chip-Seq



C

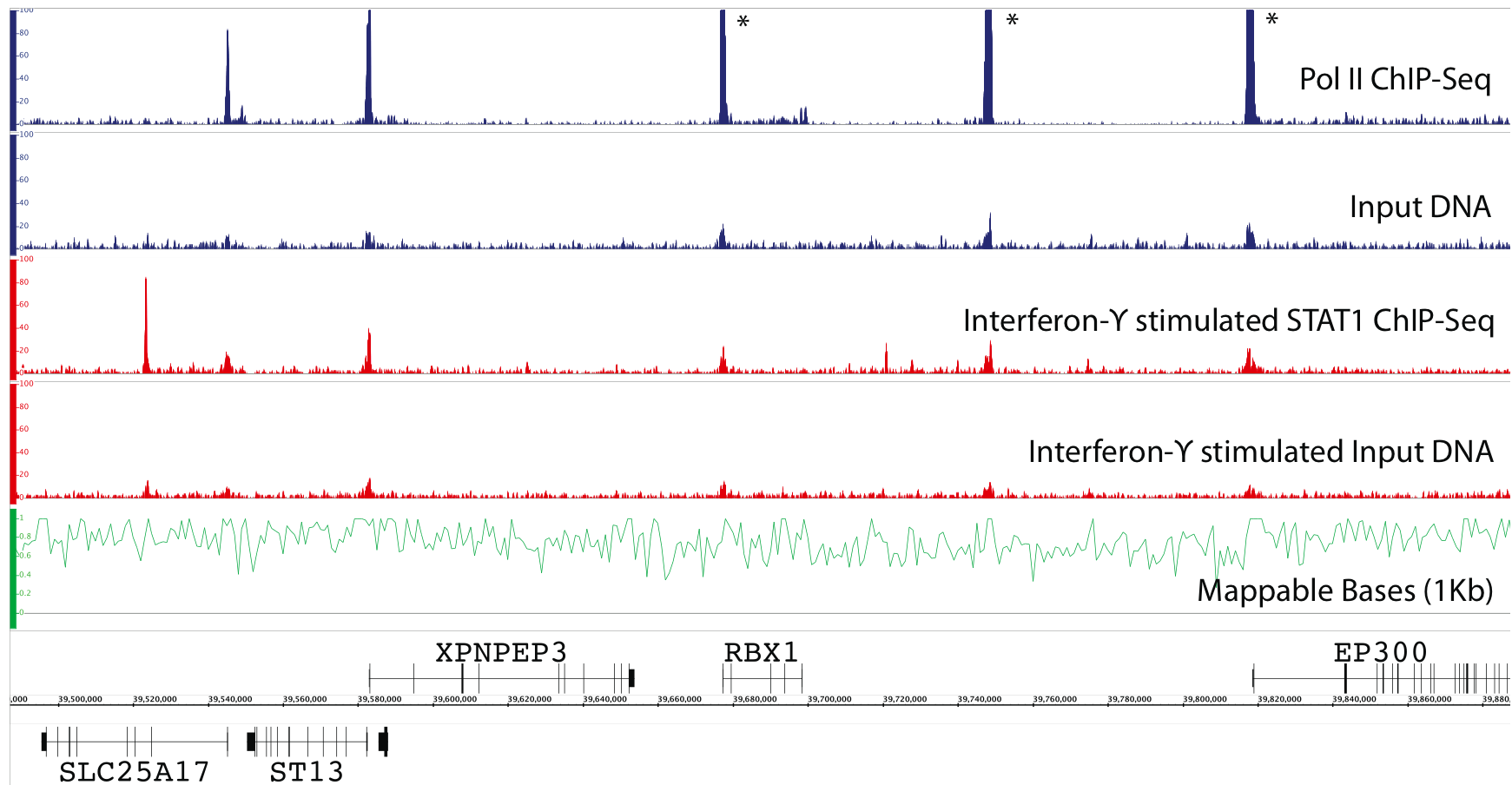
16 uniquely mapped sequence reads and their directional extension in a tag cluster



[Robertson et al., Nat. Meth. ('07); Zhang et al. PLOS Comp. Bio. (in revision, '08)]



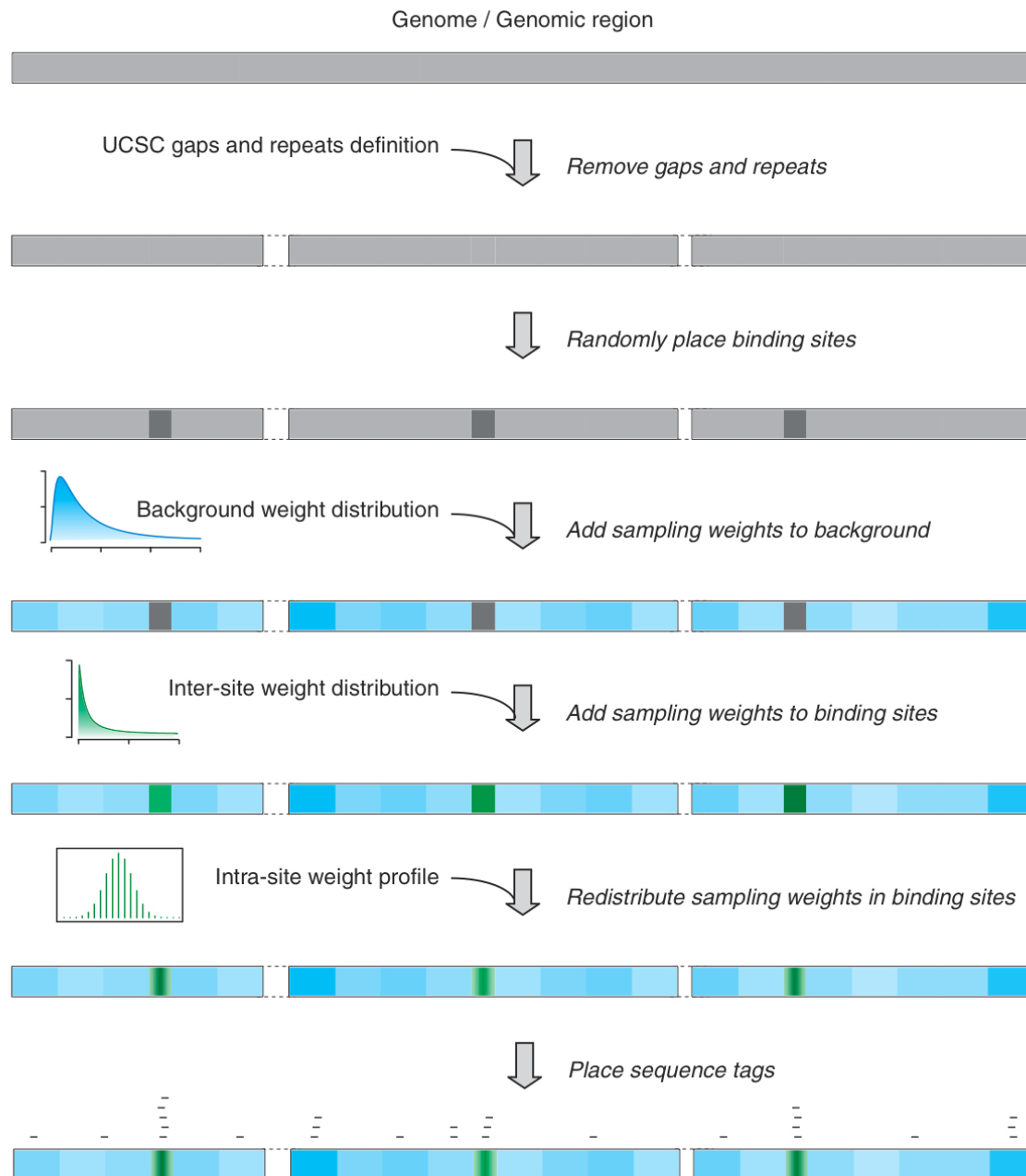
# ChIP-Seq vs Input DNA Control



[Rozowsky et al. Nat. Biotech ('09)]

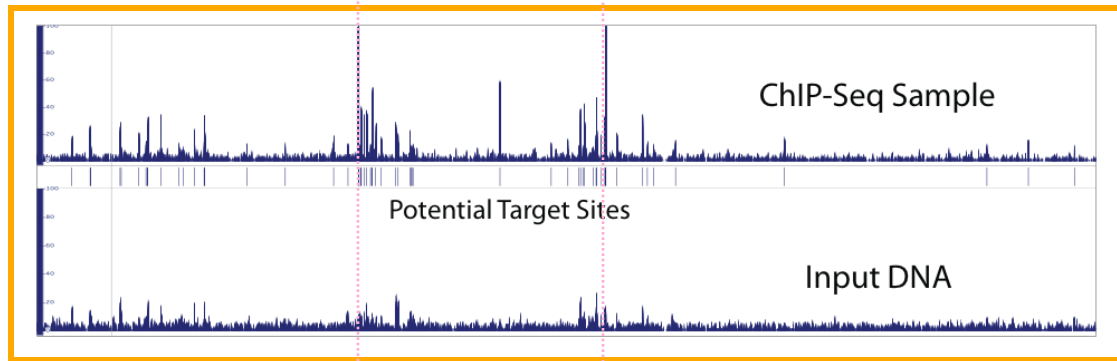
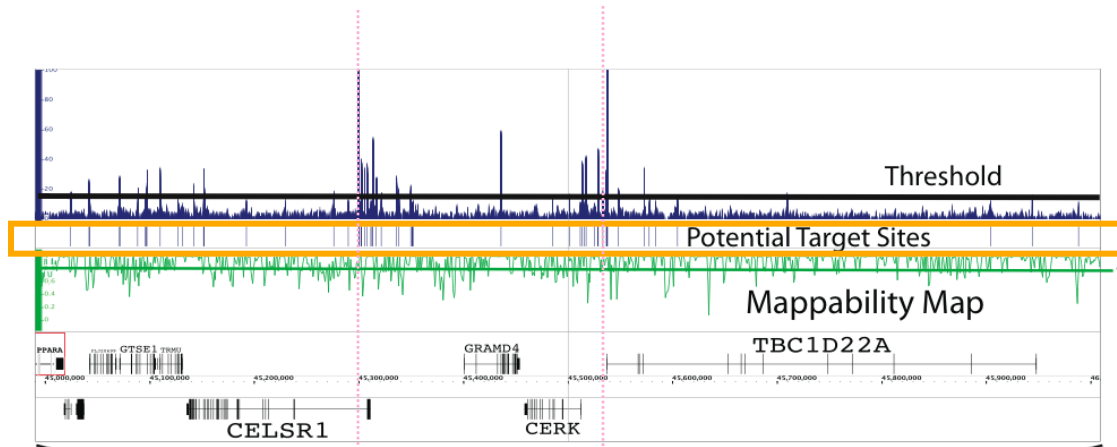
# Correcting Chip-seq Signal by Simulating a Non- uniform Genomic Background

- We developed *in silico* ChIP sequencing, a computational method to simulate the experimental outcome.



[Zhang et al. PLoS Comp Bio. ('08)]

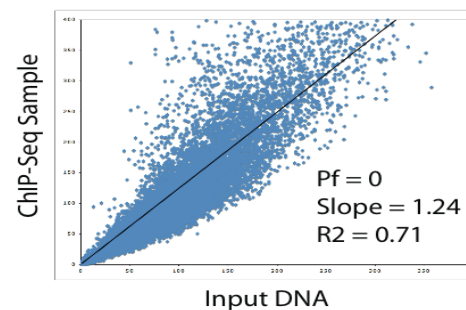
# PeakSeq: Scoring Relative to Controls



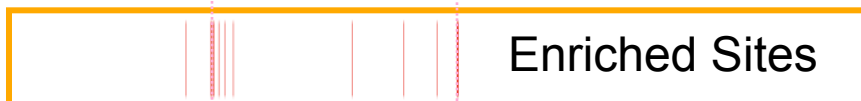
Filter for Potential  
Targets based on  
"Mappability"  
Simulation

Scale Input  
Relative to  
ChIP

Score  
Relative to  
Bionomial  
Expectation

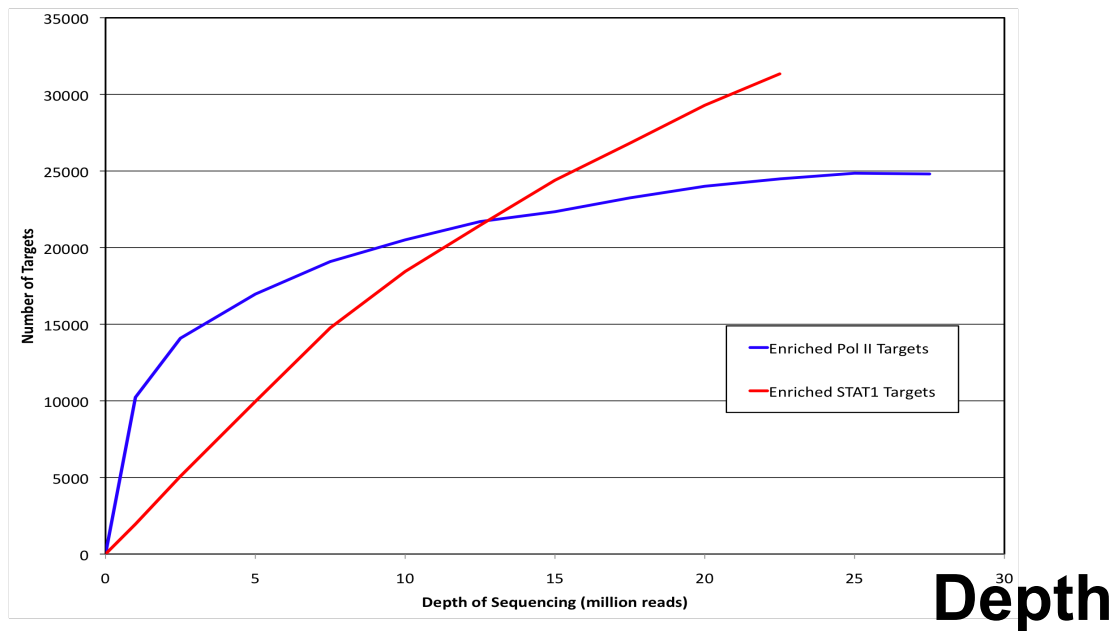


[Rozowsky  
et al. Nat.  
Biotech  
(09)]



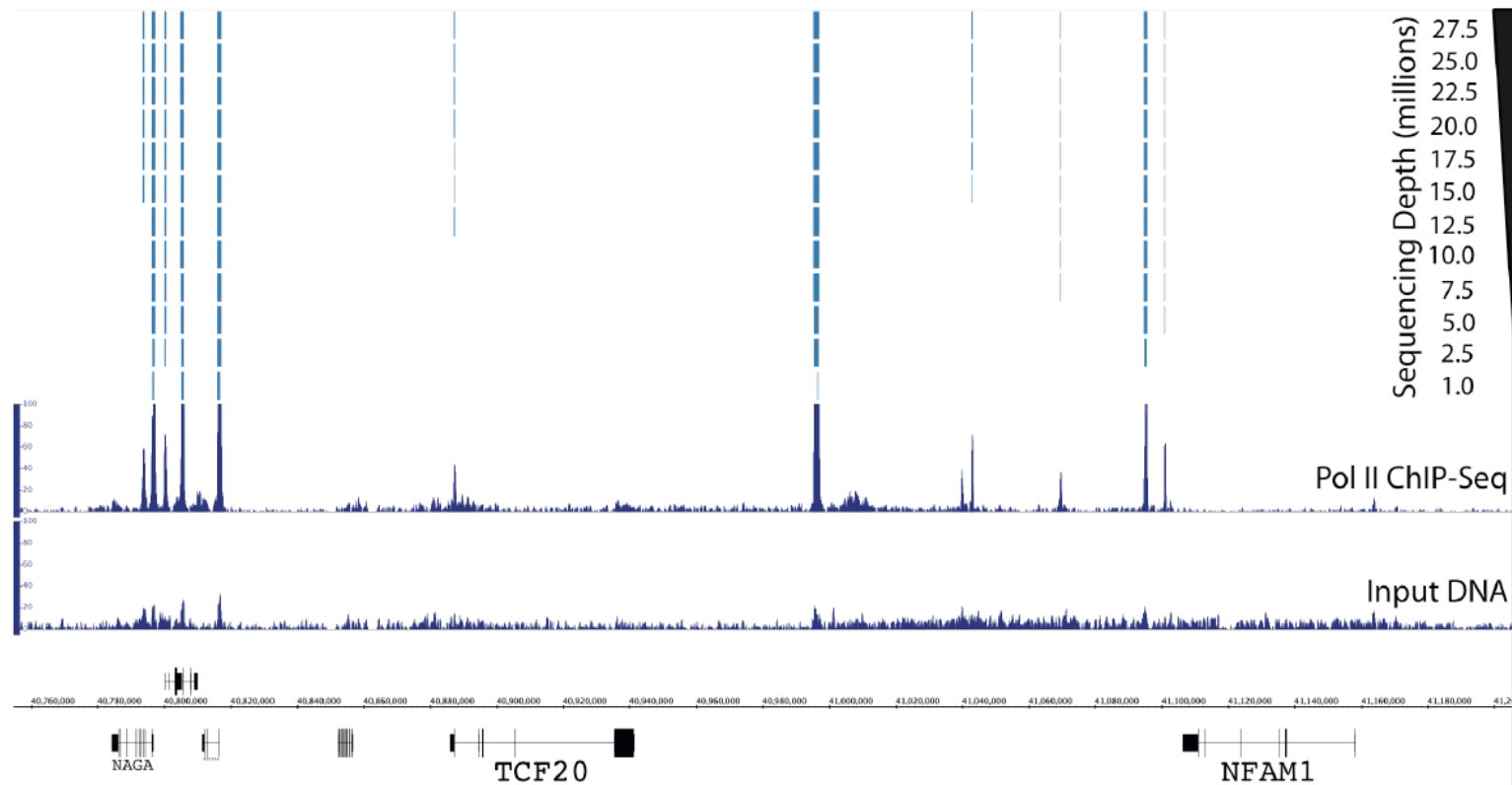


# # Binding Sites



# Number of Reads for Saturation

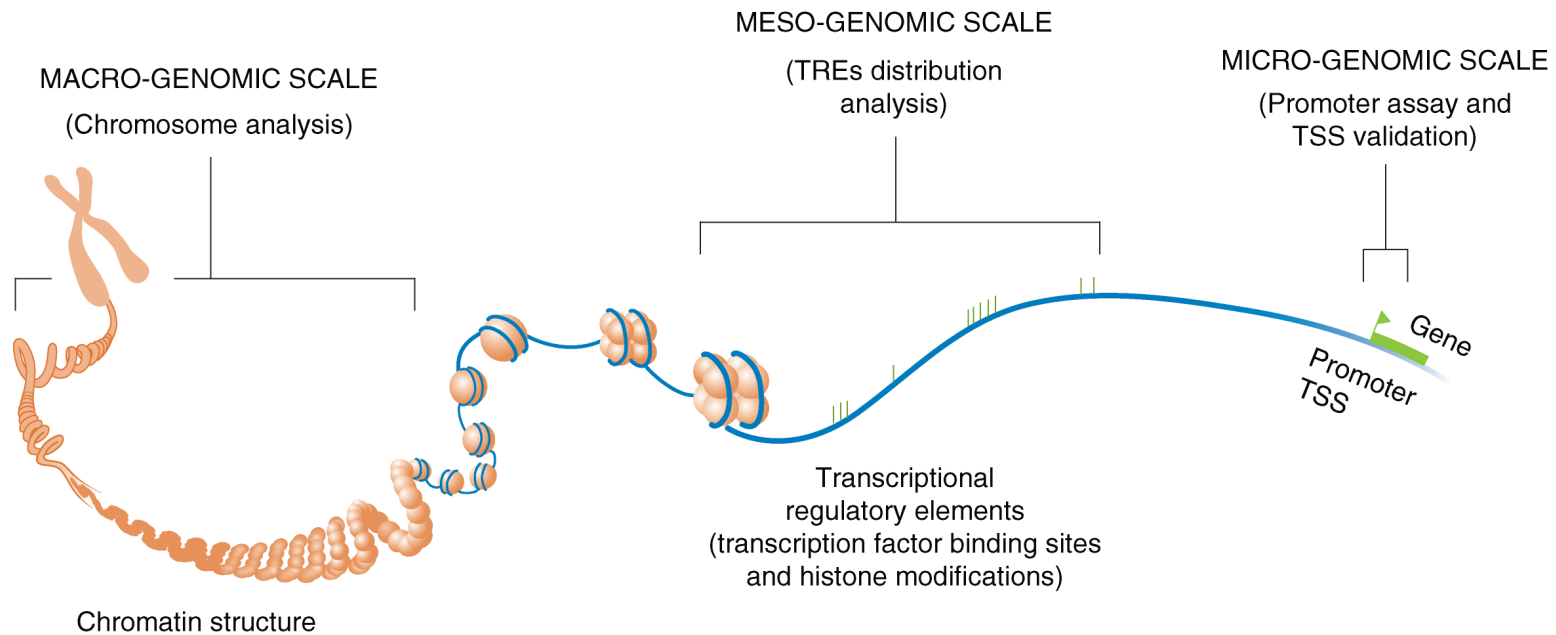
[Rozowsky et al. Nat. Biotech ('09)]





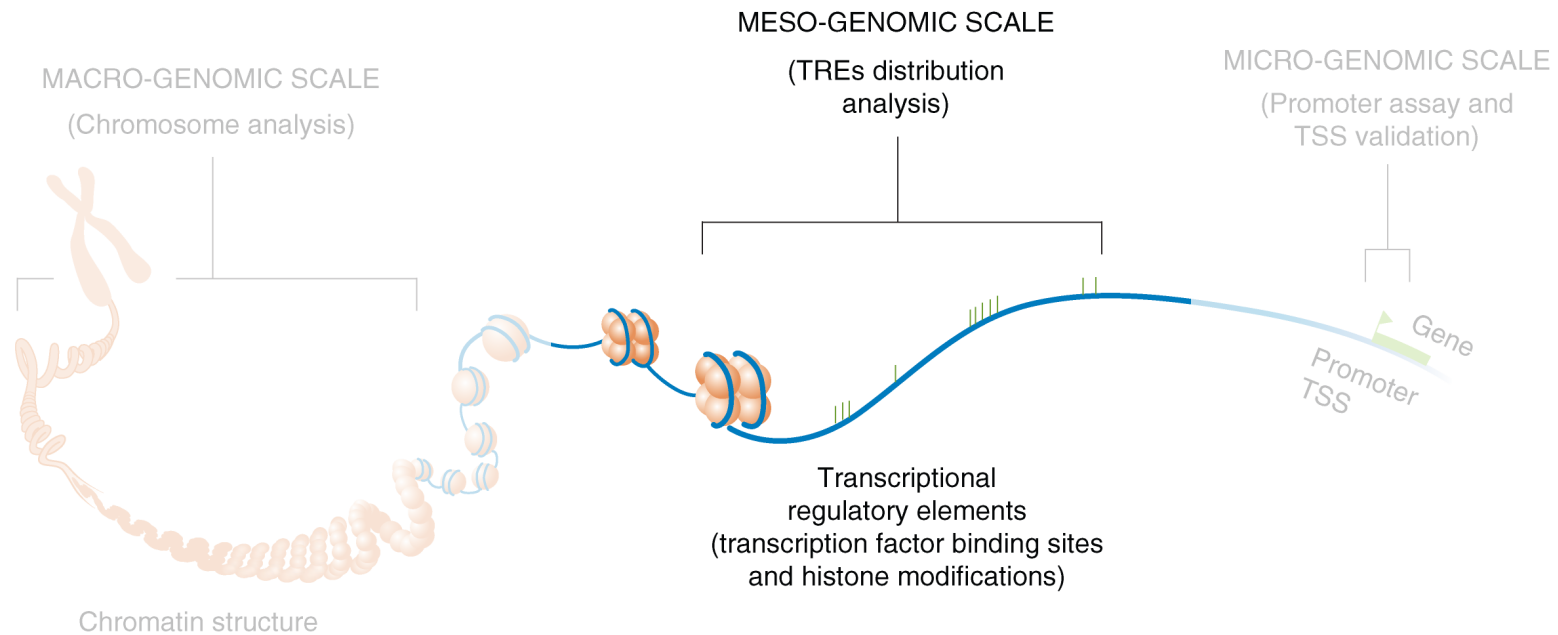
**Annotating a single type of signal**  
**on a large-scale:**  
**Clustering and Characterizing**  
**Binding Sites (TREs)**

# TRE analysis on the micro-genomic scale



[Zhang et al. (2007) Gen. Res.]

# Clustering Binding Sites at ~50kb resolution

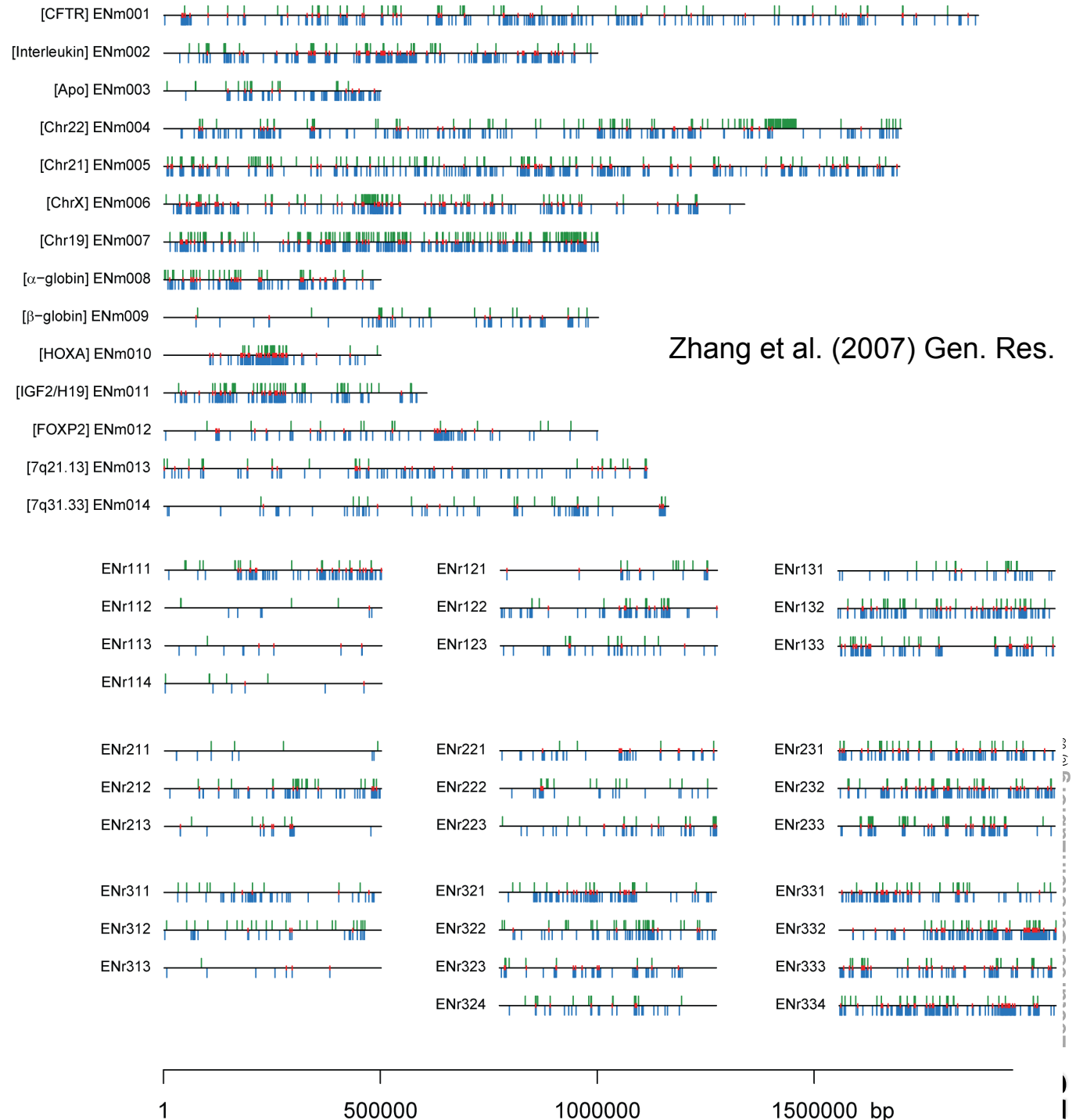


[Zhang et al. (2007) Gen. Res.]



# Landscape of ENCODE Transcriptional Regulatory Elements

- Analyzed 105 lists of transcriptional regulatory elements in the encode regions
- 29 transcription factors, 9 cell lines, 2 time points
  - ◊ RNA Pol2
  - ◊ Histone modifications such as Ac & Me
  - ◊ Core promoters
  - ◊ Promoter proximal elements
  - ◊ Others such as enhancers, silencers, insulators, & response elements



# Biplot to Show Overall Relationship of TFs and Genomic Bins

TFs: a, b, c...

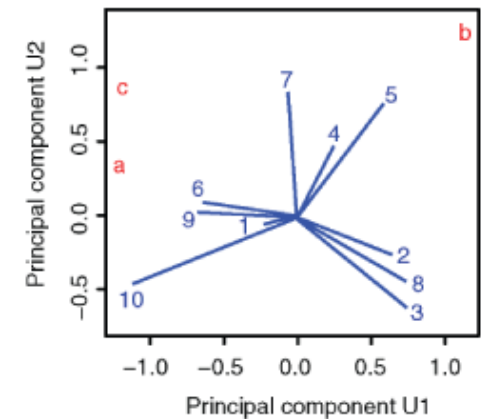
50kb Genomic Bins: 1,2,3...

	1	2	3	4	5	6	7	8	9	10
a	21	14	14	14	17	20	22	15	18	24
b	16	18	17	19	23	14	21	18	13	10
c	28	25	22	33	28	34	30	22	36	32

$$A=USV^T$$

	1	2	3	4	5	6	7	8	9	10
1	1.00	0.70	0.69	0.77	0.54	0.99	0.95	0.65	0.98	0.97
2	0.70	1.00	1.00	0.99	0.98	0.79	0.89	1.00	0.84	0.50
3	0.69	1.00	1.00	0.99	0.98	0.78	0.89	1.00	0.83	0.49
4	0.77	0.99	0.99	1.00	0.95	0.85	0.94	0.98	0.89	0.59
5	0.54	0.98	0.98	0.95	1.00	0.64	0.78	0.99	0.71	0.31
6	0.99	0.79	0.78	0.85	0.64	1.00	0.98	0.74	1.00	0.93
7	0.95	0.89	0.89	0.94	0.78	0.98	1.00	0.86	0.99	0.84
8	0.65	1.00	1.00	0.98	0.99	0.74	0.86	1.00	0.80	0.43
9	0.98	0.84	0.83	0.89	0.71	1.00	0.99	0.80	1.00	0.89
10	0.97	0.50	0.49	0.59	0.31	0.93	0.84	0.43	0.89	1.00

$$AA^T$$

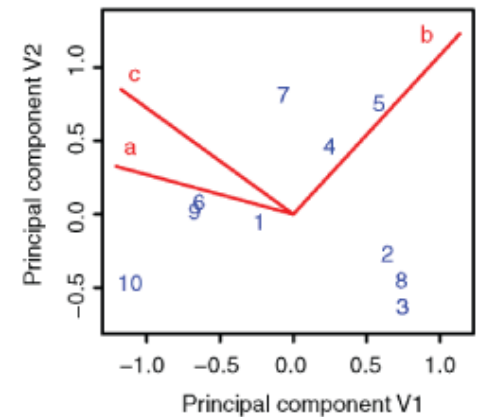


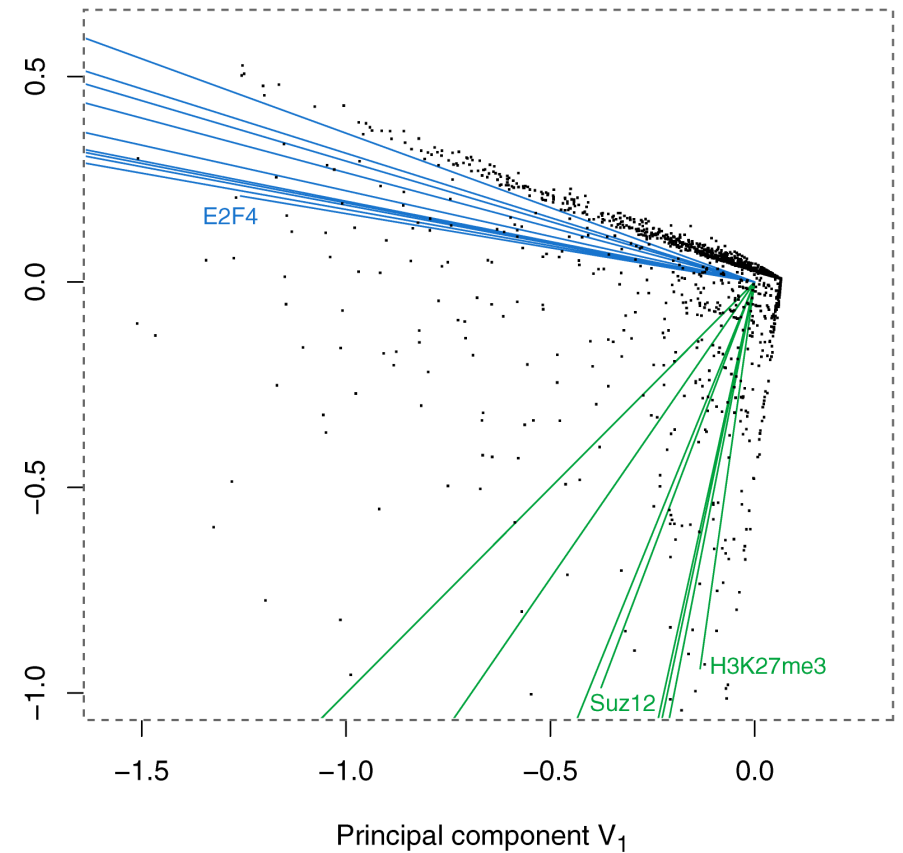
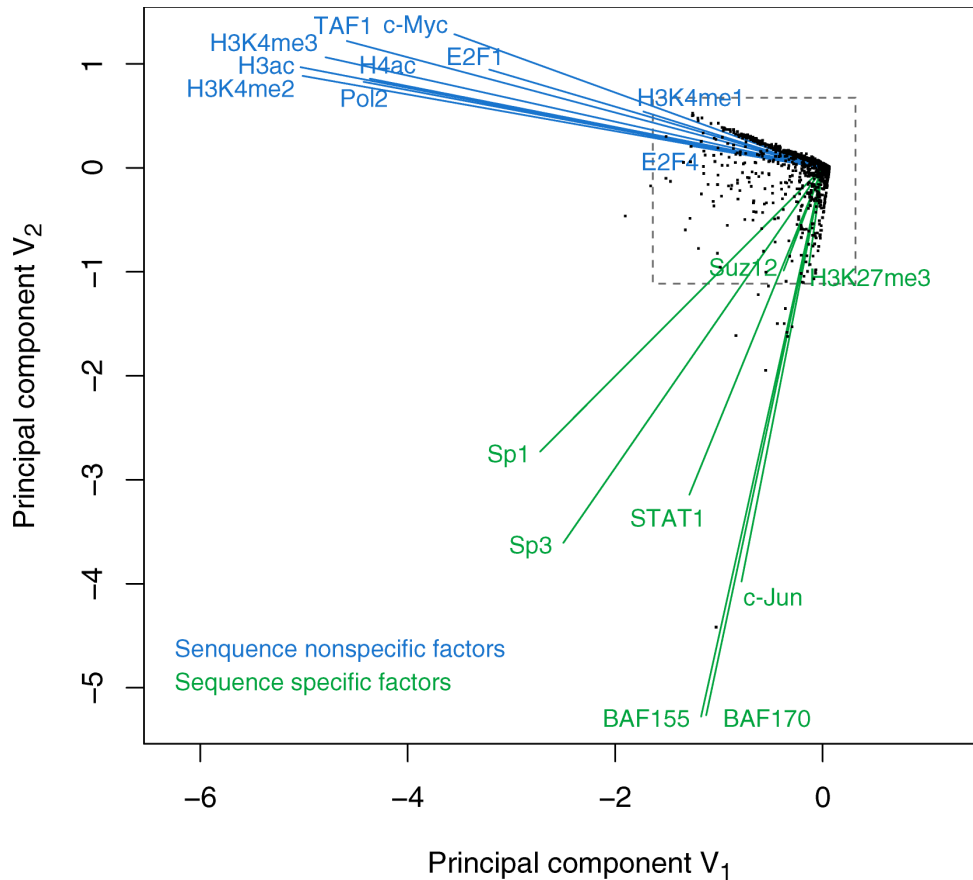
$$A^T$$

	a	b	c
1	21	16	28
2	14	18	25
3	14	17	22
4	14	19	33
5	17	23	28
6	20	14	34
7	22	21	30
8	15	18	22
9	18	13	36
10	24	10	32

	a	b	c
a	1.00	-0.44	0.48
b	-0.44	1.00	-0.40
c	0.48	-0.40	1.00

$$A^T A$$





## Results of Biplot

- Biplot groups TFs into sequence-specific and sequence-nonspecific clusters.
  - ◊ c-Myc may behave more like a sequence-nonspecific TF.
  - ◊ H3K27me3 functions in a transcriptional regulatory process in a rather sequence-specific manner.
- Genomic Bins are associated with different TFs and in this fashion each bin is "annotated" by closest TF cluster

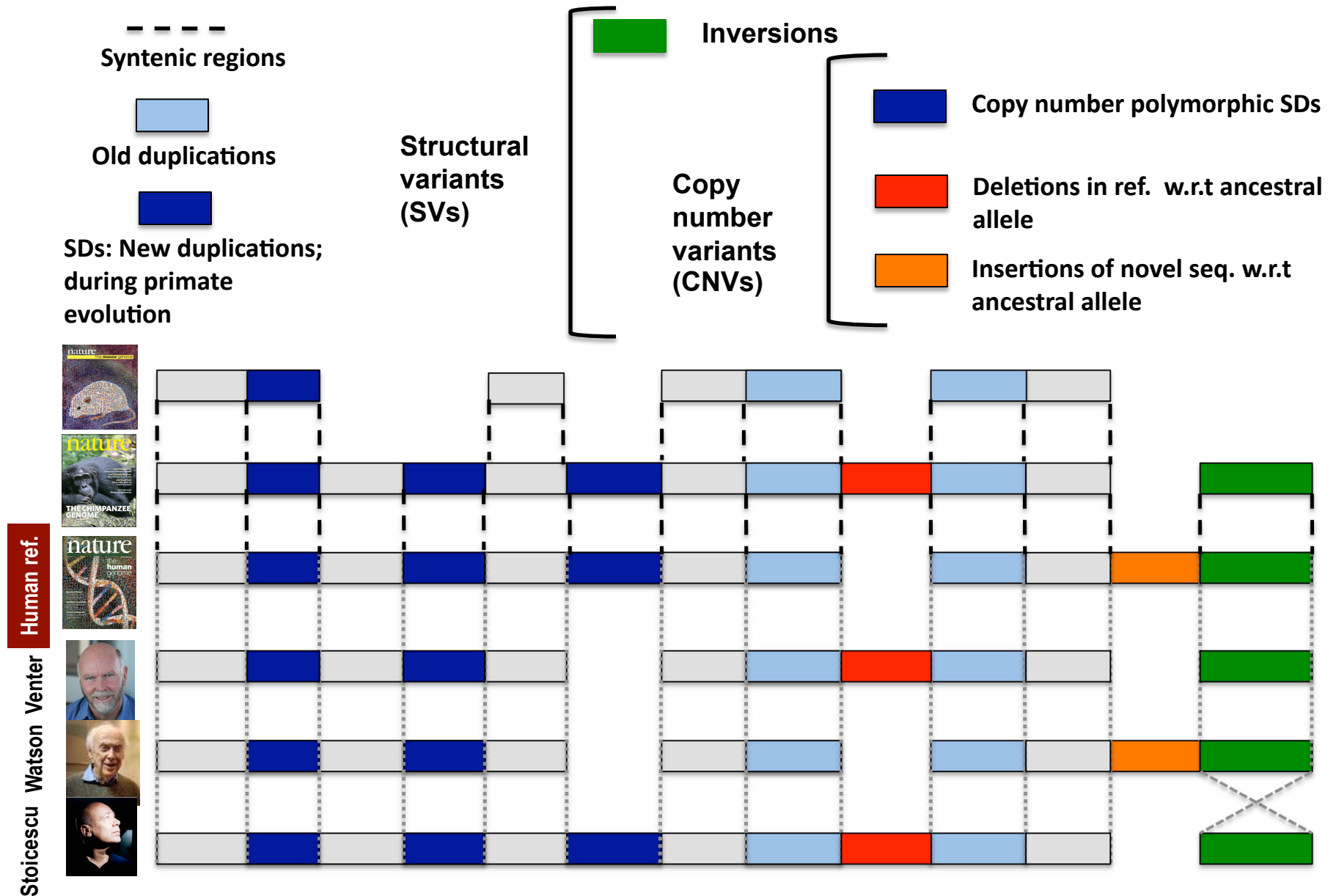
Zhang et al. (2007)  
Gen. Res.

# Signal Processing 2: Finding Variable Blocks in the Human Genome

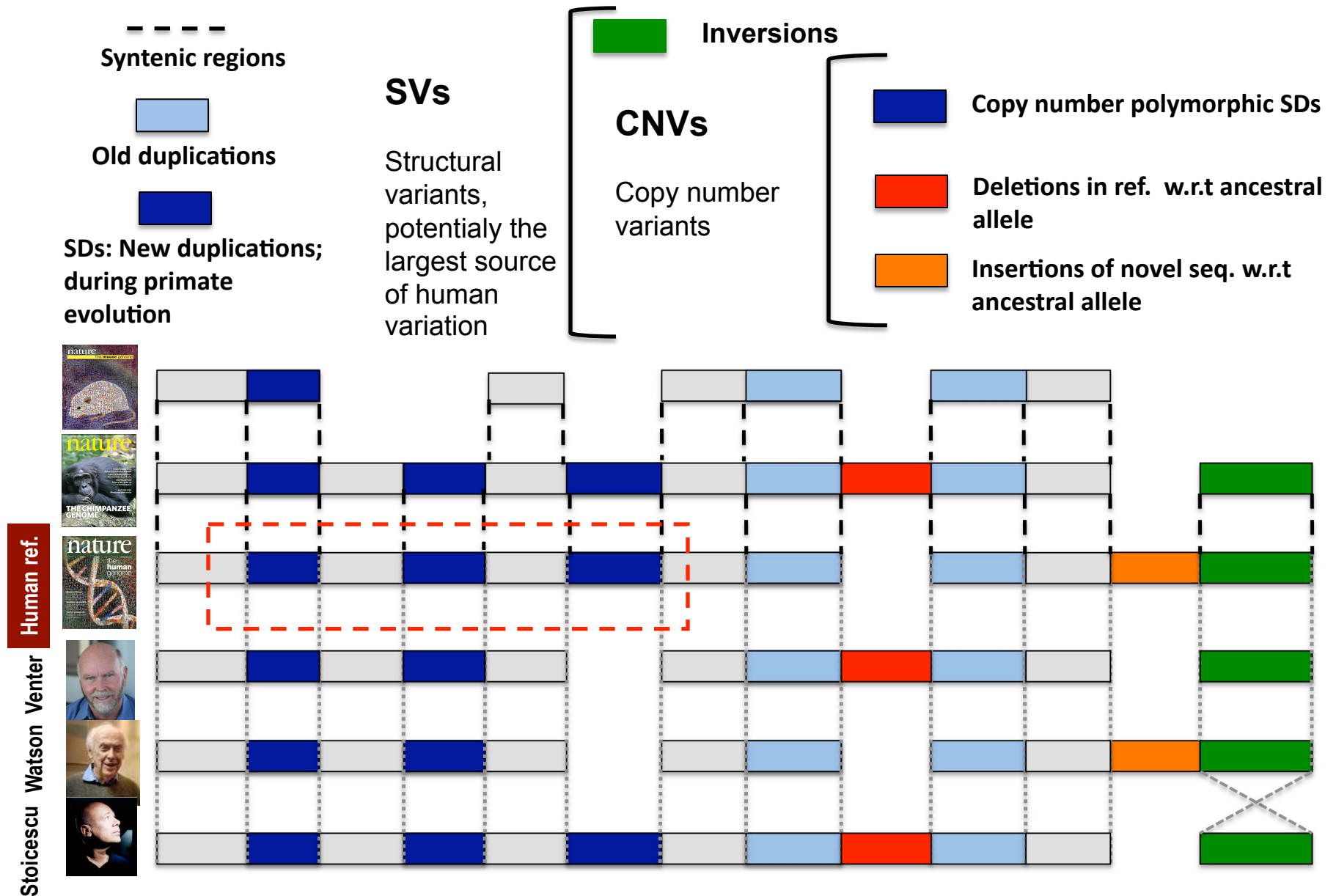




# Terminology for Variable Elements in the Human Genome

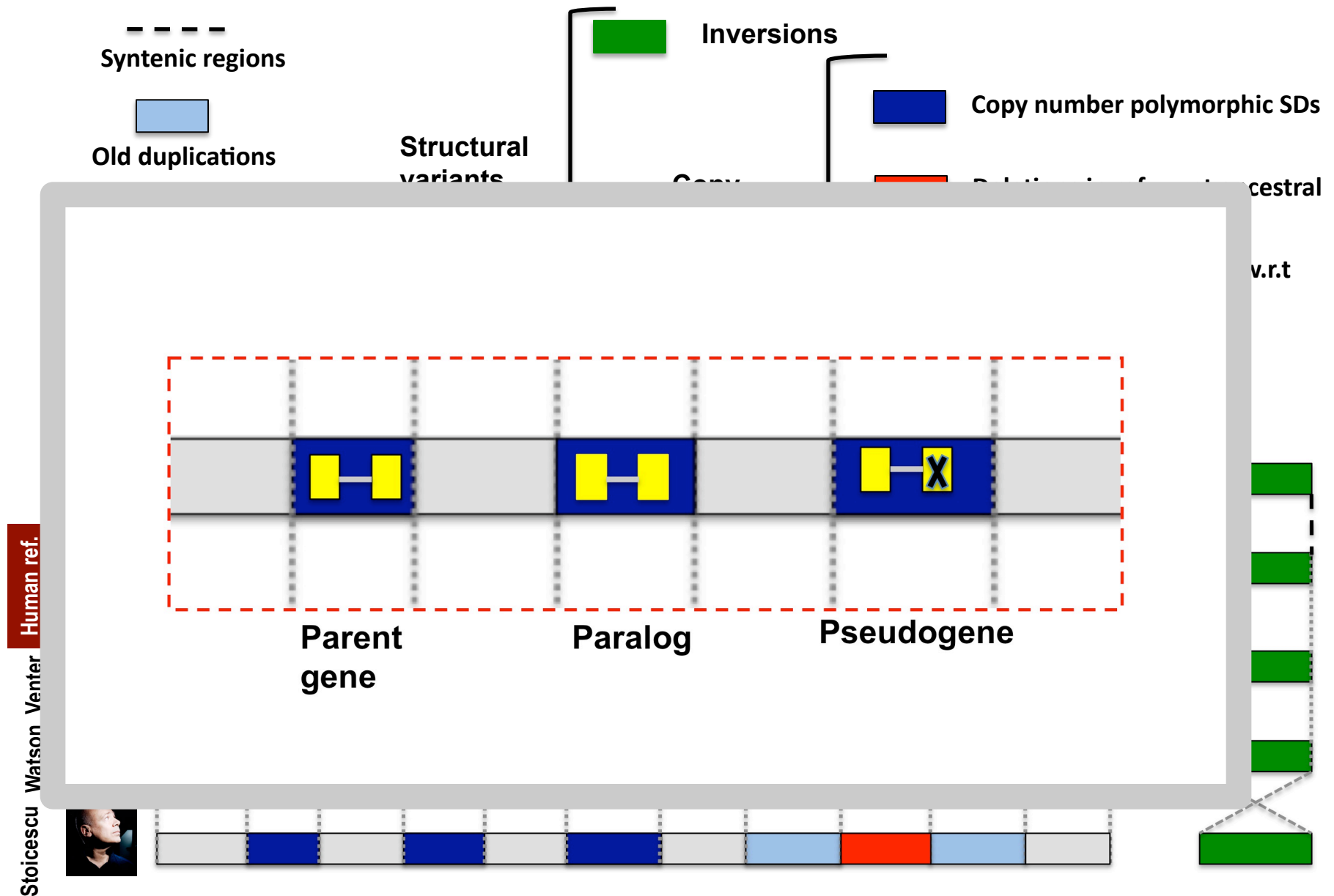


# Terminology for Variable Elements in the Human Genome



**SDs ref : Bailey et al, Science, 2002**

# Terminology for Variable Elements in the Human Genome



# Main Steps in Genome Resequencing

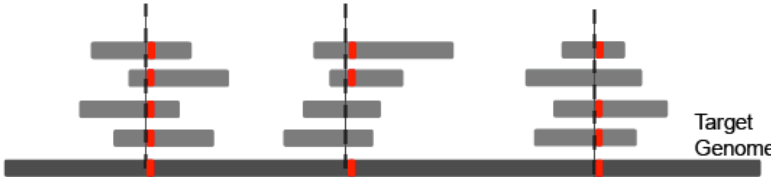
[Snyder et al. Genes & Dev. ('09), submitted]

## Step 0: Generate Reads



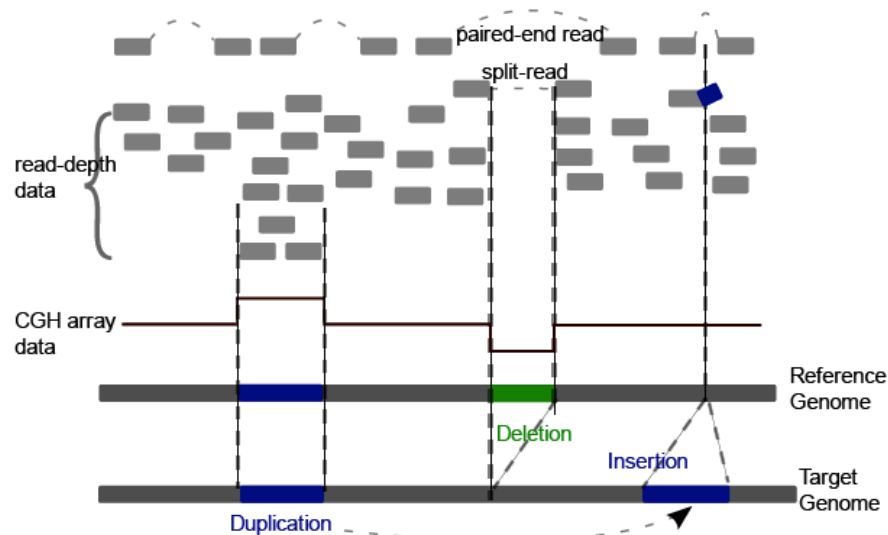
## Step 1: Call SNPs

using uniquely and correctly mapped reads



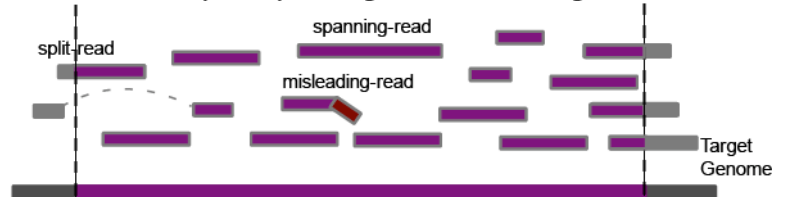
## Step 2: Find SVs

with aberrant paired-end reads, split-reads, read-depth analysis and CGH array data



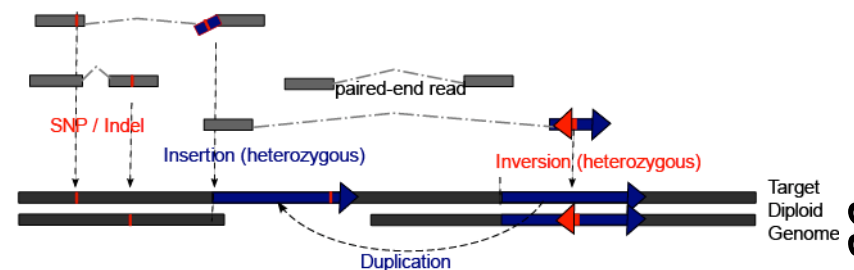
## Step 3: Assemble New Sequences

with split-, spanning- and misleading-reads



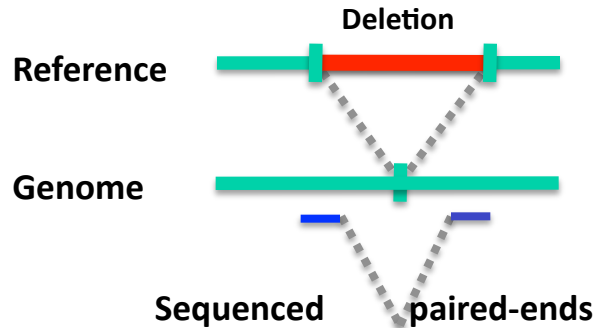
## Step 4: Phasing

mostly with paired-end reads

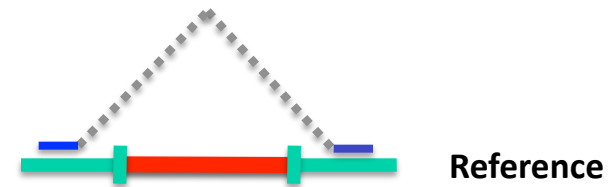




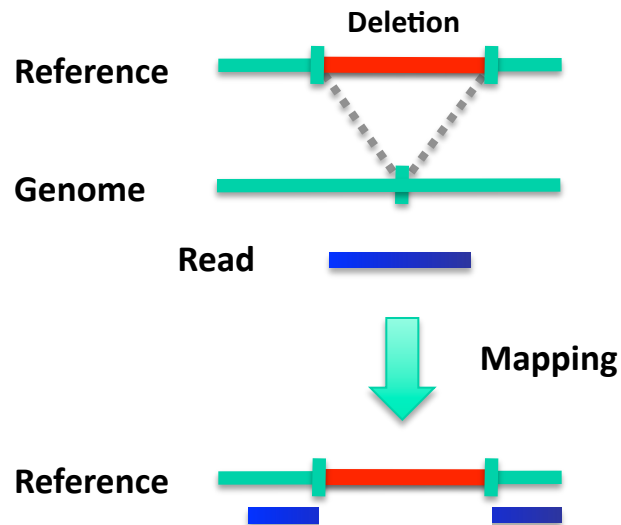
## 1. Paired ends



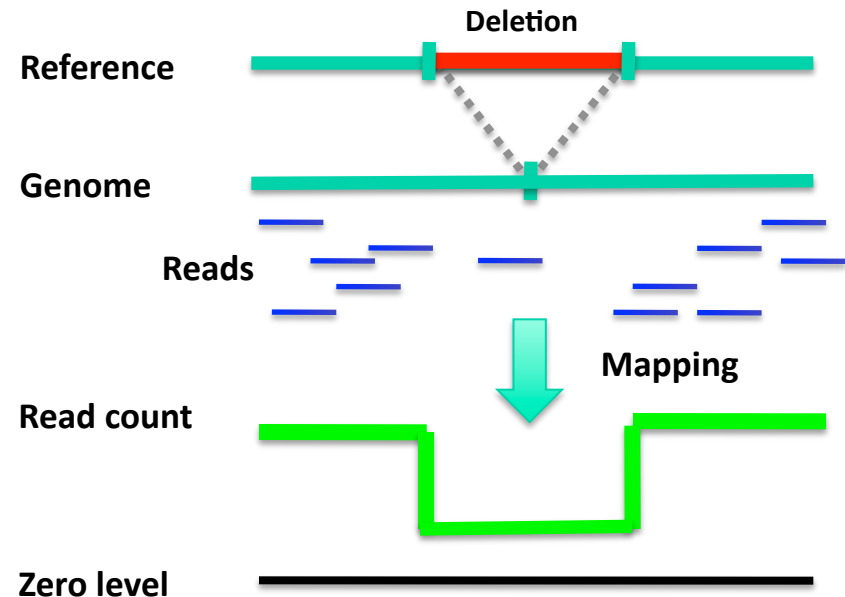
# Methods to Find SVs



## 2. Split read



## 3. Read depth (or aCGH)

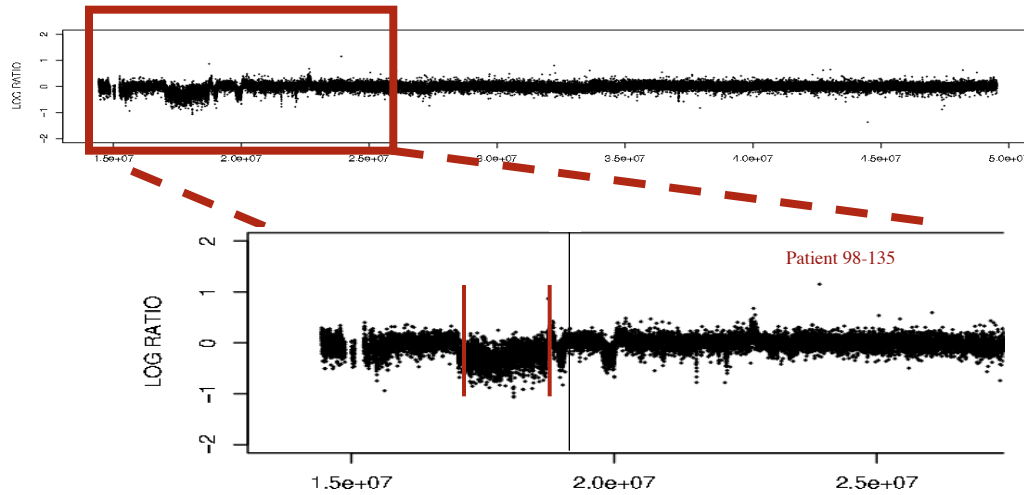


## 4. Local Reassembly

[Snyder et al. Genes & Dev. ('09), submitted]

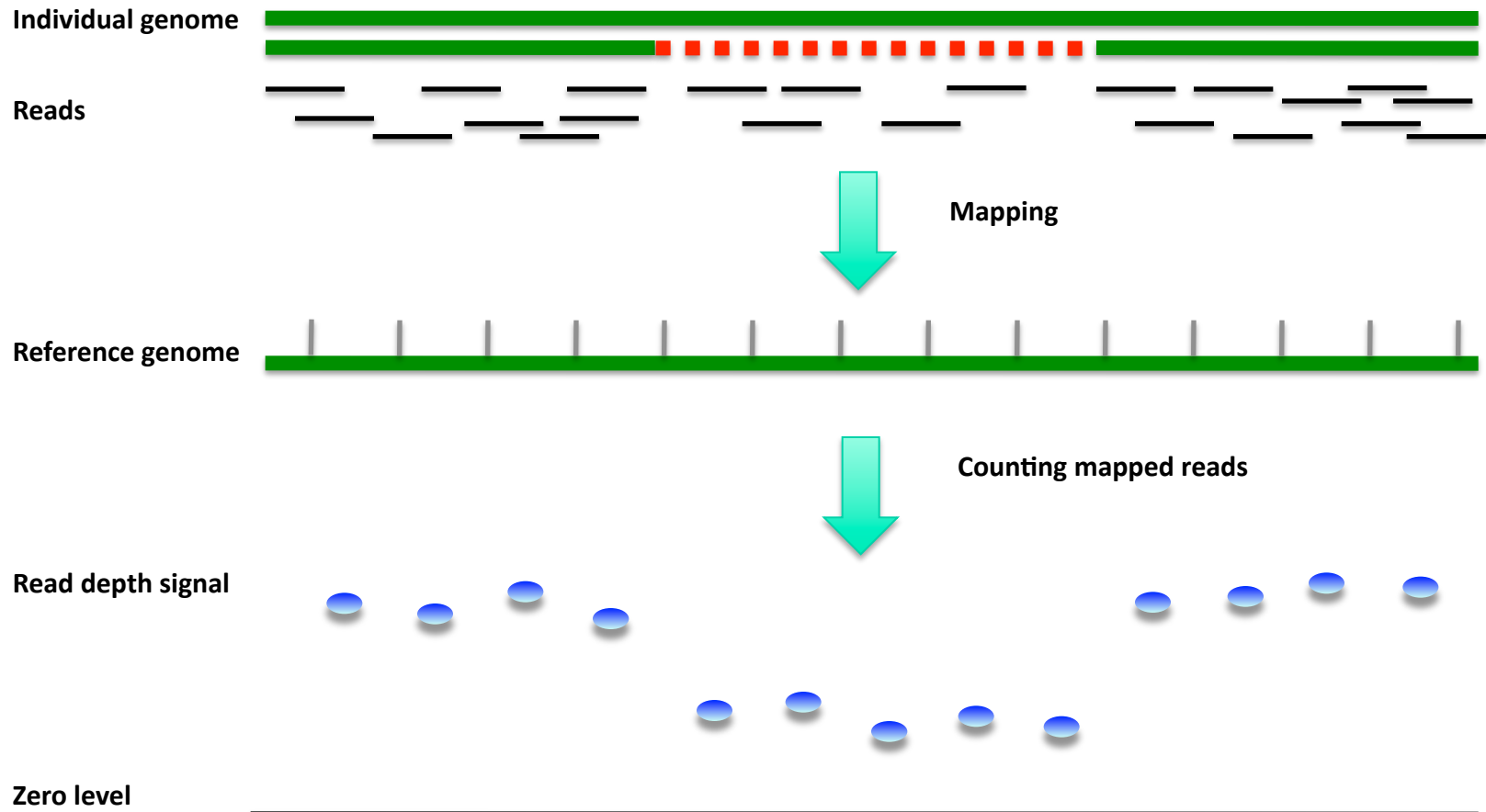
# **Breakpointer: Segmentation of Array Signal as precursor to Read Depth**





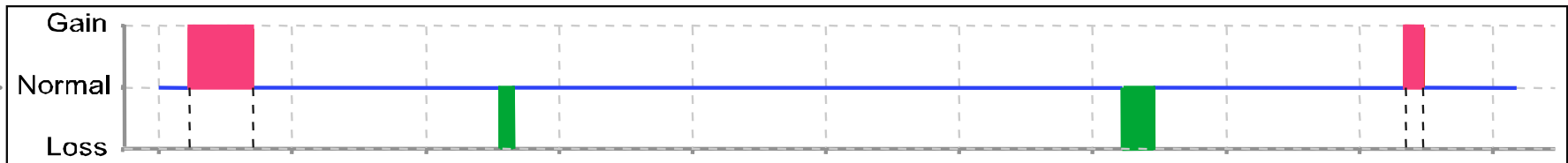
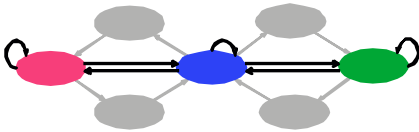
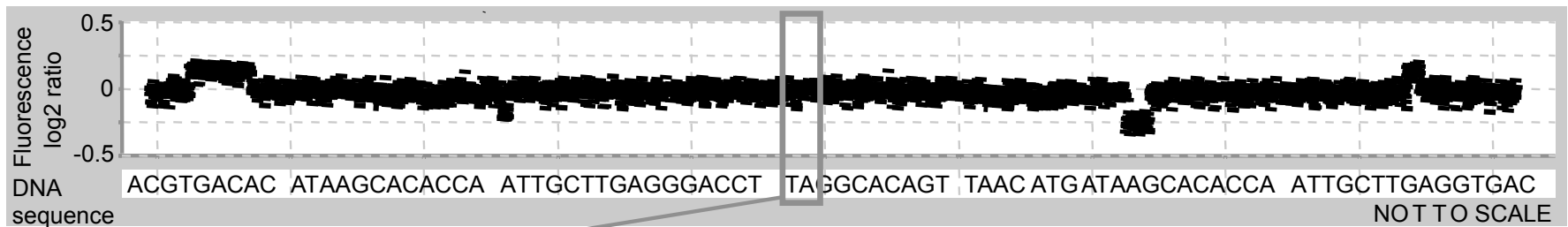
Array Signal

Read depth



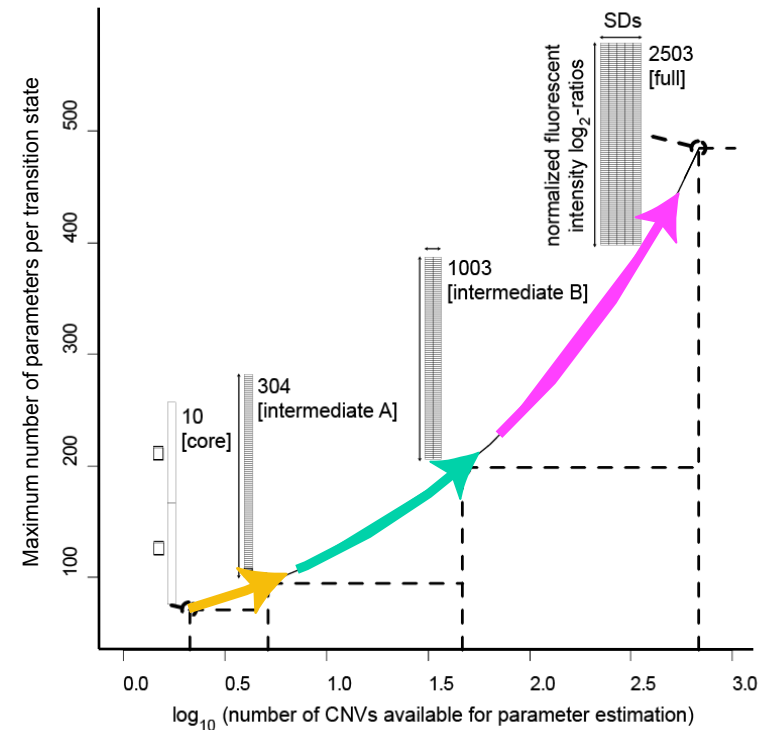
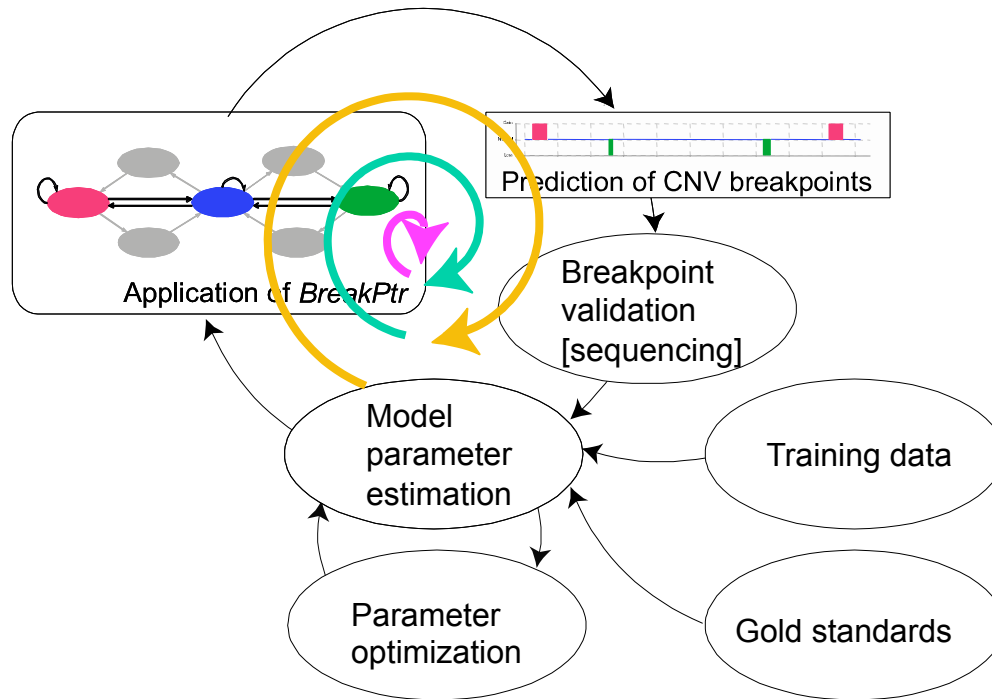
# BreakPtr HMM

- To get highest resolution on breakpoints need to smooth & segment the signal
- BreakPtr: prediction of breakpoints, dosage and cross-hybridization using a system based on Hidden Markov Models





## 'Active' approach for breakpoint identification: initial scoring with preliminary model, targeted validation (with sequencing), retraining, and rescoring



CNV breakpoints sequenced in ~10 cases following BreakPtr analysis;

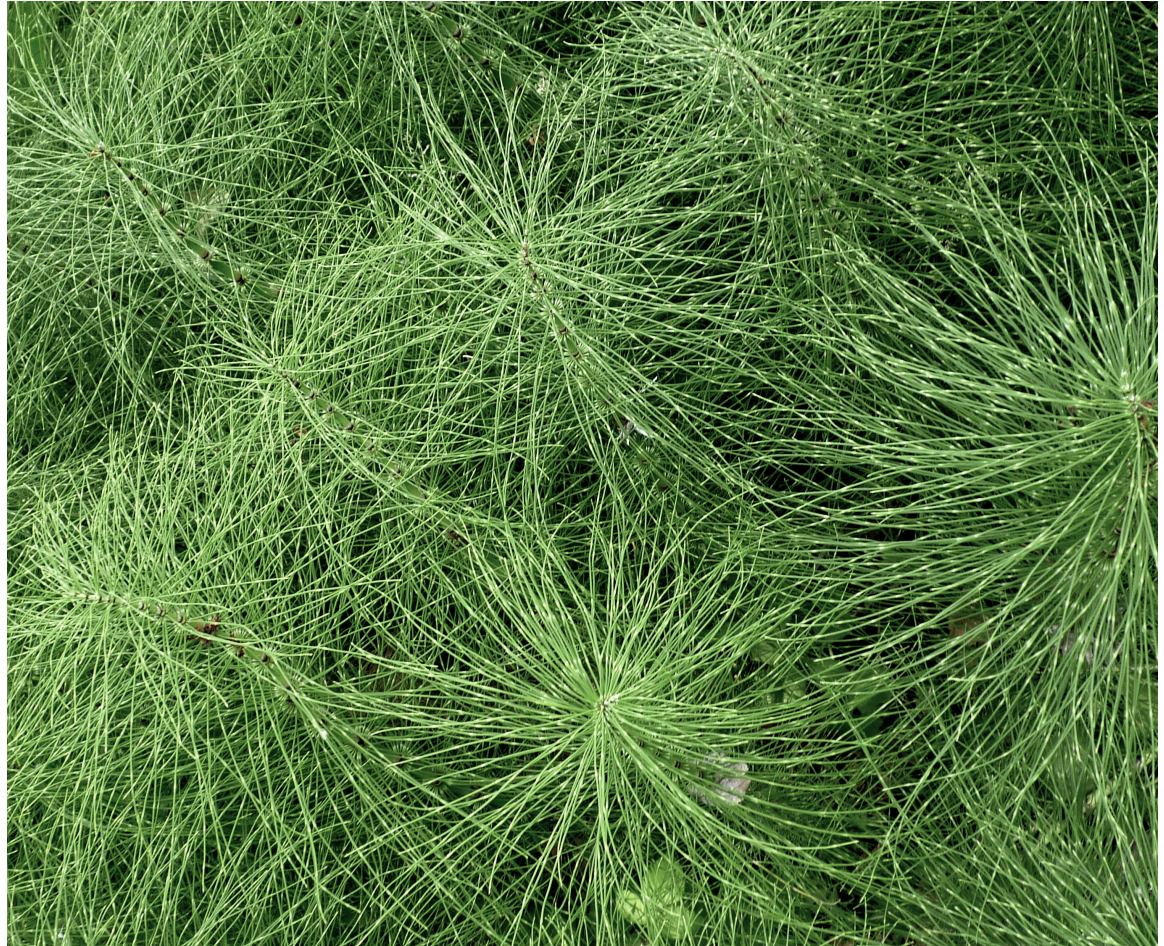
Median resolution <300 bp

No improvement in accuracy with higher resolution  
(9nt tiling)

HMM optimized iteratively  
(using Expectation Maximization, EM)

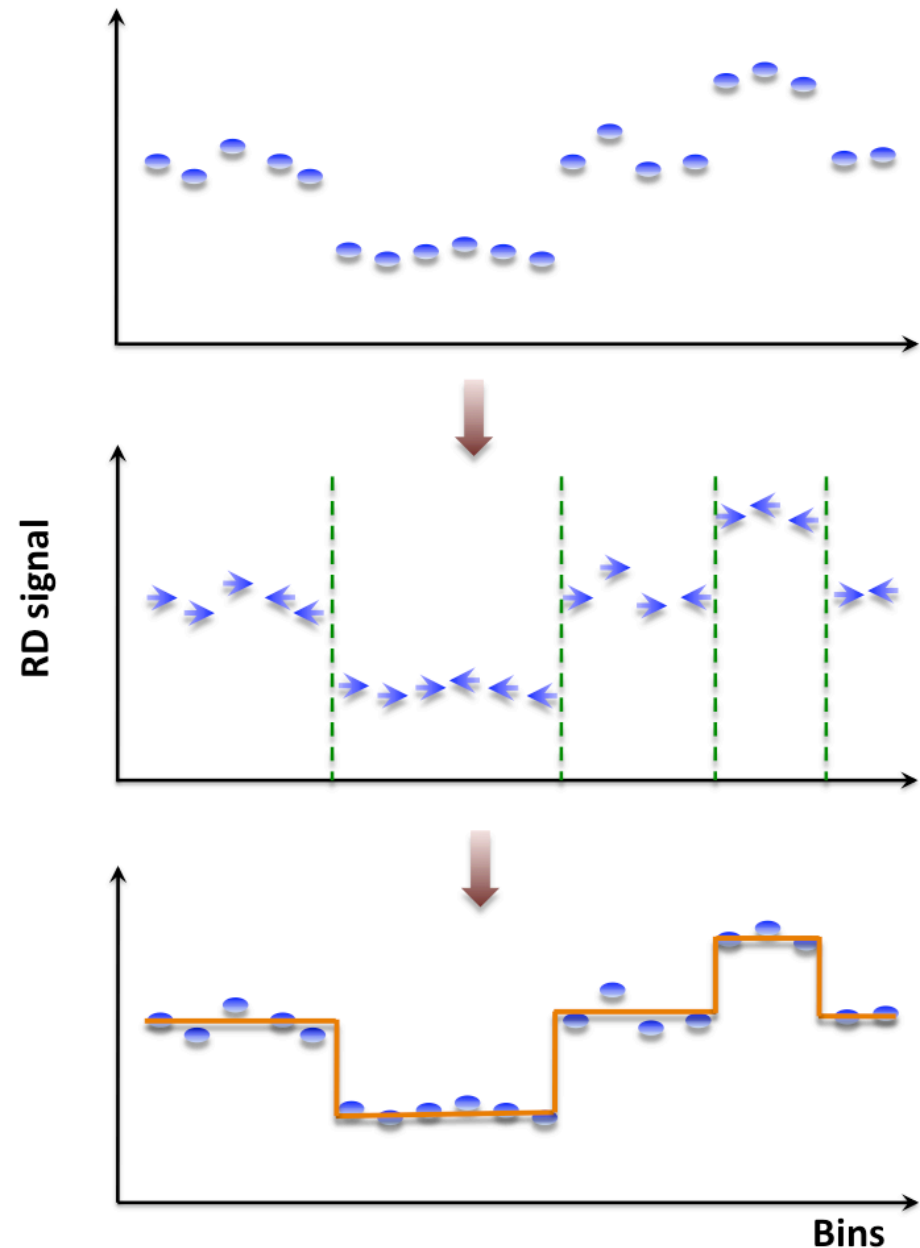
Korbel\*, Urban\* *et al.*, PNAS (2007)

# MSB: Read-Depth Segmentation



## Mean-shift-based (MSB) Segmentation: no explicit model

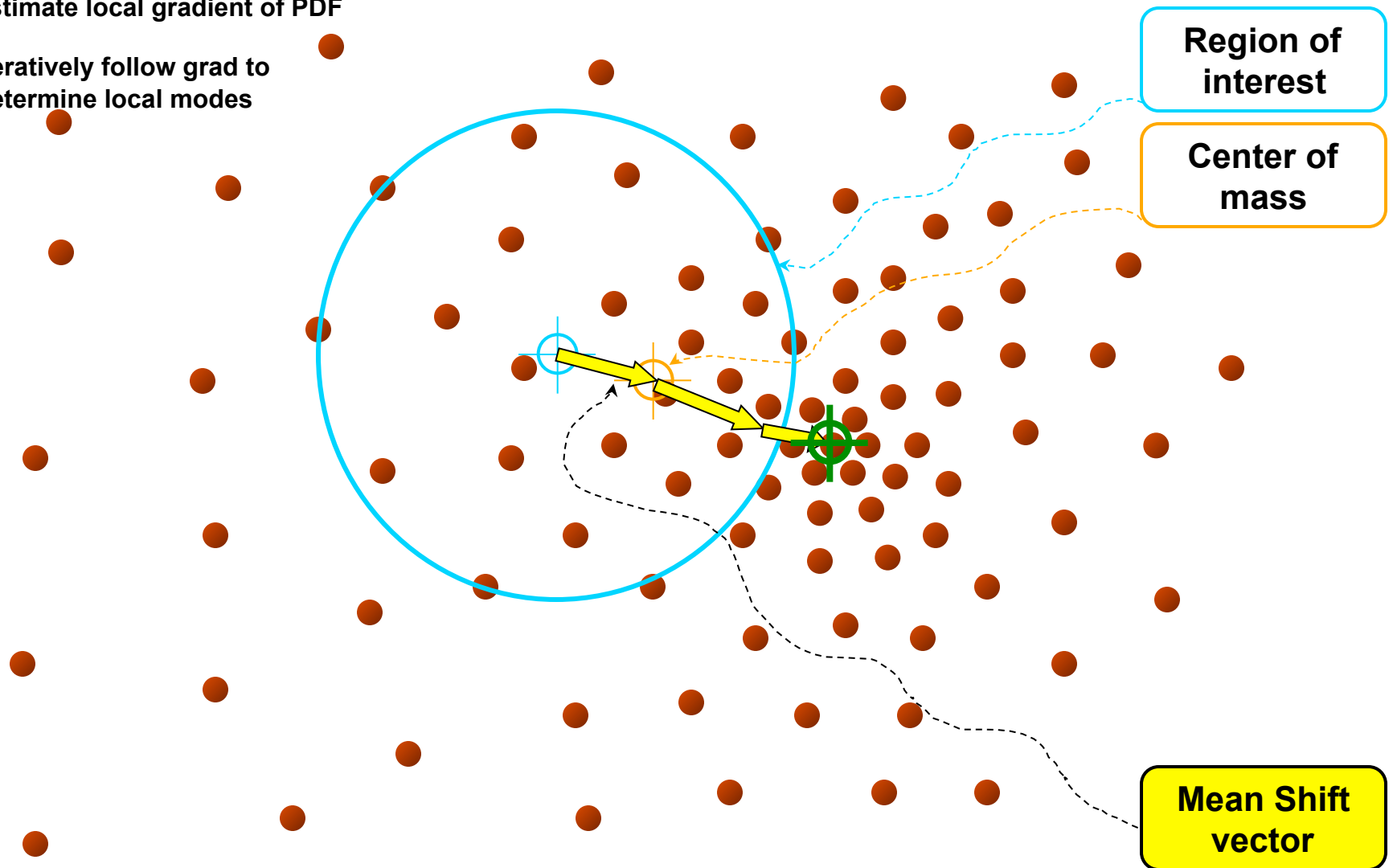
- For each bin attraction (mean-shift) vector points in the direction of bins with most similar RD signal
- No prior assumptions about number, sizes, haplotype, frequency and density of CNV regions
- Not Model-based (e.g. like HMM) with global optimization, distr. assumption & parms. (e.g. num. of segments).
- Achieves discontinuity-preserving smoothing
- Derived from image-processing applications



[Wang et al. Gen. Res ('09) 19:106]

# Intuitive Description of MSB

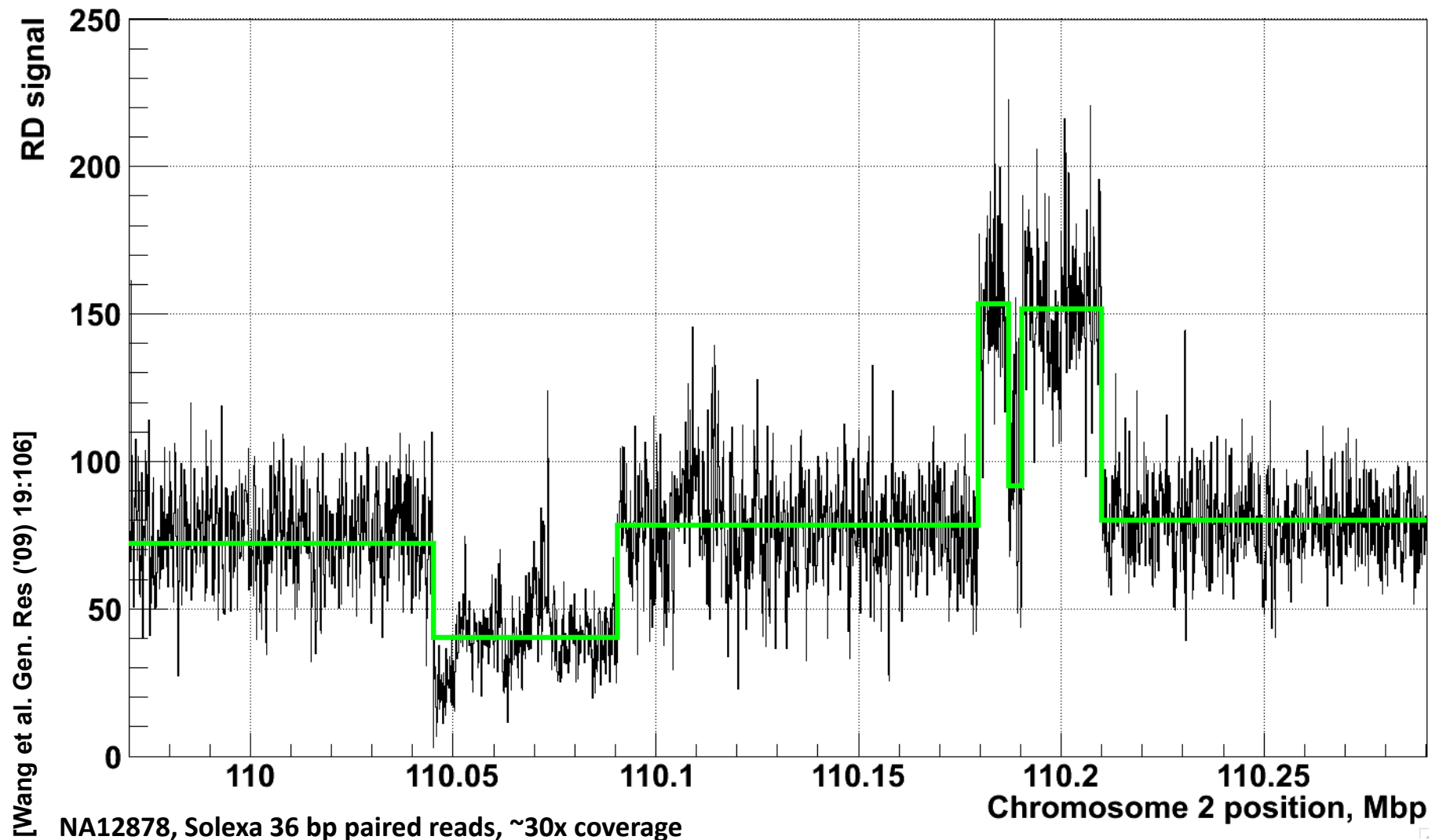
- Observed depth of coverage counts as samples from PDF
- ➔ Kernel-based approach to estimate local gradient of PDF
- ⊕ Iteratively follow grad to determine local modes



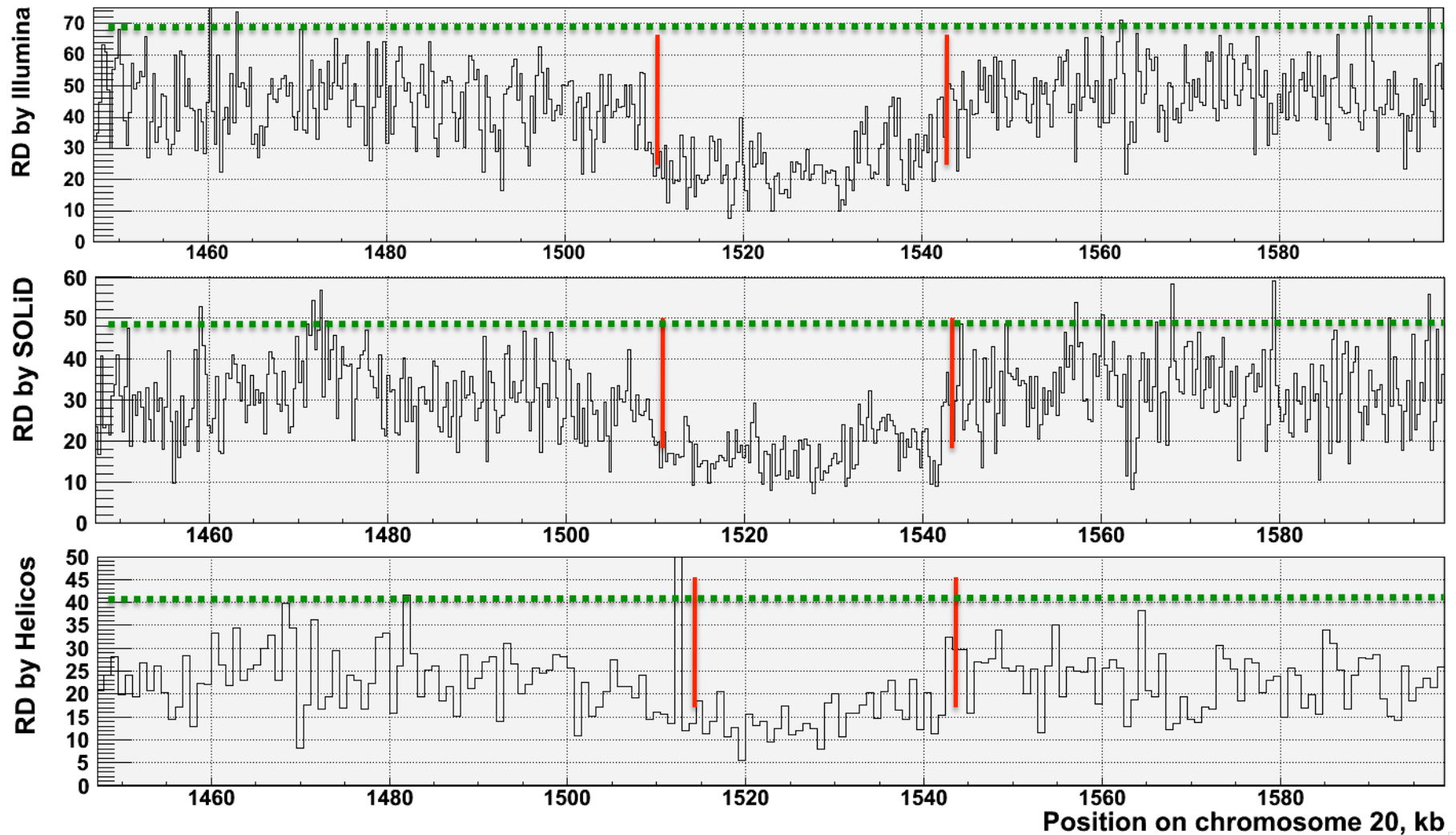
**Objective :** Find the densest region  
Distribution of identical billiard balls



# Example of Application of MSB to RD data



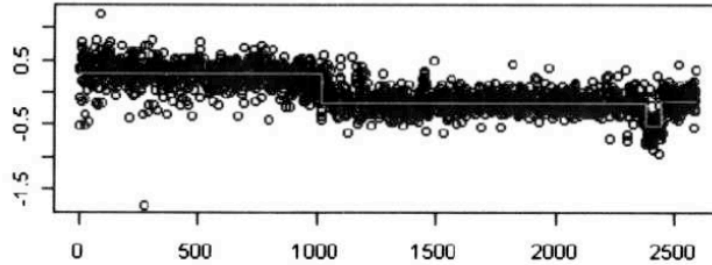
# RD works well on a variety of sequencing platforms



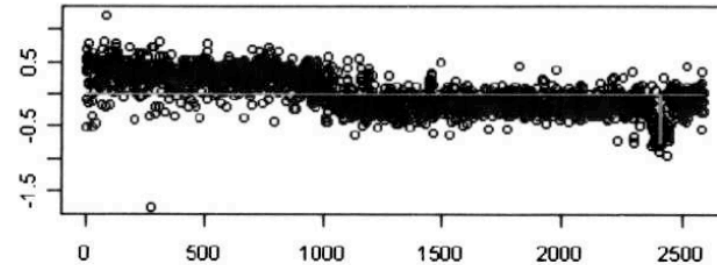
[NA18505]

# MSB works well on array data too

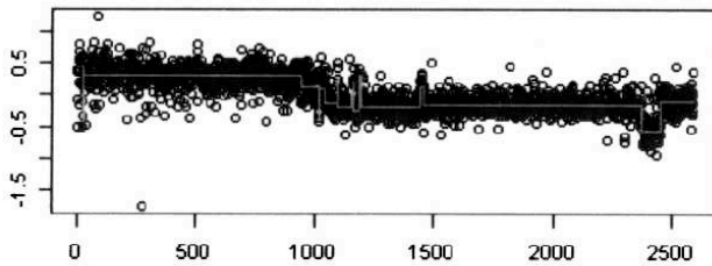
MSB w postprocessing



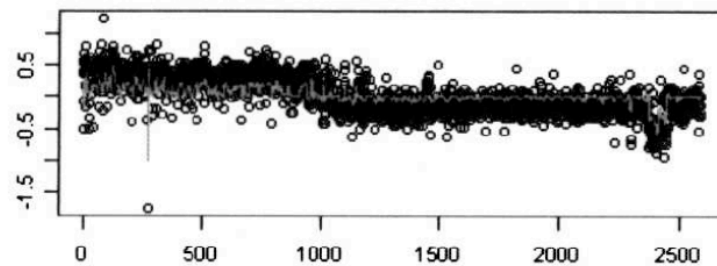
CLAC



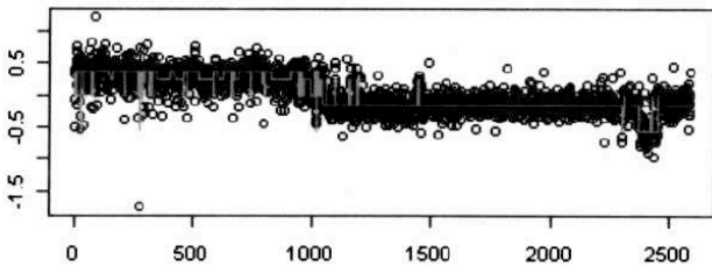
GLAD



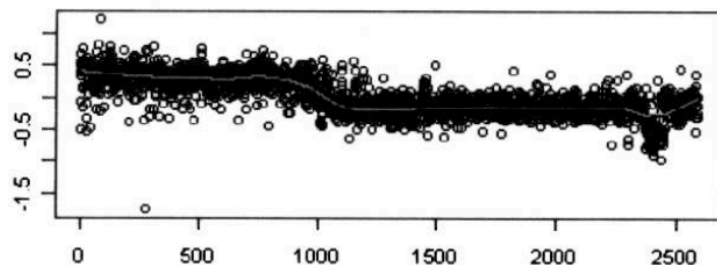
wavelet



HMM



lowess

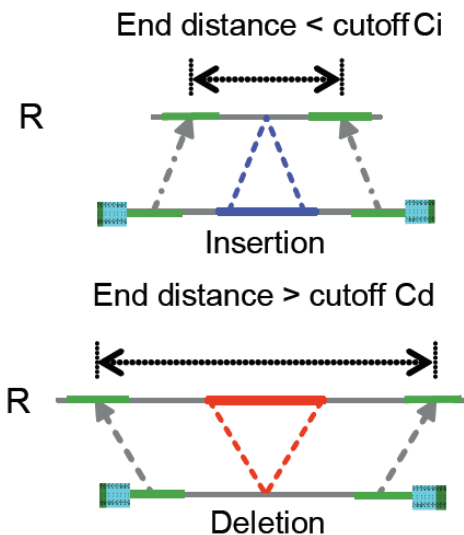
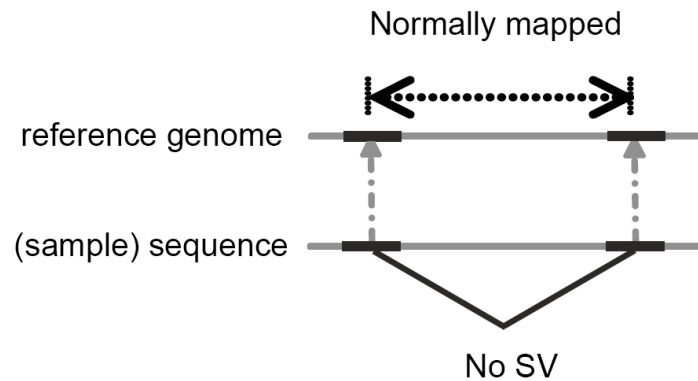


# Looking for Aberrantly Placed Paired Ends



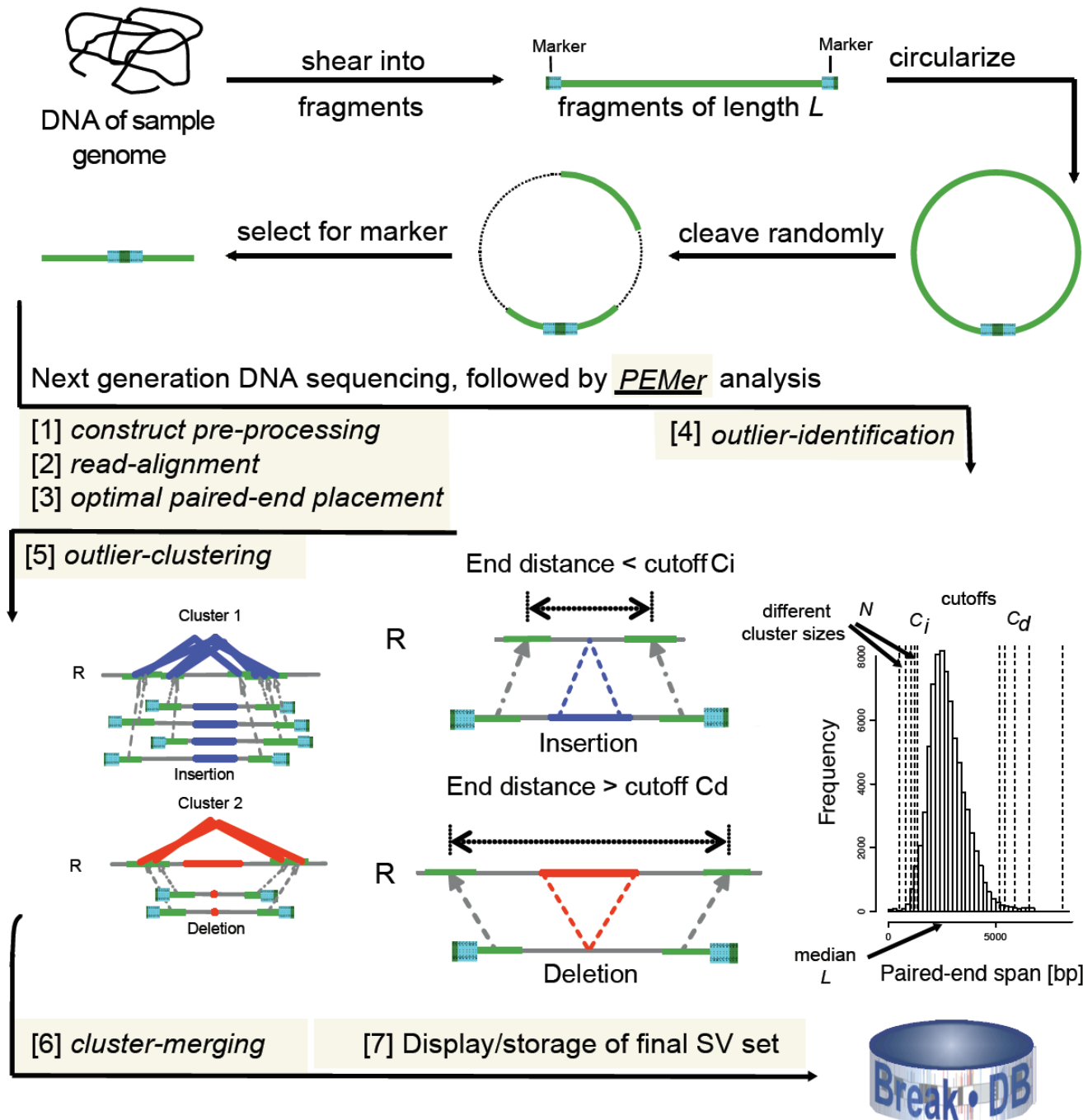


# PEMer: Detecting Structural Variants from Discordant Paired Ends in Massive Sequencing



[Korbel et al.,  
Science ('07);  
Korbel et al.,  
GenomeBiol. ('09)]

# Overall Strategy for Analysis of NextGen Seq. Data to Detect Structural Variants



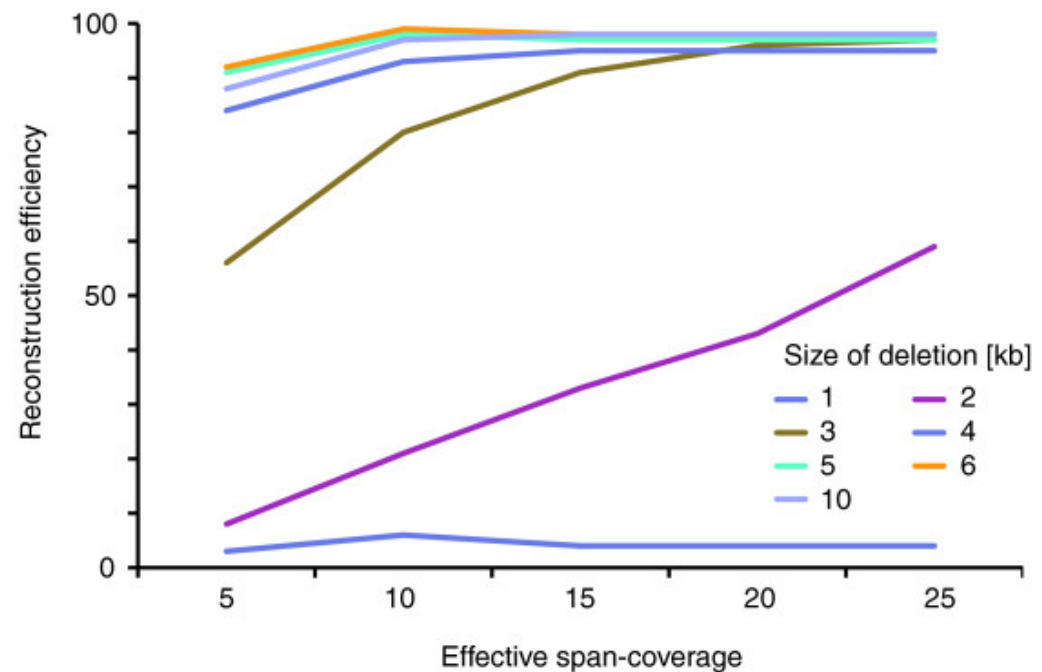
[Korbel et al.,  
Science ('07);  
Korbel et al.,  
GenomeBiol. ('09)]

# Parameterize Error Models through Simulation

## Reconstruction efficiency at different coverage

[Korbel et al.,  
GenomeBiol.  
(‘09)]

Deletion size	Reconstruction efficiency at 5x coverage by 2.5 kb inserts
1000	3
2000	11
3000	49
4000	80
5000	91
6000	92
10000	88
Total	414
False positives	5



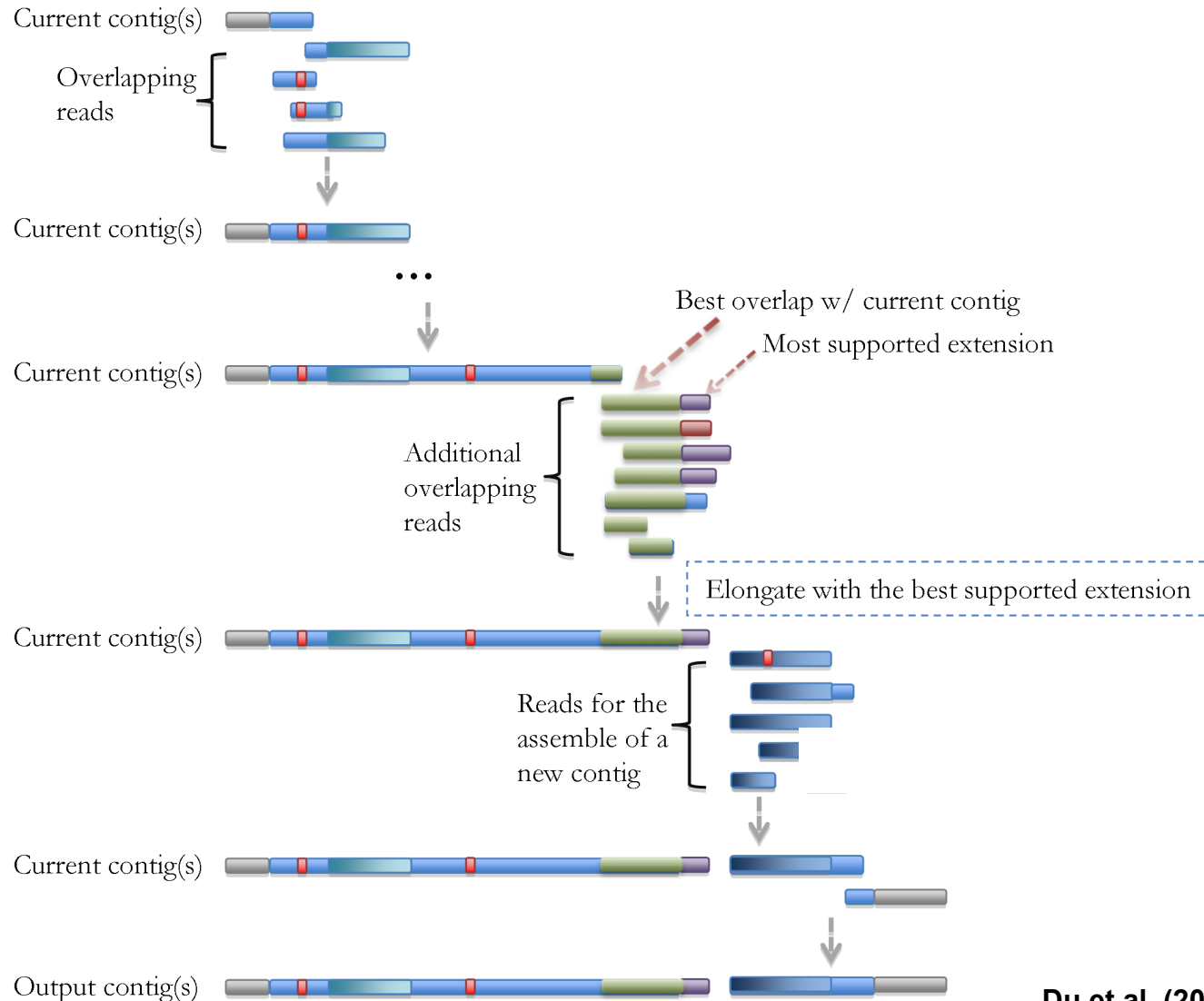
# Local Reassembly





# Simple Local Assembly: iterative contig extension

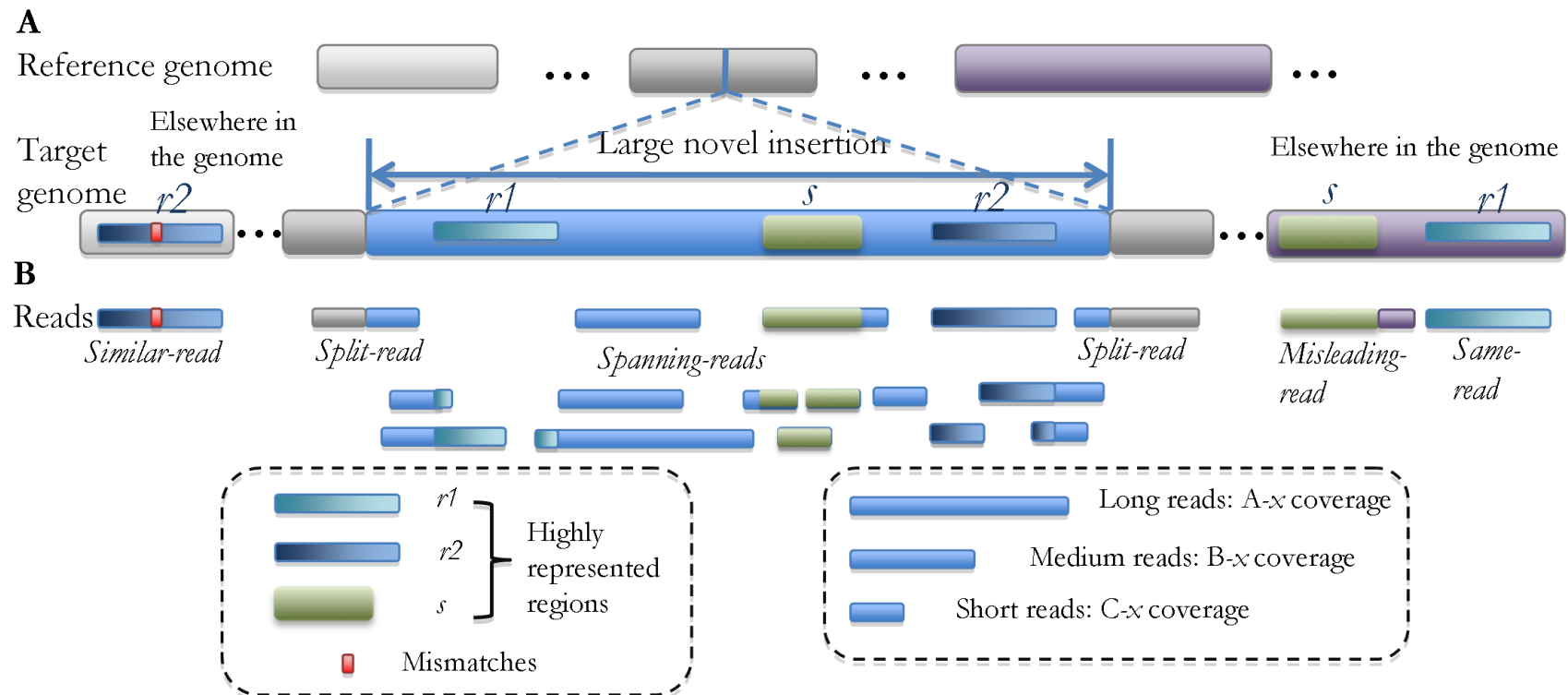
**G** Iterative contig elongation with the best supported extension -- a mostly greedy approach



Du et al. (2009), PLoS Comp Biol.

# Optimal integration of sequencing technologies: *Local Reassembly of large novel insertions*

Given a fixed budget, what are the sequencing coverage A, B and C that can achieve the maximum reconstruction rate (on average/worst-case)? Maybe a few long reads can bootstrap reconstruction process.

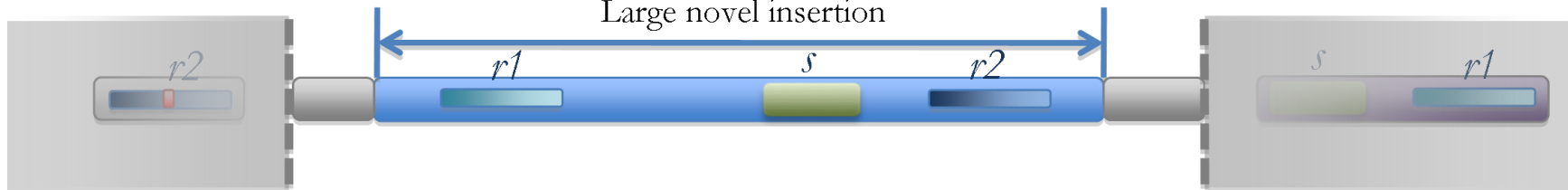


# Optimal integration of sequencing technologies: *Need Efficient Simulation*

Different combinations of technologies (i.e. read lengths) very expensive to actually test.  
Also computationally expensive to simulate.

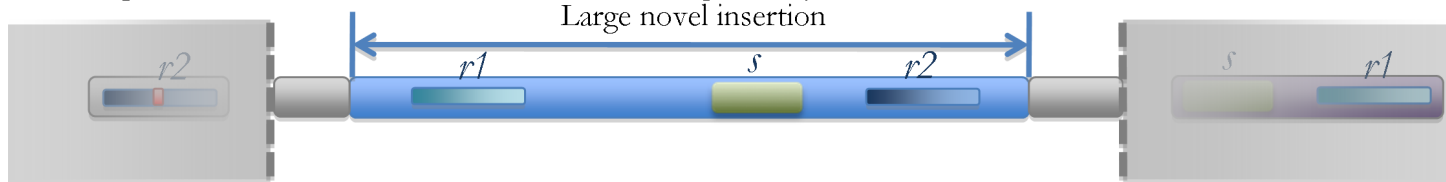
(Each round of whole-genome assembly takes >100 CPU hrs; thus, simulation exploring 1K possibilities takes 100K CPU hr)

C Simplification of the simulation to the insertion region only  
Large novel insertion

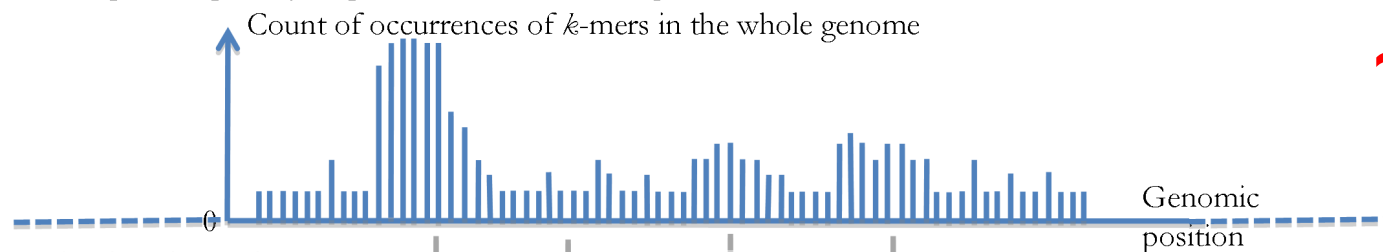


# Optimal integration of sequencing technologies: *Efficient Simulation Toolbox using Mappability Maps*

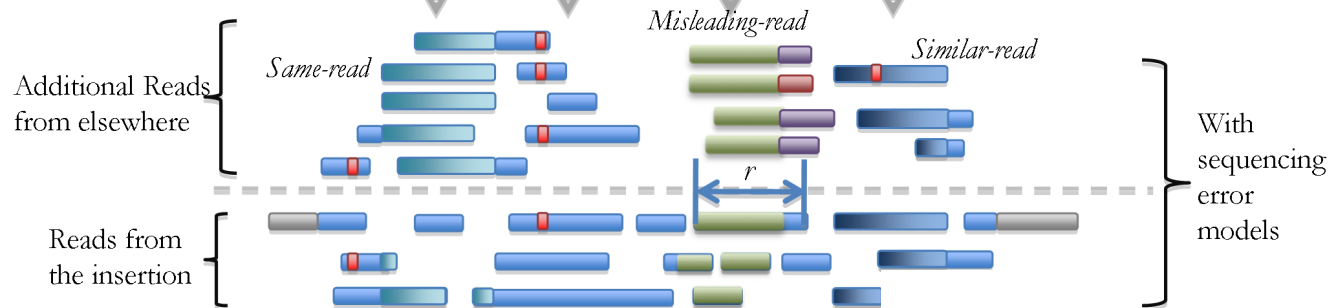
C Simplification of the simulation to the insertion region only



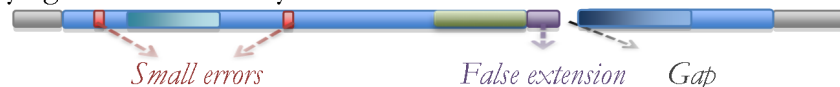
D Compute mappability maps to scale to the whole genome



E Simulate the reads



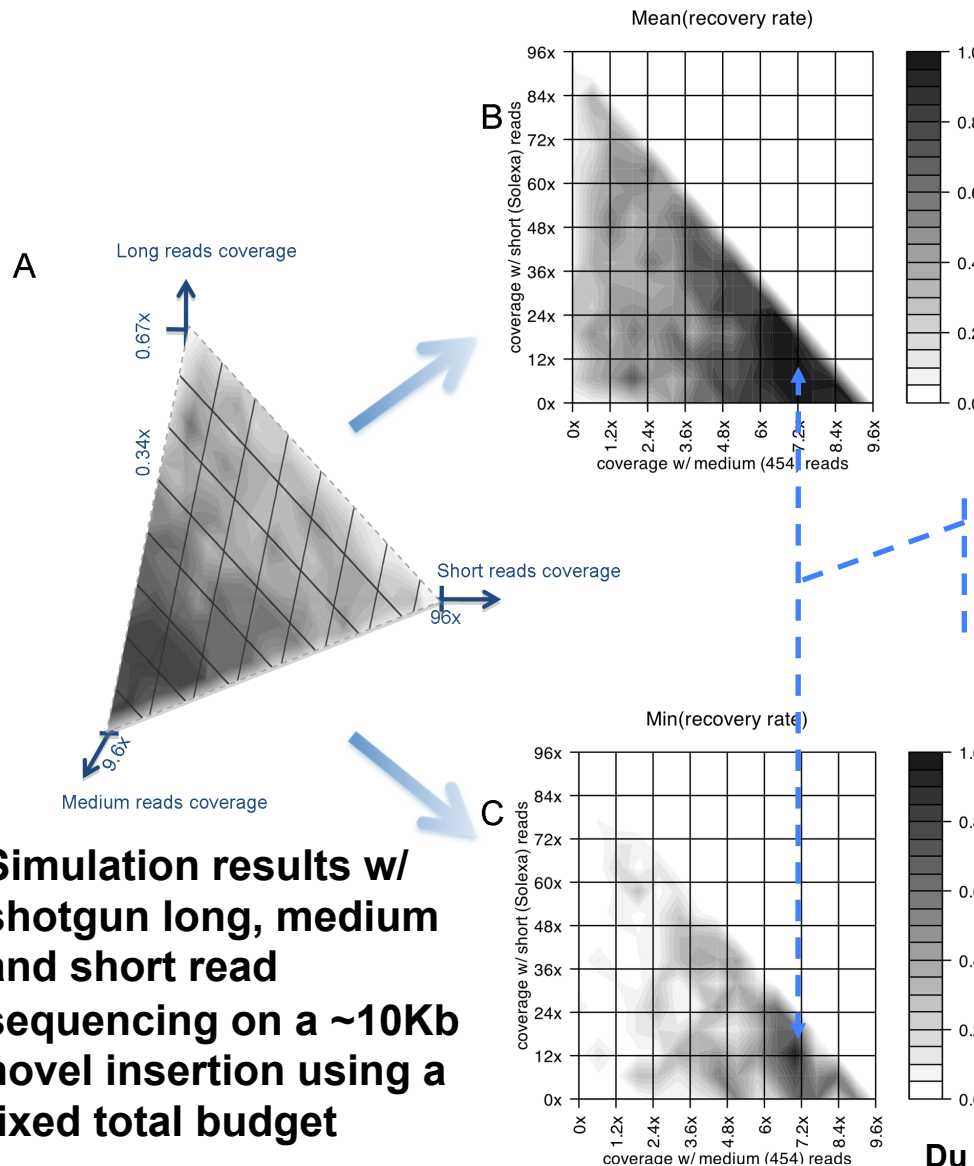
F Output after applying de novo assembly to reads from E



Du et al. (2009), PLoS Comp Biol, in press



# Optimal integration of sequencing technologies: Simulation shows combination better than single technology



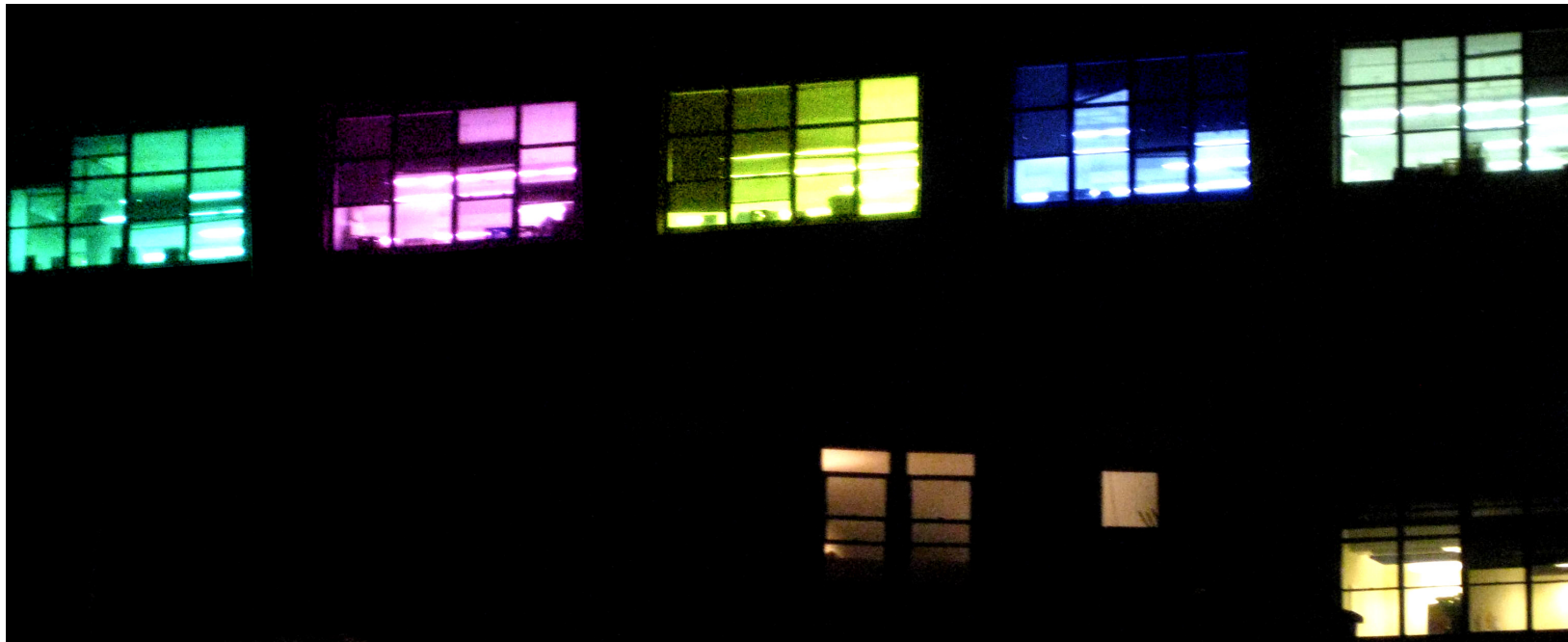
**Simulation results w/  
shotgun long, medium  
and short read  
sequencing on a ~10Kb  
novel insertion using a  
fixed total budget**

**Optimal combination of  
different technologies**

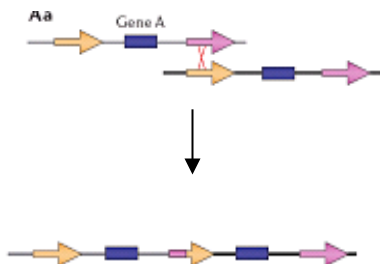
**Result dependent  
on specific  
parameter setting  
of different  
sequencing  
technologies**

Du et al. (2009), PLOS Comp Biol, in press

# Analyzing Repeated Blocks in the Genome (SDs & CNVs)



# SEGMENTAL DUPLICATIONS AND COPY NUMBER VARIANTS ARE RELATED PHENOMENA AND HAVE BEEN CREATED BY SEVERAL DIFFERENT MECHANISMS

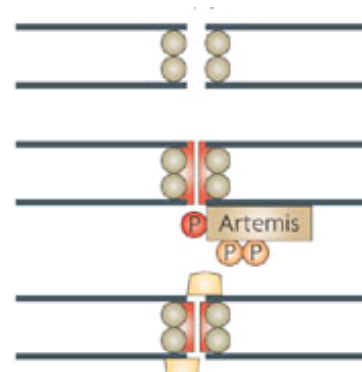


## NAHR

(Non-allelic homologous recombination)

## Flanking repeat

(e.g. Alu, LINE...)



## NHEJ

(Non-homologous-end-joining)

**No (flanking) repeats.**

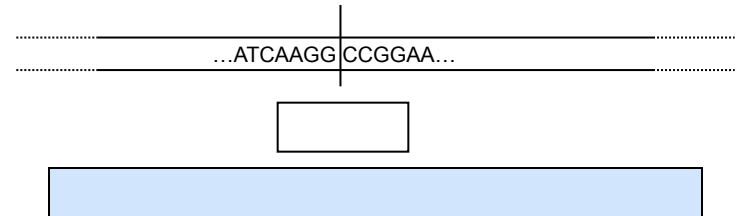
In some cases <4bp microhomologies

# PERFORM LARGE SCALE CORRELATION ANALYSIS TO DETECT REPEAT SIGNATURES OF SDs AND CNVs

If exact CNV breakpoints are known, we can calculate the enrichment of repeat elements relative to the genome or relative to the local environment

**Exact match**

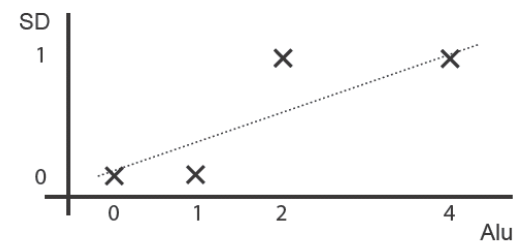
**Local environment**



① Survey a range of genomic features

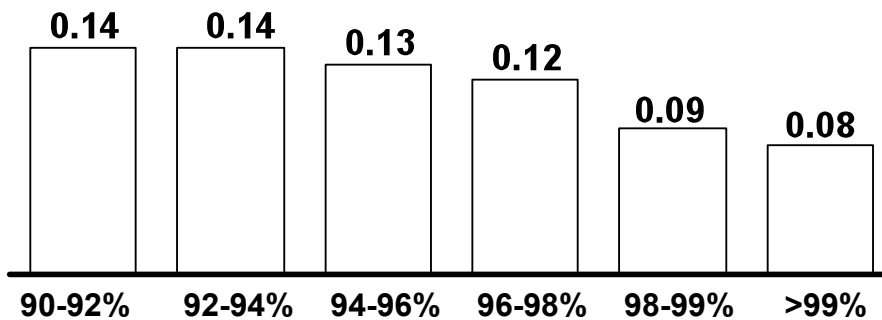
② Count the number of features in each genomic bin (100kb)

③ Calculate correlations / enrichments using robust stats



## OLDER SDs ARE MUCH MORE LIKELY TO BE FORMED BY ALU ELEMENTS

**Alu association with SDs by age**

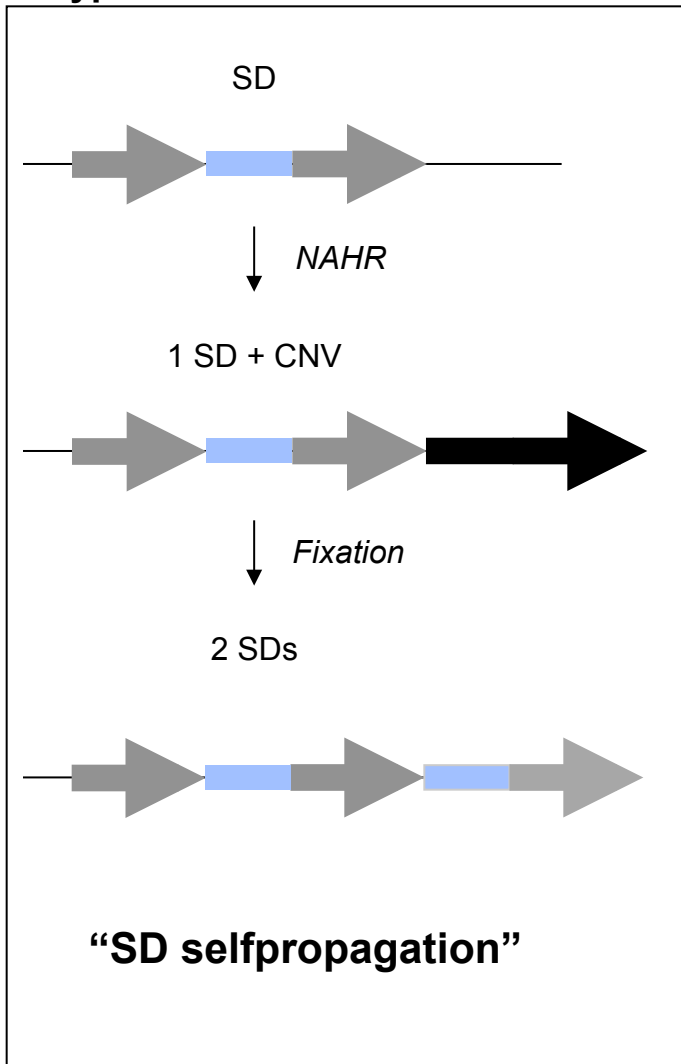


- The co-localization of Alu elements with SDs is highly significant.
- Older SDs have a much higher association with Alus than younger SDs.
- Hence it is likely, that Alu elements were more active in mediating NAHR in the past (consistent with the Alu burst)



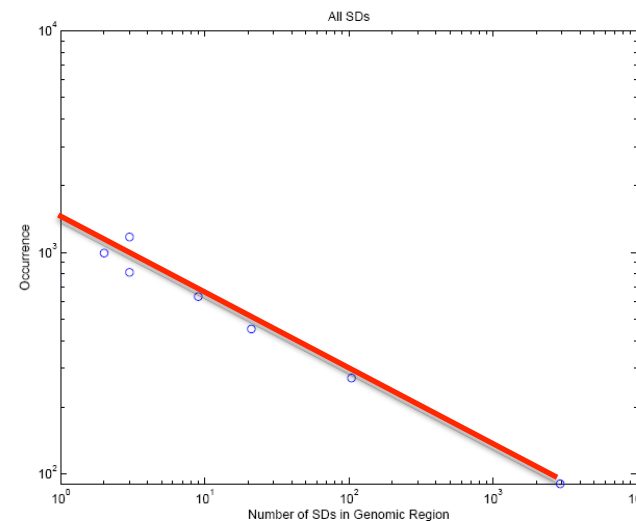
# FOCUSSING ON SDS: SDS CAN PROPAGATE THEMSELVES, WHICH LEADS TO A POWER-LAW DISTRIBUTION

## Hypothesis



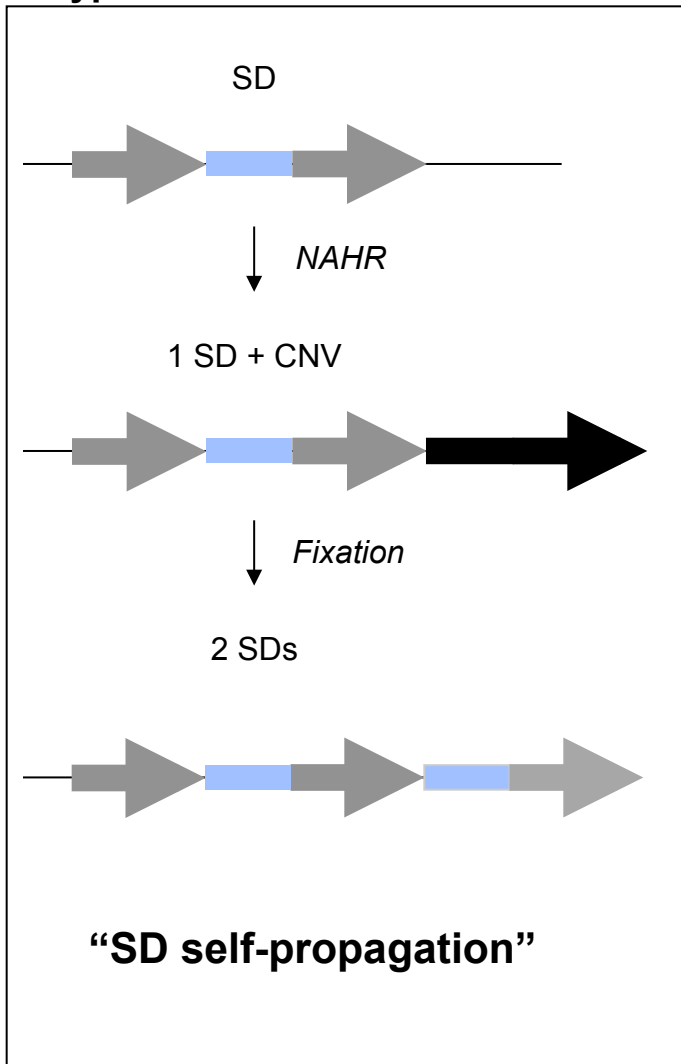
## Corollary

- SDs can mediate NAHR and lead to the formation of CNVs
- CNVs can become fixed and then be SDs
- Such mechanisms (“preferential attachment”) are well studied in physics and should lead to a very skewed (“power-law”) distribution of SDs.



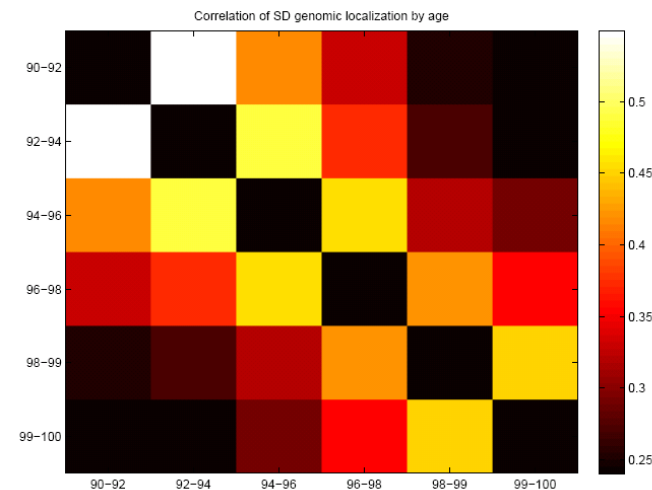
# FOCUSSING ON SDS: SDs COLOCALIZE WITH EACH OTHER

## Hypothesis



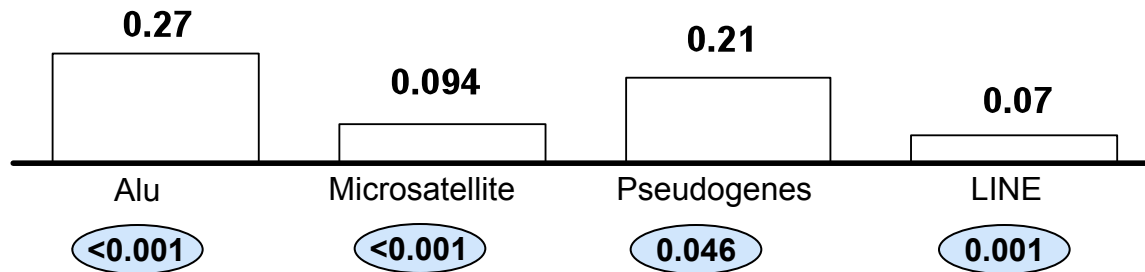
## Corollary

- SDs can mediate NAHR and lead to the formation of CNVs
- CNVs can become fixed and then be SDs
- SDs of similar age should co-localize better with each other:

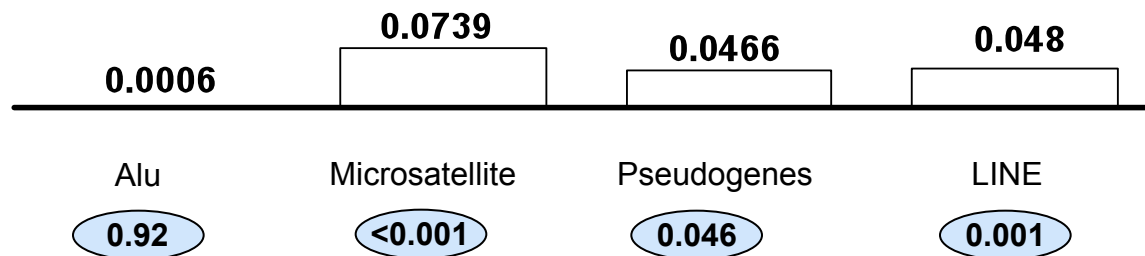


# ASSOCIATIONS ARE DIFFERENT FOR SDs AND CNVs

## SD association with repeats

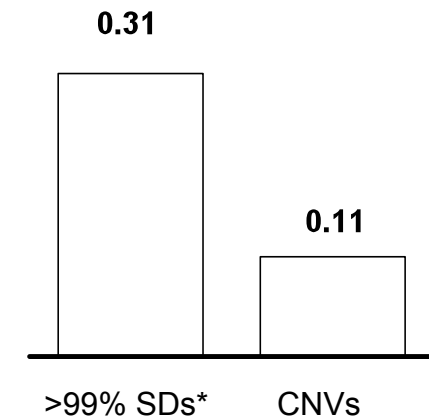


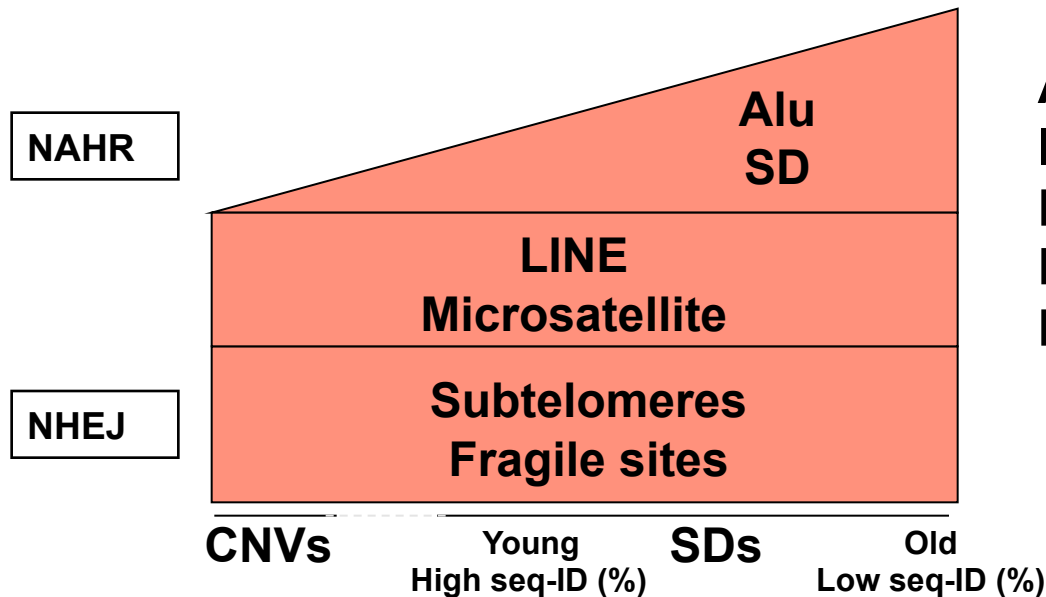
## CNV association with repeats



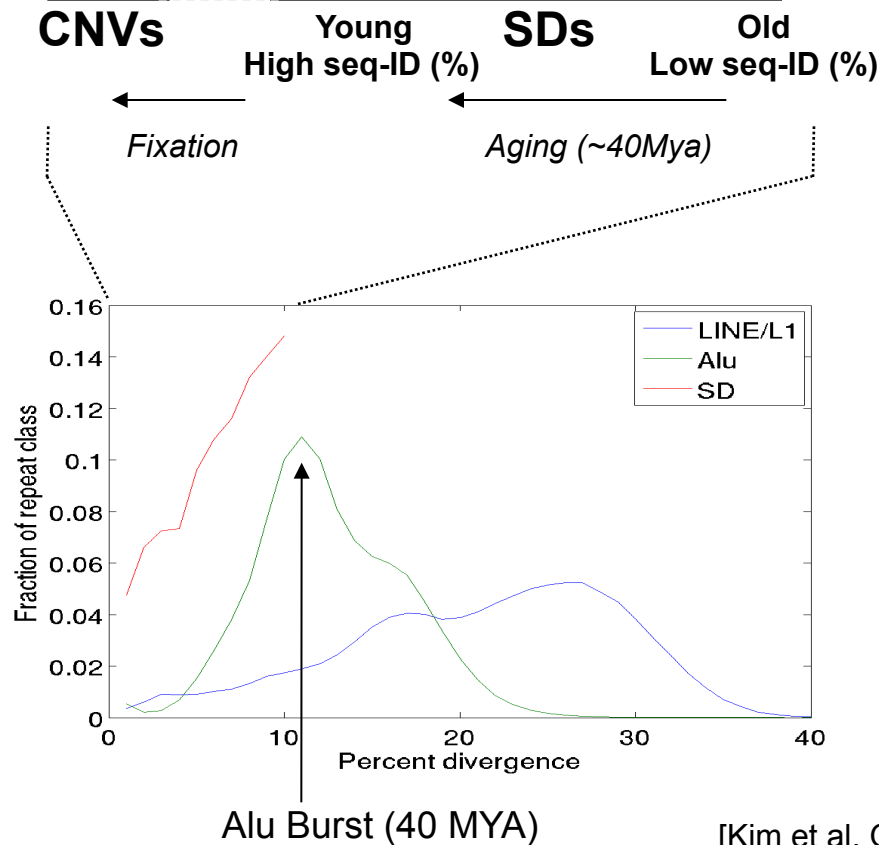
**CNVs ARE LESS ASSOCIATED WITH SDs THAN THE GENERAL SD TREND**

## CNV Association with SDs



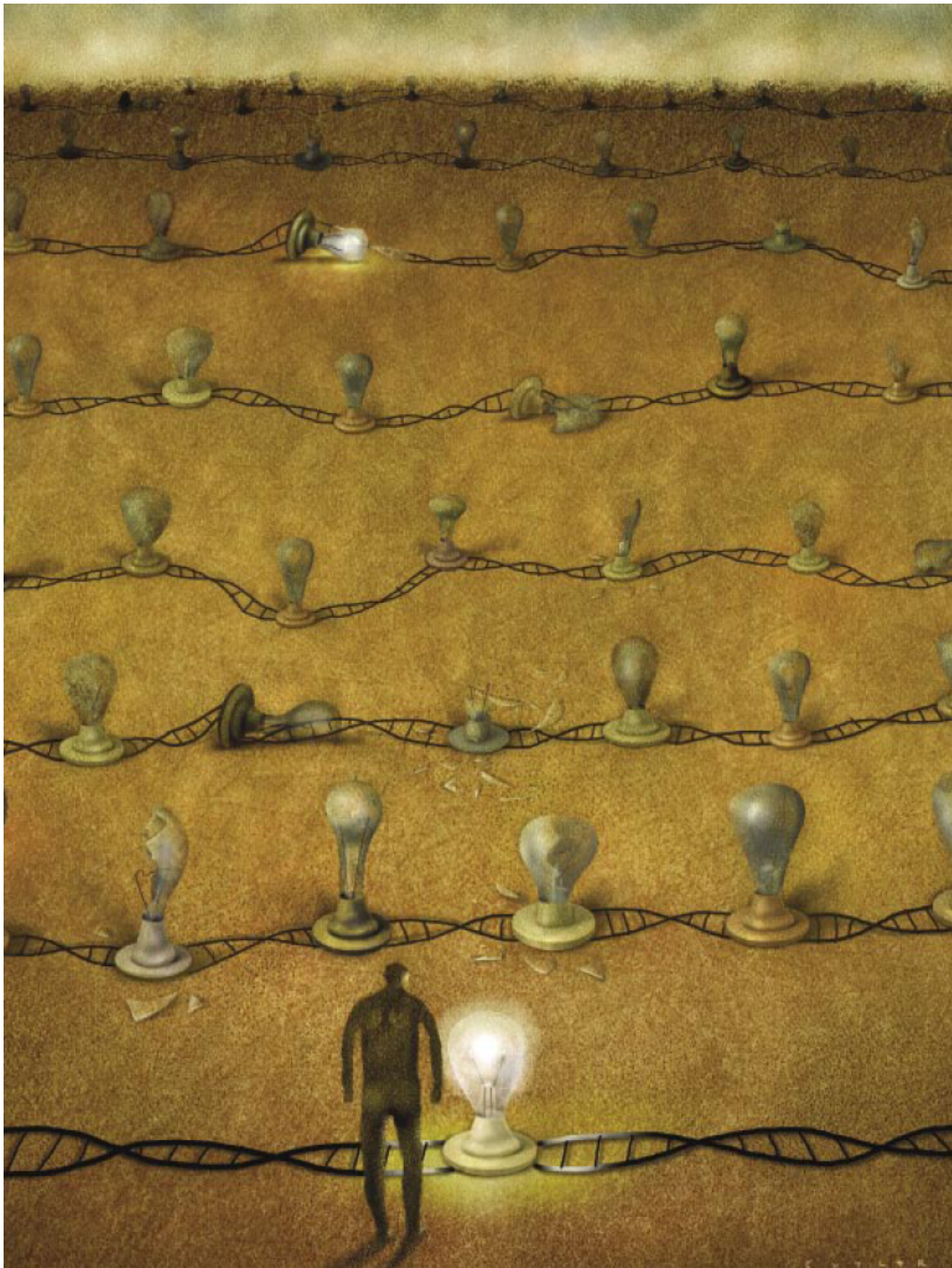


**AFTER THE ALU BURST, THE IMPORTANCE OF ALU ELEMENTS FOR GENOME REARRANGEMENT DECLINED RAPIDLY**



- About 40 million years ago there was a burst in retrotransposon activity
- The majority of Alu elements stem from that time
- This, in turn, led to rapid genome rearrangement via NAHR
- The resulting SDs, could create more SDs, but with Alu activity decaying, their creation slowed

[Kim et al. Gen. Res. ('08), [arxiv.org/abs/0709.4200v1](https://arxiv.org/abs/0709.4200v1) ]



## Formal Annotation based on Comparative Genomics: Pseudogenes

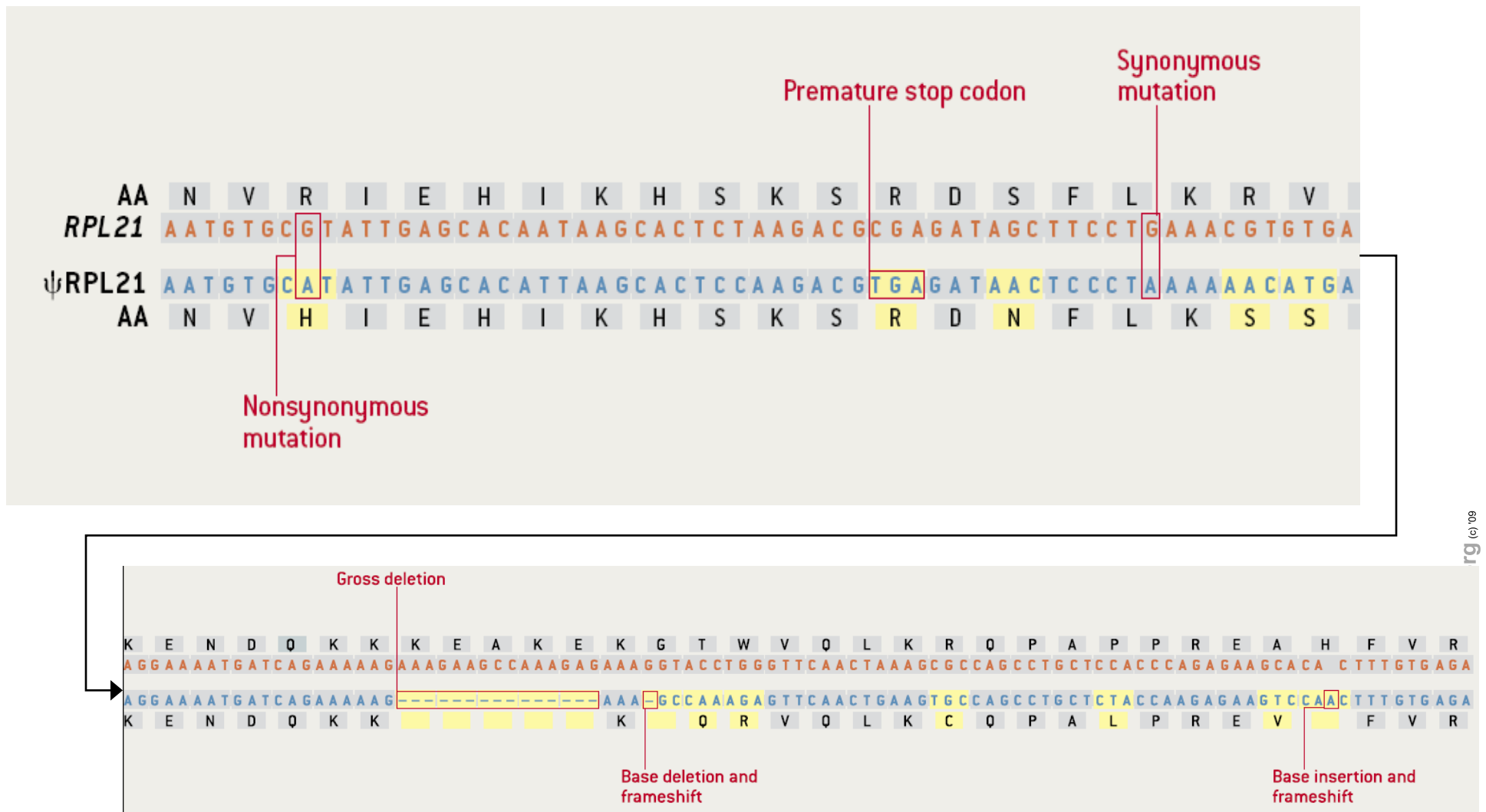
Illustration from Gerstein & Zheng (2006). Sci Am.



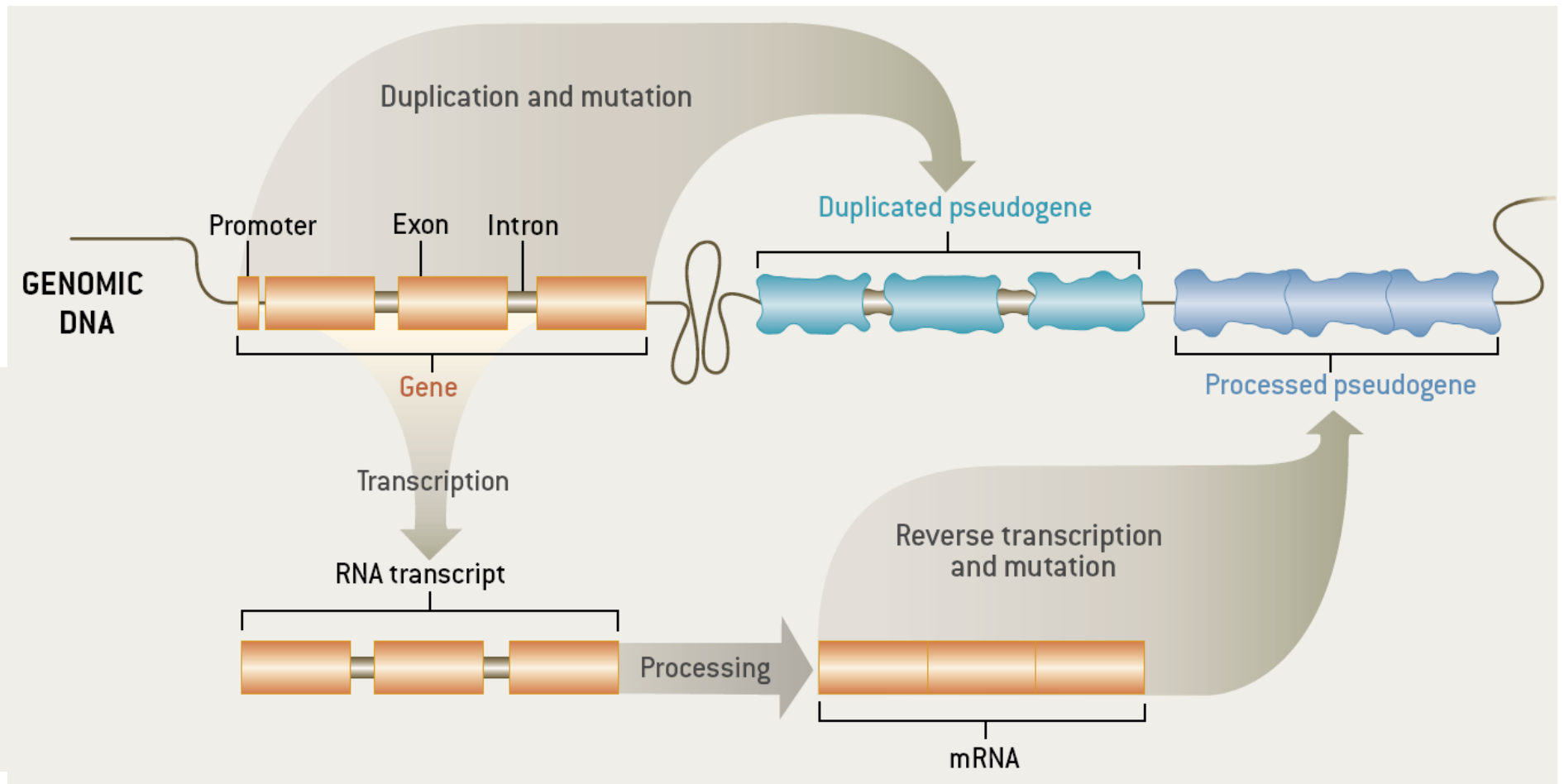
# Pseudogenes are among the most interesting intergenic elements

- Formal Properties of Pseudogenes ( $\Psi$ G)
  - ◊ Inheritable
  - ◊ Homologous to a functioning element
  - ◊ Non-functional\*
    - No selection pressure so free to accumulate mutations
      - Frameshifts & stops
      - Small Indels
      - Inserted repeats (LINE/Alu)
    - **What does this mean?** no transcription, no translation?...

# Identifiable Features of a Pseudogene ( $\psi$ RPL21)



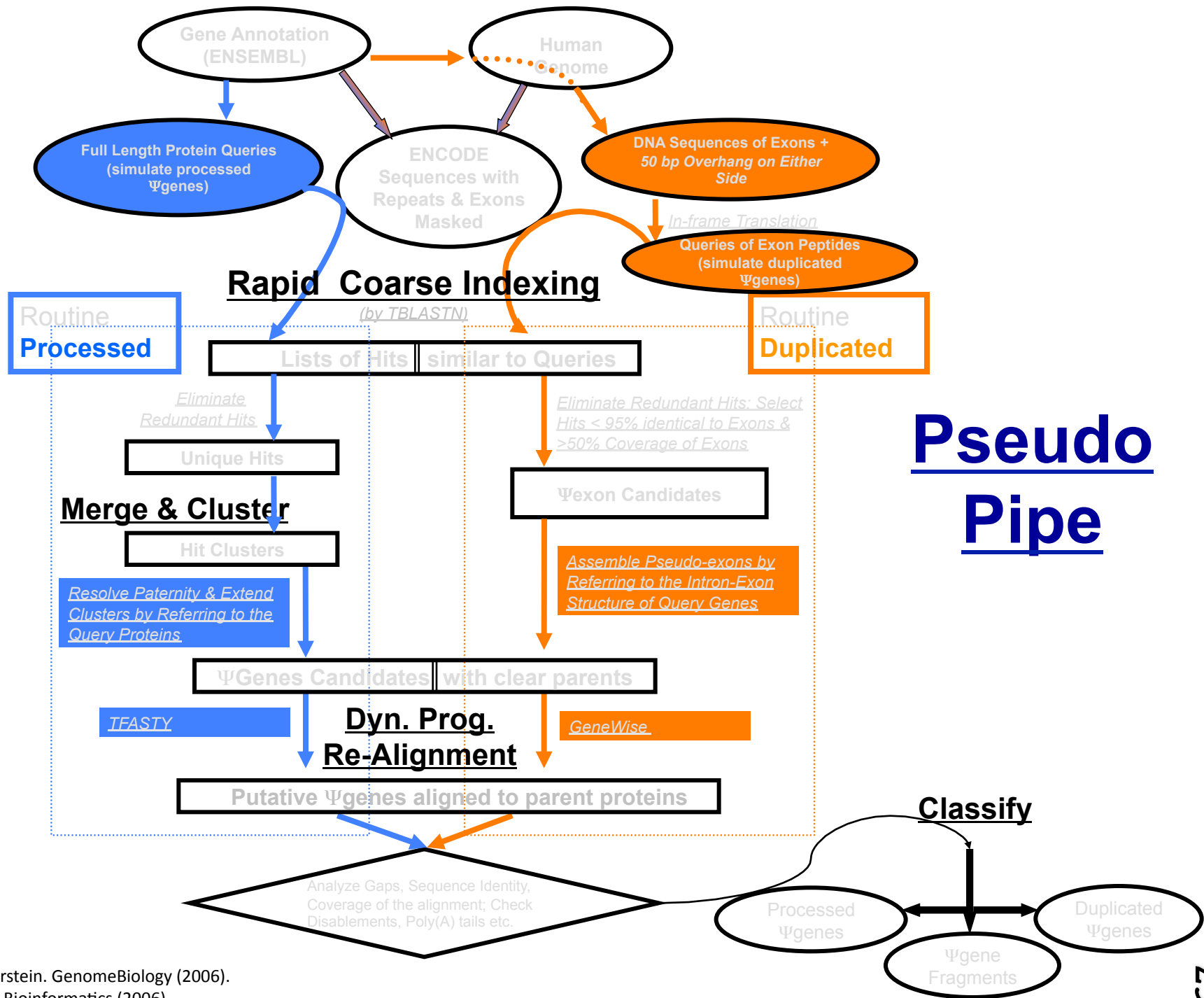
# Two Major Genomic Remodeling Processes Give Rise to Distinct Types of Pseudogenes



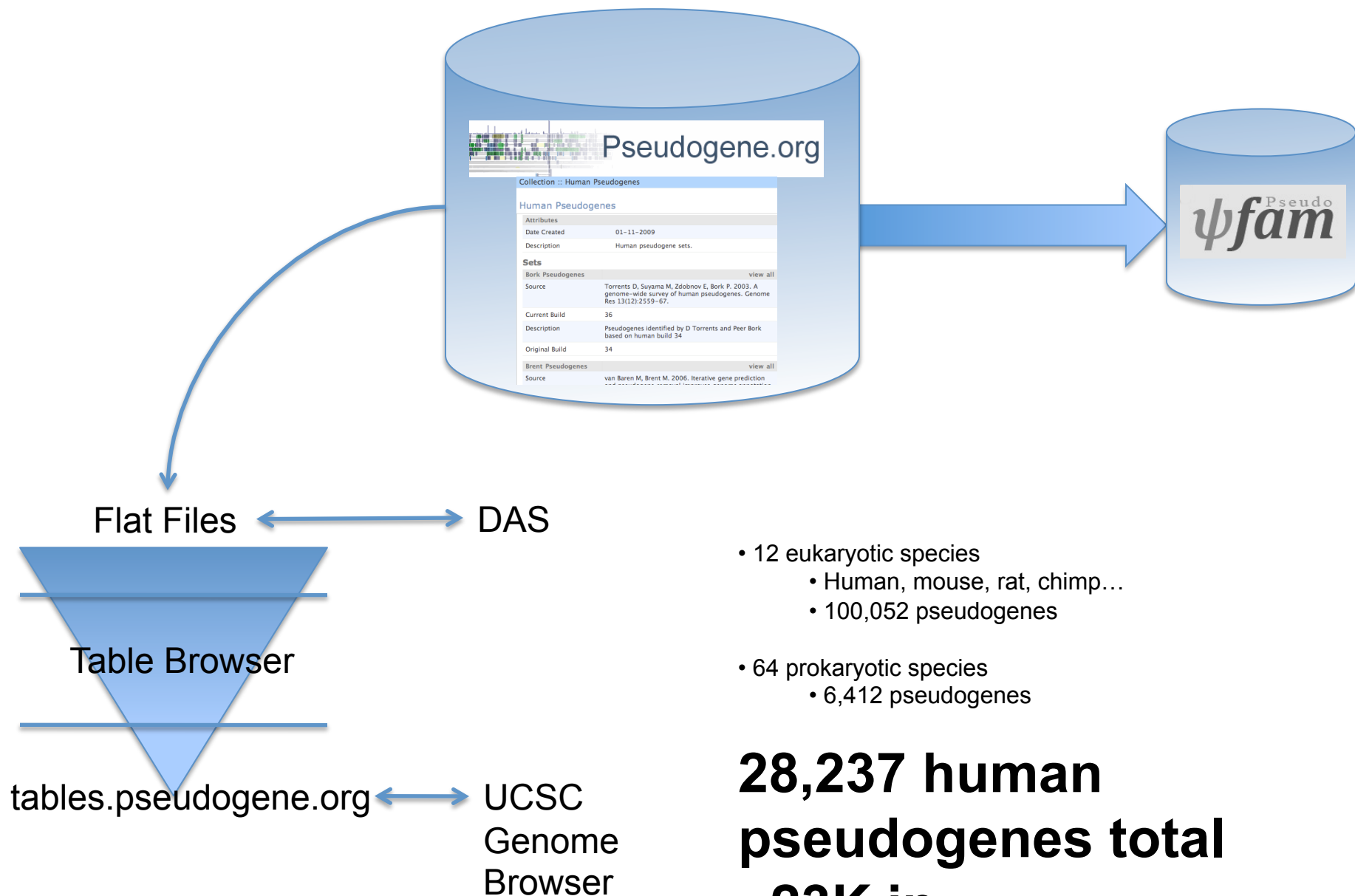
Gerstein & Zheng. Sci Am 295: 48 (2006).



# Pseudogene Tools: Assignment Pipeline & DB





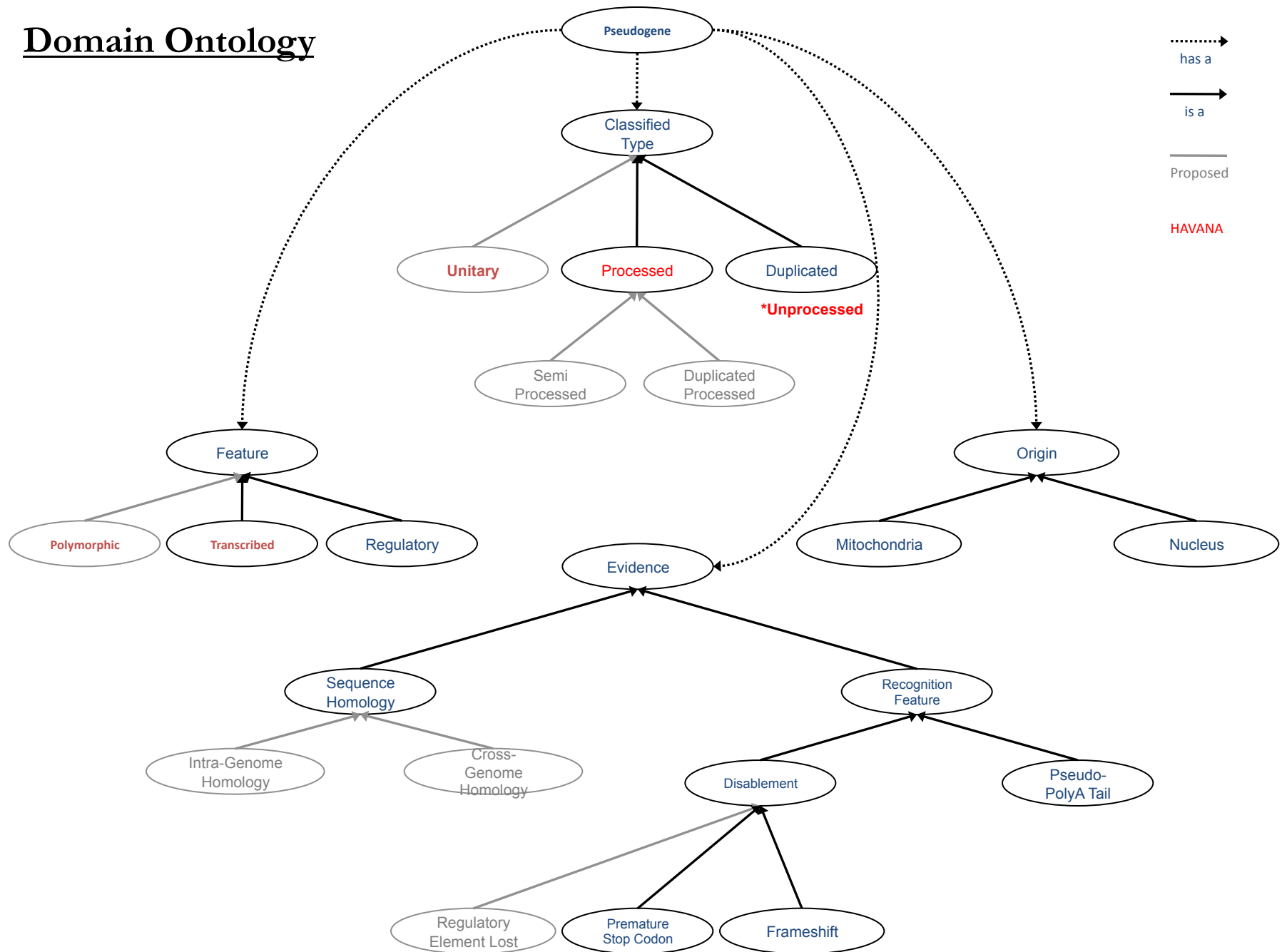


- 12 eukaryotic species
  - Human, mouse, rat, chimp...
  - 100,052 pseudogenes
- 64 prokaryotic species
  - 6,412 pseudogenes

**28,237 human  
pseudogenes total  
~23K in  
recent pipeline run**

- 13+ unique human sets

# Domain Ontology



[Lam et al., NAR DB Issue (in press, '09)]

# Overall Flow:

## Pipeline Runs, Coherent Sets,

## Annotation, Transfer to Sanger

- Overall Approach
  1. Overall Pipeline runs at Yale and UCSC, yielding raw pseudogenes
  2. Extraction of coherent subsets for further analysis and annotation
  3. Passing to Sanger for detailed manual analysis and curation
  4. Incorporation into final GENCODE annotation
  5. Pipeline modification
- Chronology of Sets
  1. Encode Pilot 1%
  2. Unitary pseudogenes (Hard)
  3. Ribosomal Protein pseudogenes
  - 4. Glycolytic Pseudogenes**
  5. ....
- Totals (May '09)
  - ◇ Automatic pipeline currently gives ~23K
  - ◇ Manually Annotated ~8K

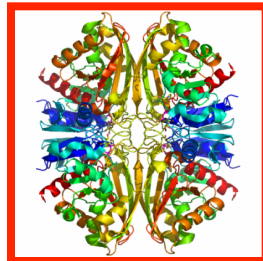
# Specific Pseudogene Assignments: Glycolytic Pseudogenes



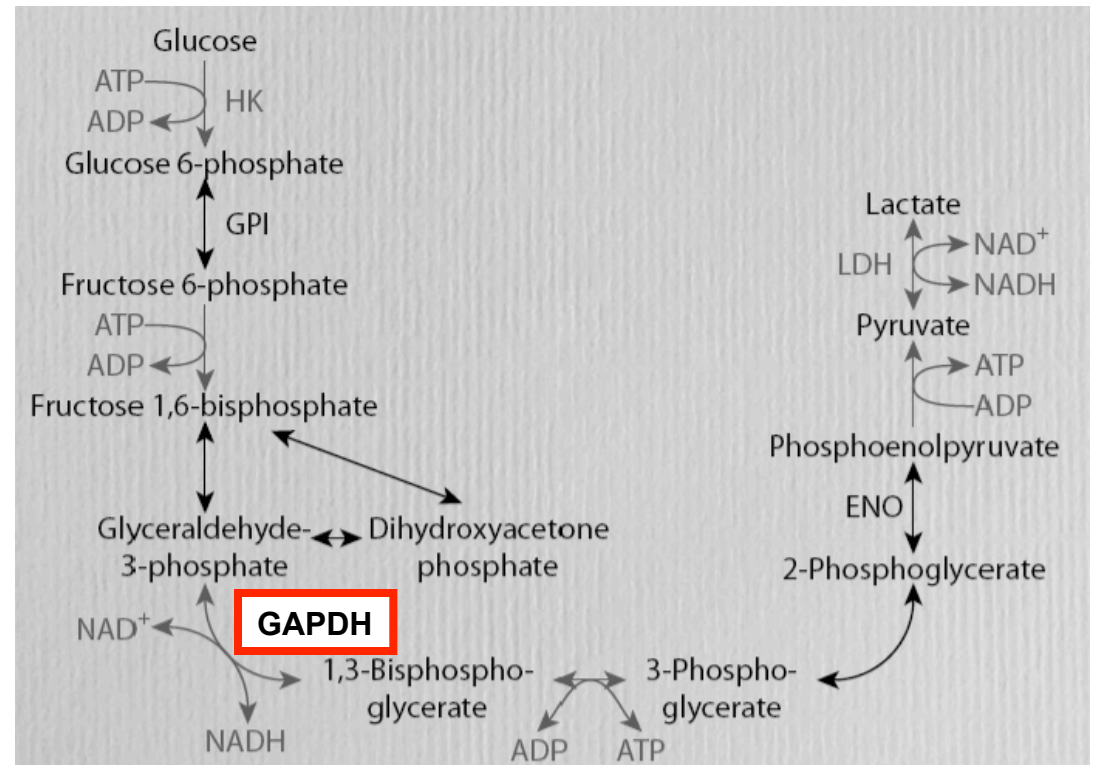
# Number of pseudogenes for each glycolytic enzyme

[Liu et al. BMC Genomics ('09)]

Large numbers of processed  
GAPDH pseudogenes in  
mammals comprise one of the  
biggest families but numbers  
not obviously correlated with  
mRNA abundance.



Processed/Duplicated



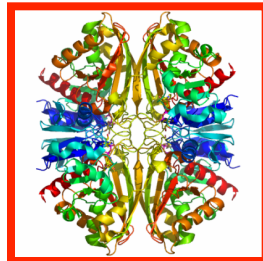
	Human	Chimp	Mouse	Rat	Chicken	Zebrafish	Pufferfish	Fruitfly	Worm
HK	1/0	1/2	0/1	-	0/2	-	-	-	-
GPI	-	-	1/0	-	-	-	-	-	-
PFK	-	-	-	-	-	0/1	-	-	-
ALDO	1/1	1/1	11/0	7/0	0/1	-	-	-	-
TPI	3/0	2/1	6/1	3/1	-	-	-	-	-
<b>GAPDH</b>	<b>60/2</b>	<b>47/3</b>	<b>285/46</b>	<b>329/35</b>	0/1	-	-	-	-
PGK	1/1	1/2	2/0	12/0	-	-	-	-	-
PGM	12/0	13/1	9/0	3/0	-	-	-	-	-
ENO	1/0	1/2	12/1	36/3	-	-	-	-	-
PK	2/0	3/0	10/3	4/1	-	-	-	-	-
LDH	10/2	9/1	27/7	25/4	-	-	-	-	-
Total	97	91	422	463	4	1	0	0	0



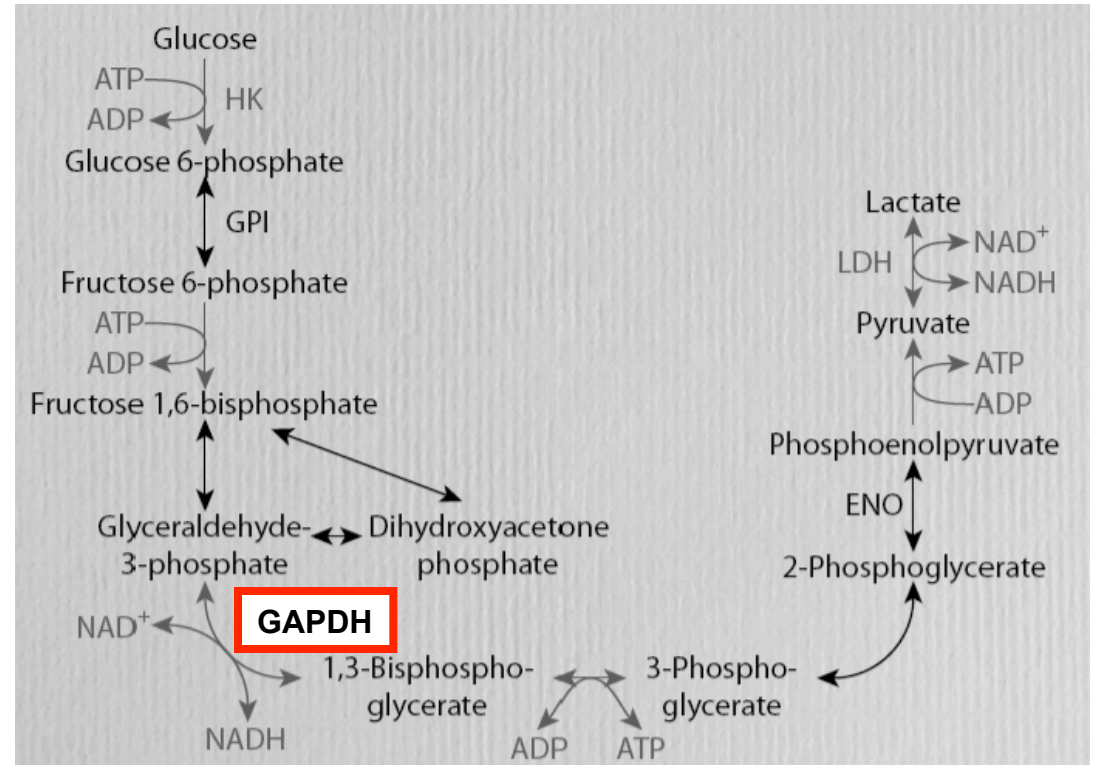
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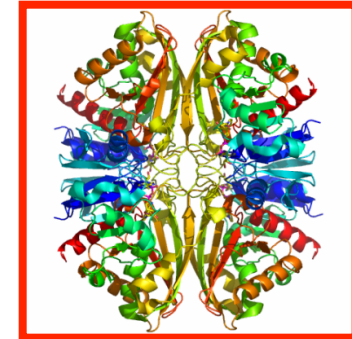
Processed/Duplicated



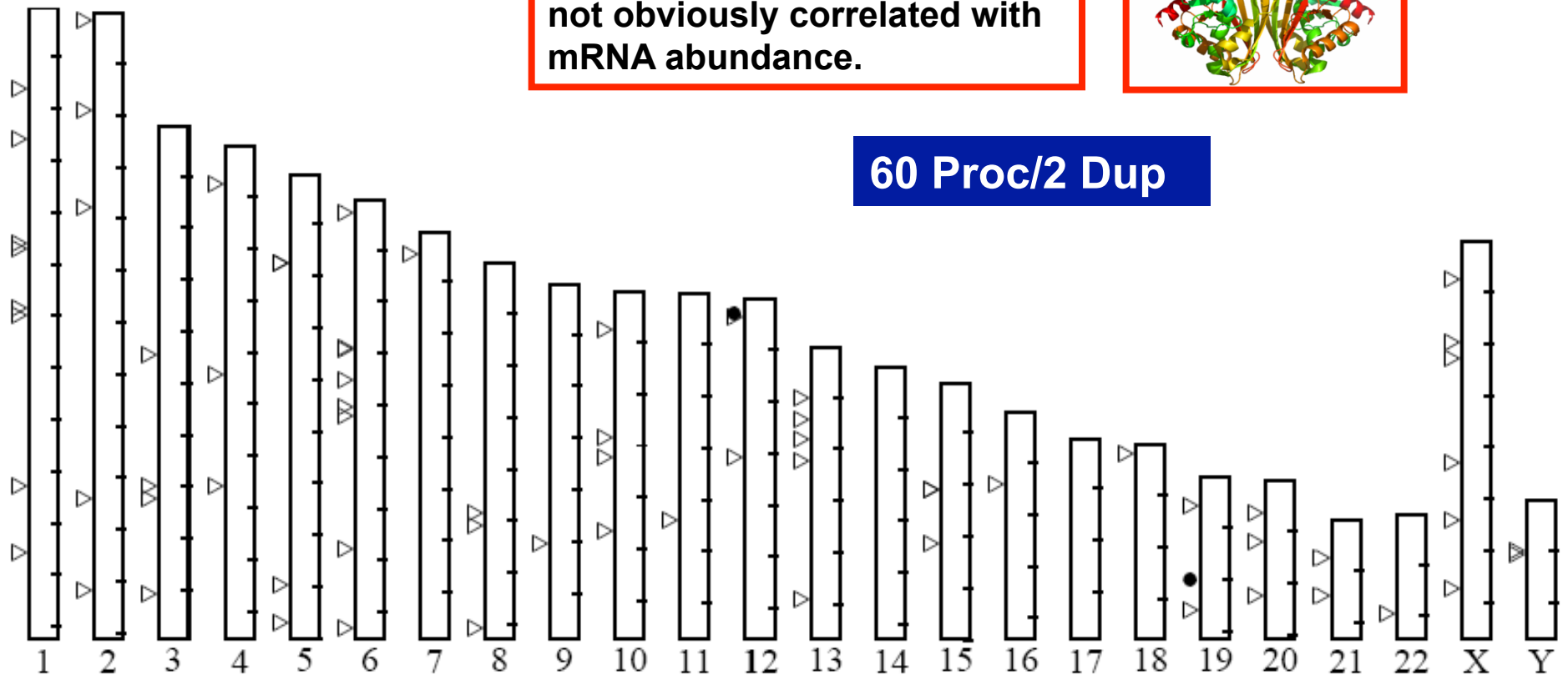
	Human	Chimp	Mouse	Rat	Chicken	Zebrafish	Pufferfish	Fruitfly	Worm
HK	1/0	1/2	0/1	-	0/2	-	-	-	-
GPI	-	-	1/0	-	-	-	-	-	-
PFK	-	-	-	-	-	0/1	-	-	-
ALDO	1/1	1/1	11/0	7/0	0/1	-	-	-	-
TPI	3/0	2/1	6/1	3/1	-	-	-	-	-
<b>GAPDH</b>	<b>60 Proc/2 Dup</b>	<b>7/3</b>	<b>285/46</b>	<b>329/35</b>	<b>0/1</b>	-	-	-	-
PGK	1/1	1/2	2/0	12/0	-	-	-	-	-
PGM	12/0	13/1	9/0	3/0	-	-	-	-	-
ENO	1/0	1/2	12/1	36/3	-	-	-	-	-
PK	2/0	3/0	10/3	4/1	-	-	-	-	-
LDH	10/2	9/1	27/7	25/4	-	-	-	-	-
Total	97	91	422	463	4	1	0	0	0

# Distribution of human GAPDH pseudogenes

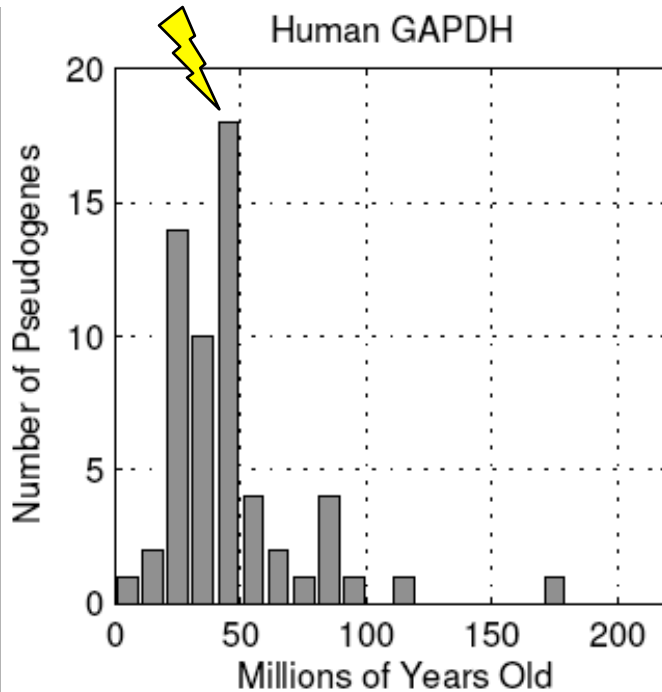
Large numbers of processed GAPDH pseudogenes in mammals comprise one of the biggest families but numbers not obviously correlated with mRNA abundance.



60 Proc/2 Dup



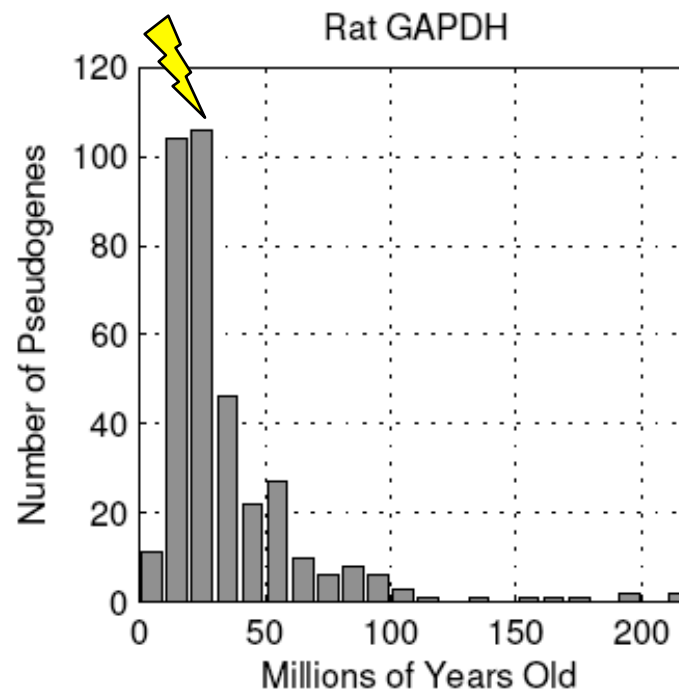
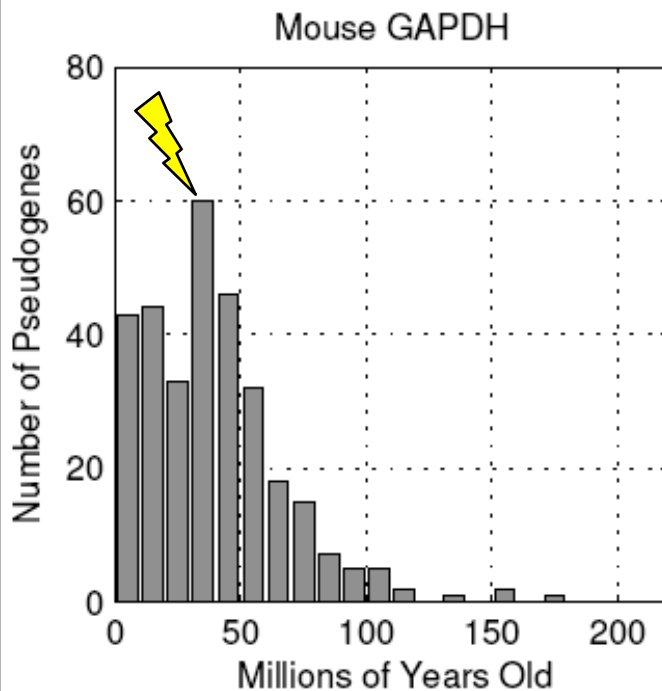
[Liu et al. BMC Genomics ('09, in press)]



**Burst of  
Retrotran-  
spositional  
Activity**

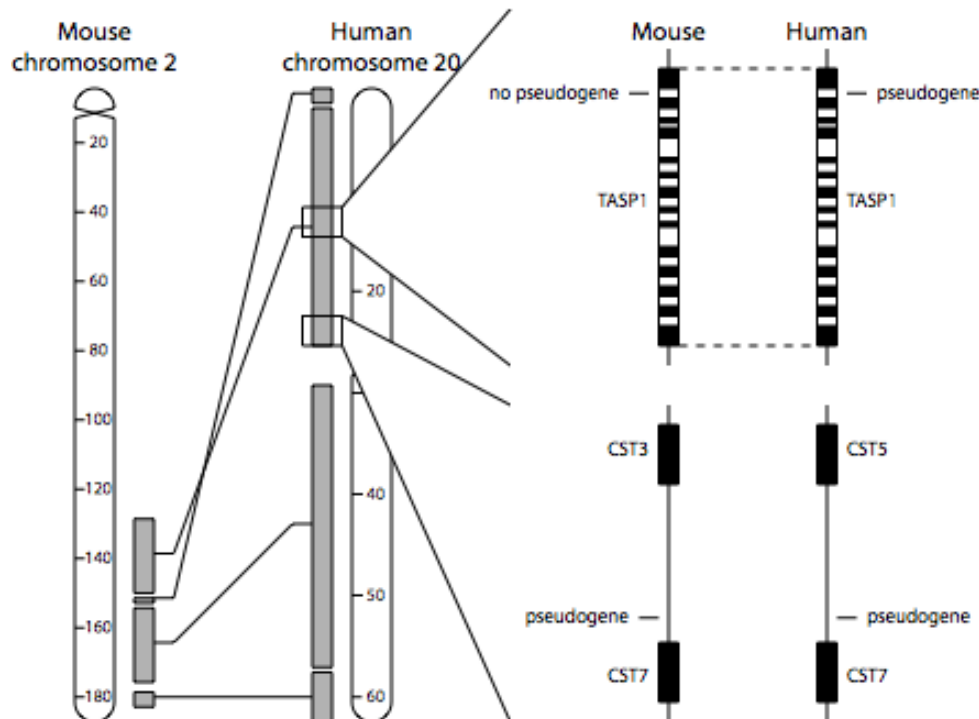
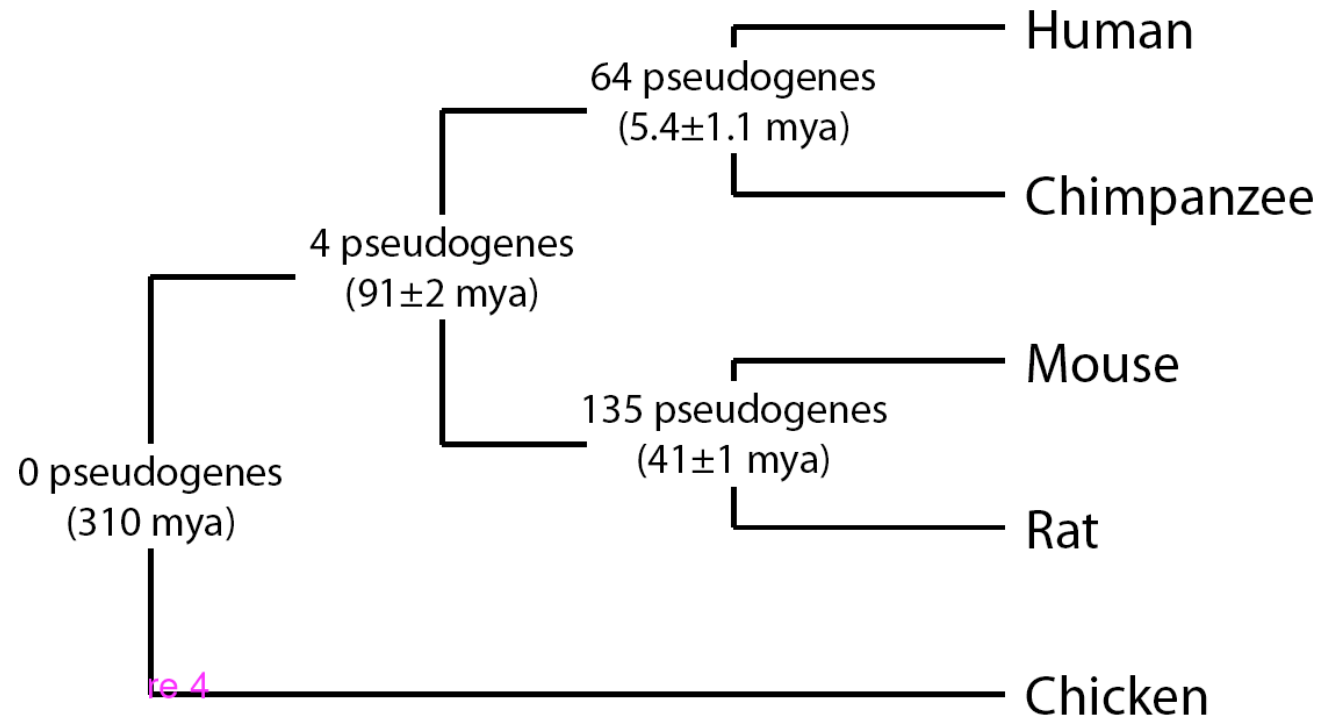
## Aproximate Age of GAPDH pseudogenes

Age calculated  
based on Kimura-2  
parameter model of  
nucleotide  
substitution



[Liu et al. BMC Genomics ('09)]

# Synteny of GAPDH pseudogenes



**Synteny derived  
based on local gene  
orthology**

[Liu et al. BMC Genomics ('09)]

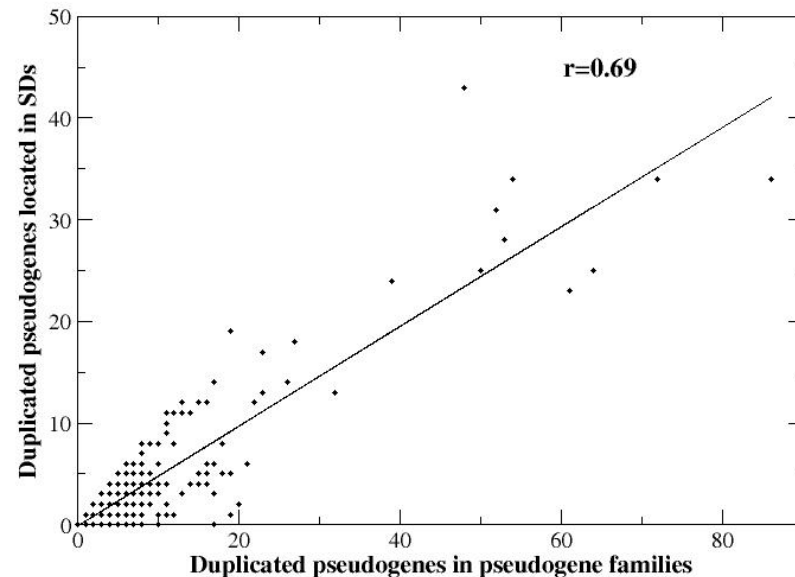
# Integration of Pseudogenes with Other Features (SDs & Measures of Biochemical Activity)





# Pseudogene families and Segmental Duplications (SDs)

- CNVs are the raw form of variation producing duplicated elements
- Fixed CNVs/SVs create SDs, which in turn give rise to duplicated genes and (eventually) protein families
- Thus, we expect, duplicated pseudogenes (failed duplications) to occur in SDs

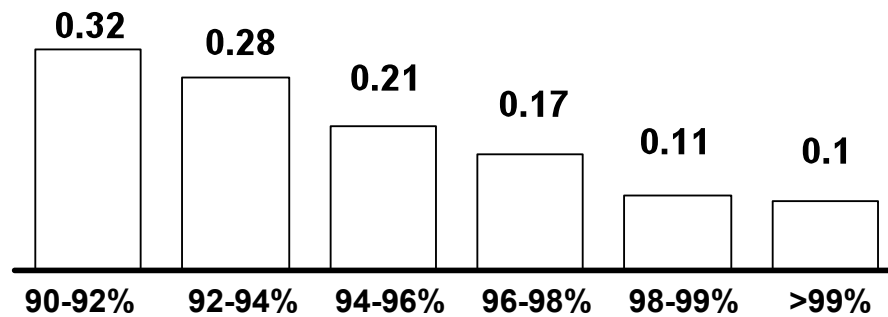


- **SDs comprise ~5% of the human genome but contain ~18% genes, 46% duplicated pgenes and 22% processed pgenes**
- Correlation above consistent with the observation that SDs contain more pgenes than parent genes

[Lam et al., NAR DB Issue (in press, '09)]

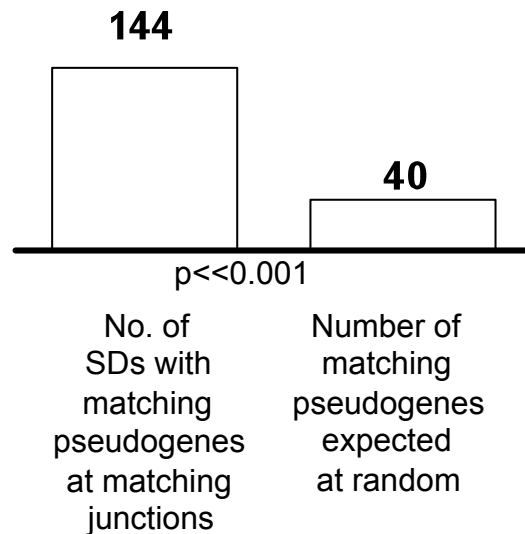
## Pseudogenes & CNV/SDs (whole genome, not GAPDH)

**Pseudogene association with SDs by age**

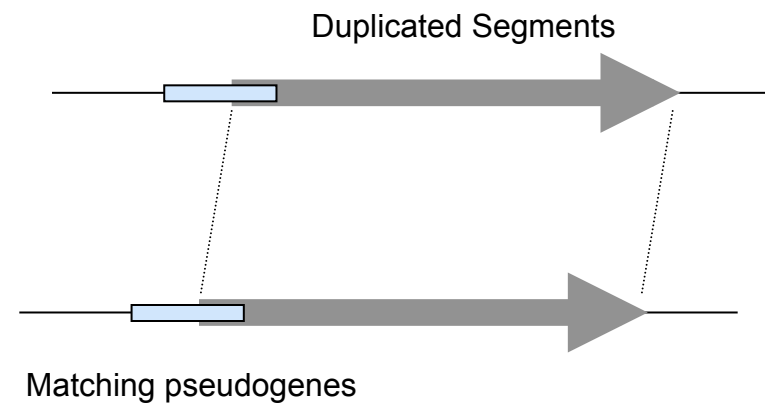


Duplicated pseudogenes associated with SDs, particularly older ones

**Processed pseudogenes at SD junctions**



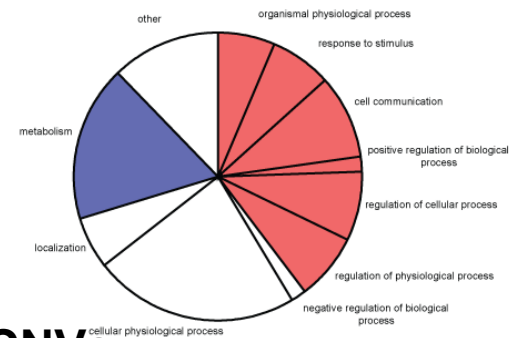
**Processed Pseudogenes:**  
serving as repeats for  
mediating NAHR



# Association of SDs & CNVs with pseudogenes

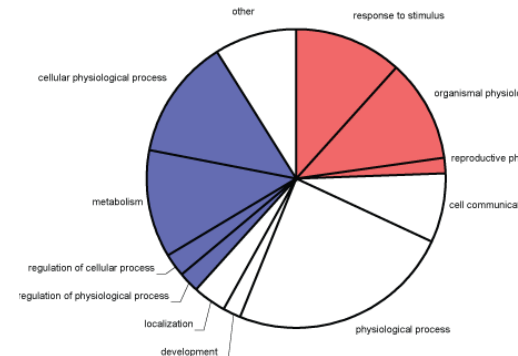
- CNVs & SDs tend to be enriched in environmental response genes, matching patterns found for duplicated pseudogenes

CNVs (gene copy-number variation)



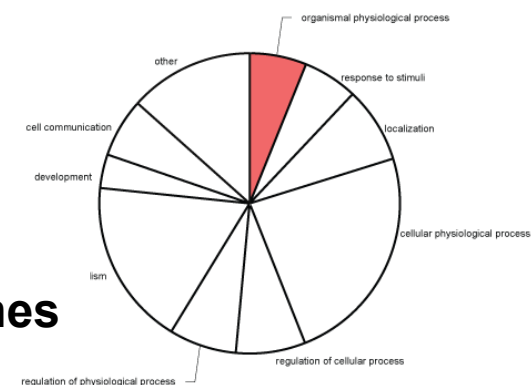
## Genes in CNVs

Successfully duplicated genes (SDs spanning entire genes)



## Genes in SDs

Unsuccessful duplicates (duplicated genes inactivated by disruption of coding sequence)



## Pseudogenes

**GO Categories:**  
**Environmental Response**  
**Metabolism**

[Korbel et al., COSB ('08)]

## Vast Amounts of Different Data Types to Integrate in pilot ENCODE

- Determining experimental signals for biochemical activity across each base of genome






- Large-scale sequence comparison in relation to the human genome

Feature Class	Expt. Tech.	Numb. Expt. Data Pts.
<b>Transcription</b>	Tiling array, Integrated annotation	<b>63,348,656</b>
<b>5' Ends of transcripts</b>	Tag sequencing	<b>864,964</b>
<b>Histone modifications</b>	Tiling array	<b>4,401,291</b>
<b>Chromatin structure</b>	QT-PCR, Tiling array	<b>15,318,324</b>
<b>Sequence-specific factors</b>	Tiling array, tag sequencing, Promoter assays	<b>324,846,018</b>
<b>Replication</b>	Tiling array	<b>14,735,740</b>
<b>Computational analysis</b>	Computational methods	NA
<b>Comparative sequence analysis</b>	Genomic sequencing, multi- sequence alignments, computational analyses	NA
<b>Polymorphisms</b>	Resequencing, copy number variation	NA





# Intersection of Pseudogenes with Transcriptional Evidence

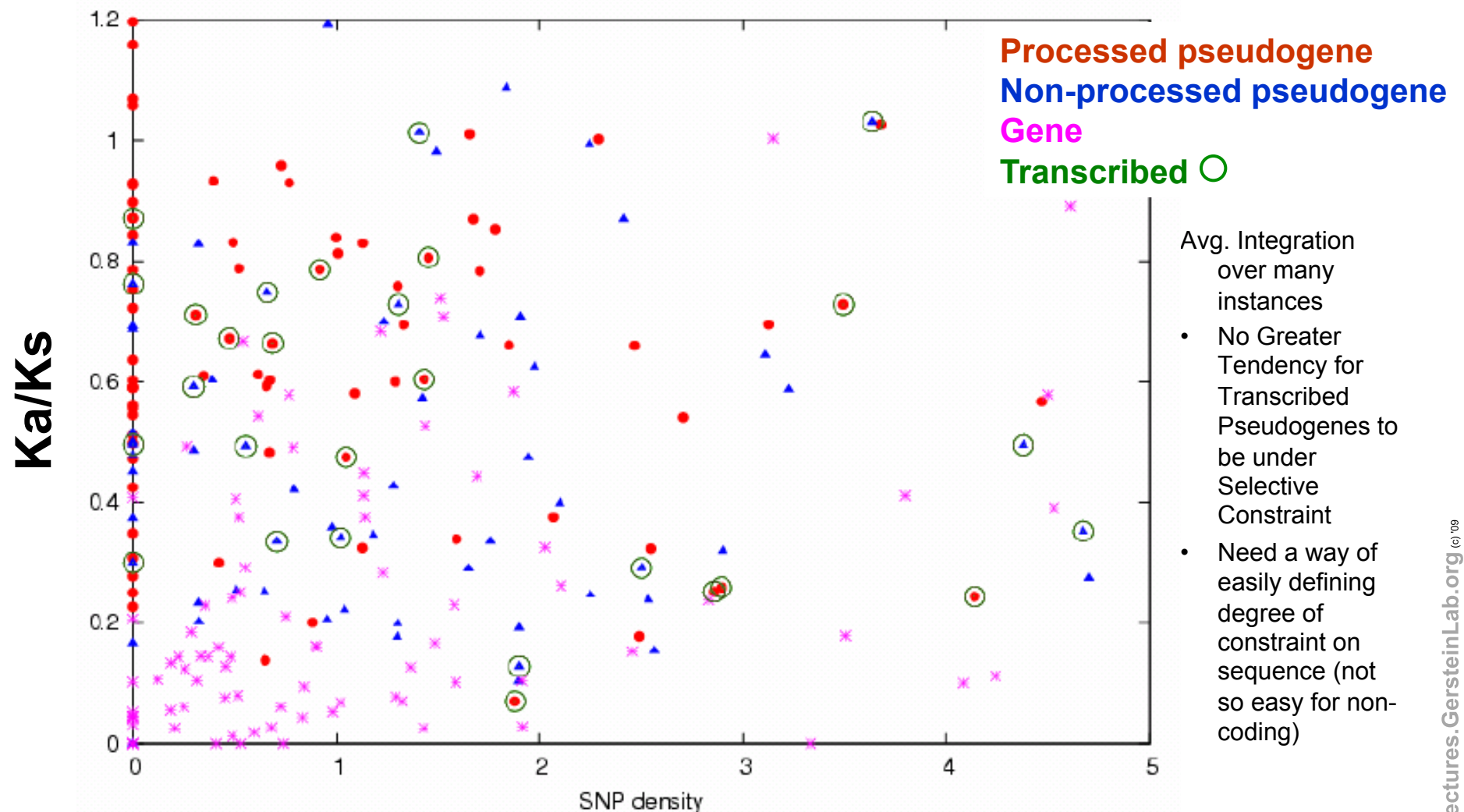
	TAR / transfrag	CAGE	DiTag	RACEfrag	EST / mRNA
TAR / transfrag	105 *	8	2	5	14
CAGE		8	1	0	1
DiTag			2	0	0
RACEfrag				<u>14</u>	5
EST / mRNA					21 

**Excluding TARs (due to cross-hyb issues)**

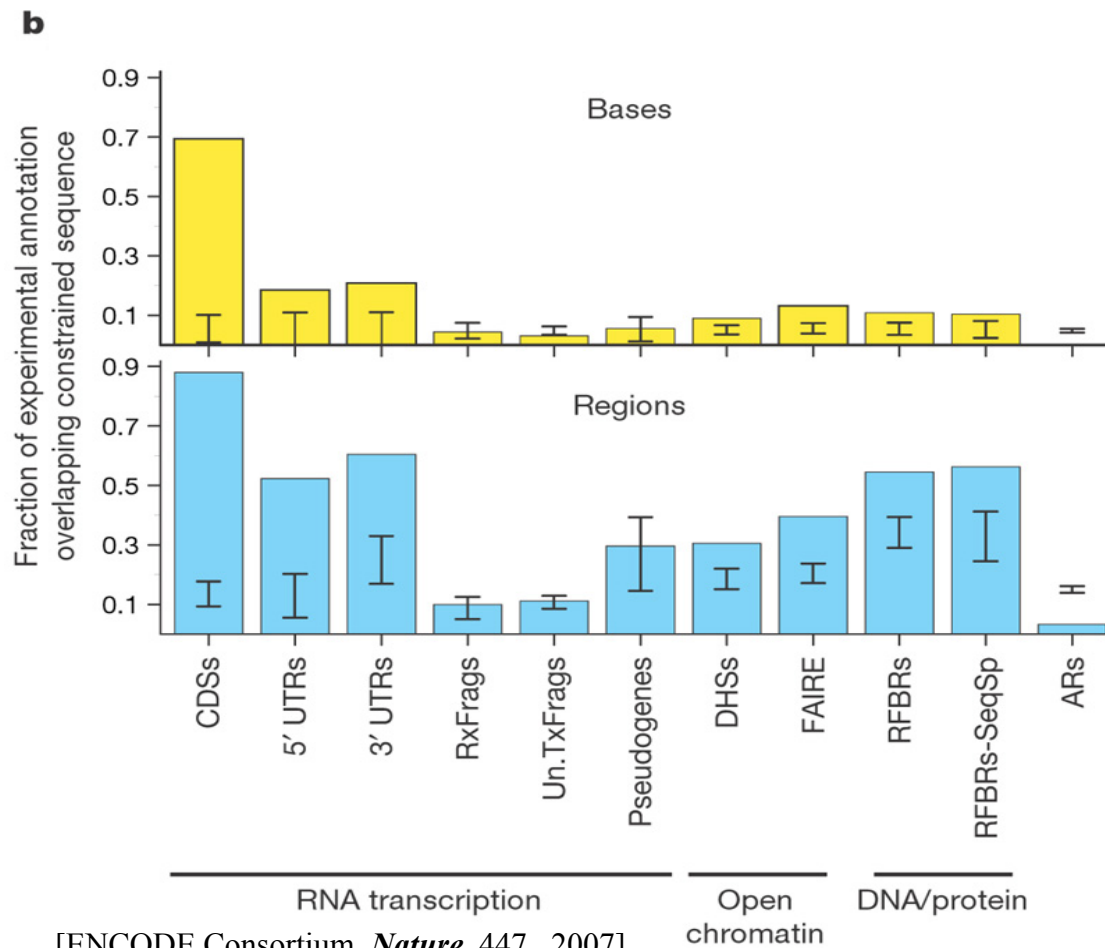
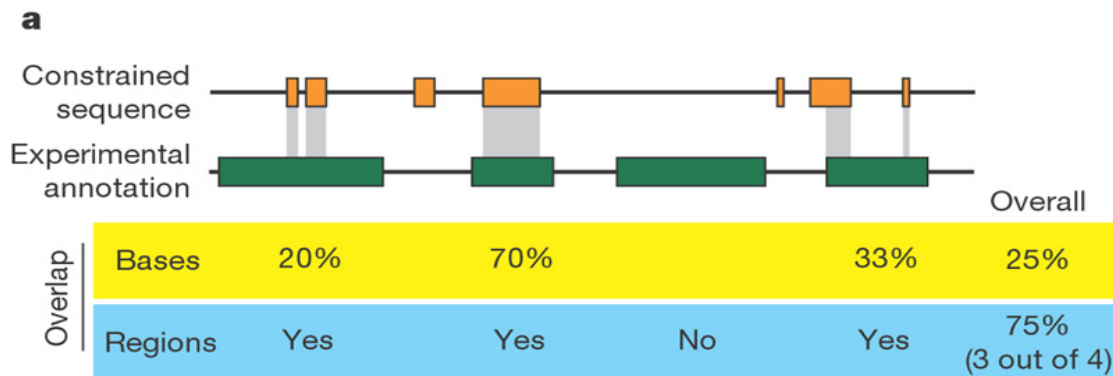
**Targeted RACE expts to 160 pseudogenes, gives 14**

**Total Evidence from Sequencing is 38 of 201 (with 5 having cryptic promoters)**

# Integrating Transcriptional Evidence with Gene Annotation and Sequence Constraints



Zheng et al. (2007) Gen. Res.

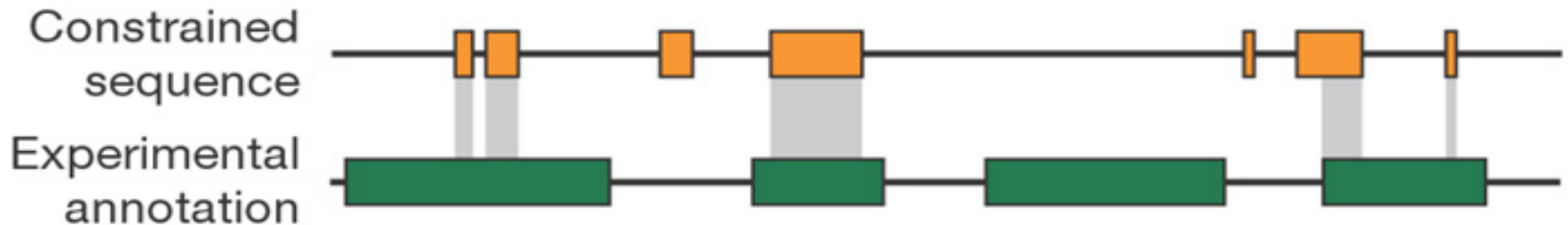


[ENCODE Consortium, *Nature* 447, 2007]

## Biochemically Active Regions Don't all Appear to be Under Constraint

- Integrating & averaging results over larger and larger sets
- Comparison of integrated quantities

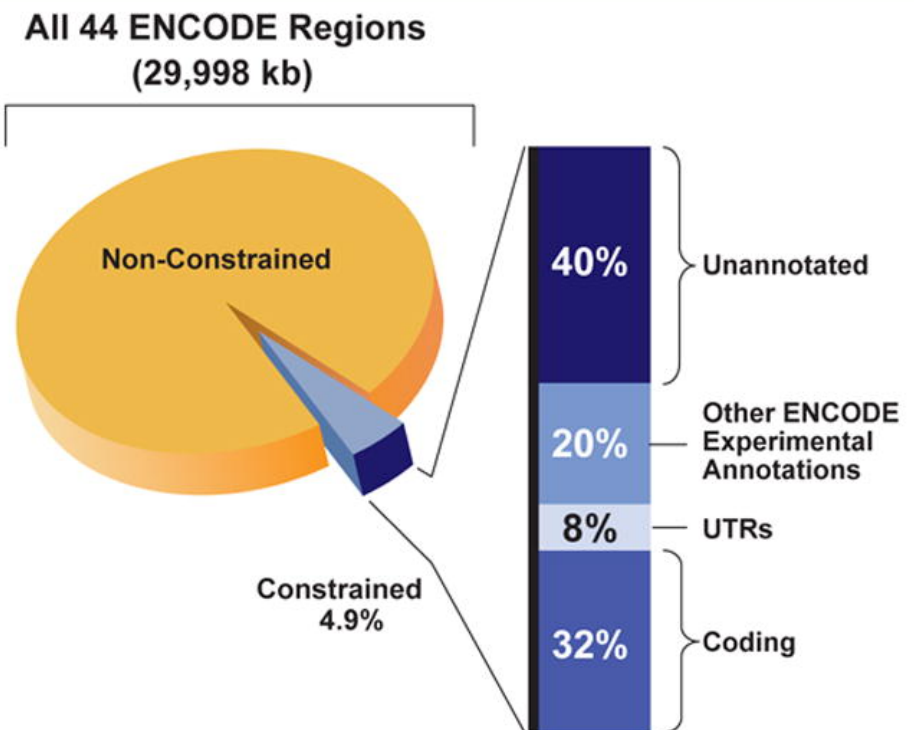
# Grand Summary: Biochemical Activity vs. Sequence Constraints



- Not all constrained sequence annotated in some fashion
- Exactly how things are defined in terms of overlap?

- "At the outset of the ENCODE Project, many believed that the broad collection of experimental data would nicely dovetail with the detailed evolutionary information derived from comparing multiple mammalian sequences to provide a neat 'dictionary' of conserved genomic elements, each with a growing annotation about their biochemical function(s). In one sense, this was achieved; the majority of constrained bases in the ENCODE regions are now associated with at least some experimentally-derived information about function.

However, we have also encountered a remarkable excess of unconstrained experimentally-identified functional elements, and these cannot be dismissed for technical reasons. This is perhaps the biggest surprise of the pilot phase of the ENCODE Project, and suggests that we take a more 'neutral' view of many of the functions conferred by the genome. "



[ENCODE Consortium, *Nature* 447, 2007]

**Conclusion:**  
**The distinction**  
**between gene and**  
**non-gene is**  
**becoming less**  
**clearcut**



# Potential for Gene Regulation via endo-siRNA



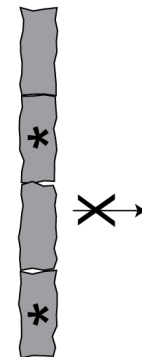
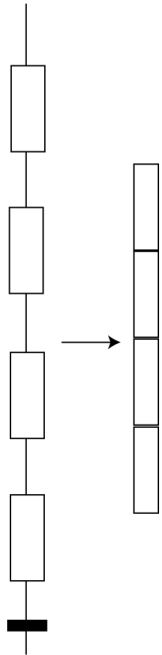
**[Sasidharan & Gerstein, Nature ('08)]**

# Genes & Pseudogenes

(a) Functional Gene

Ambiguous Cases

(b) Dead Pseudogene

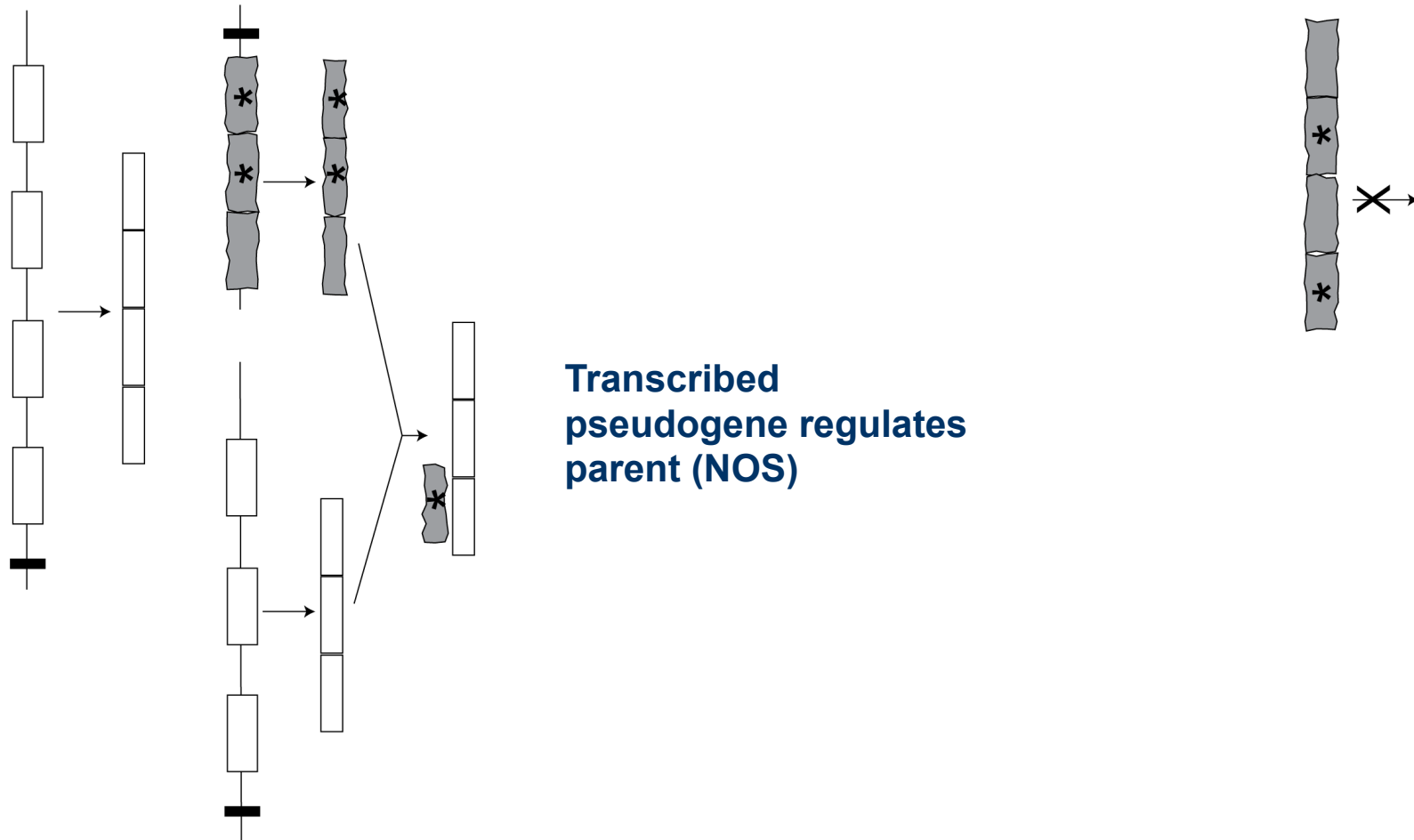


# Genes or Pseudogenes?

(a) Functional Gene

Ambiguous Cases

(b) Dead Pseudogene

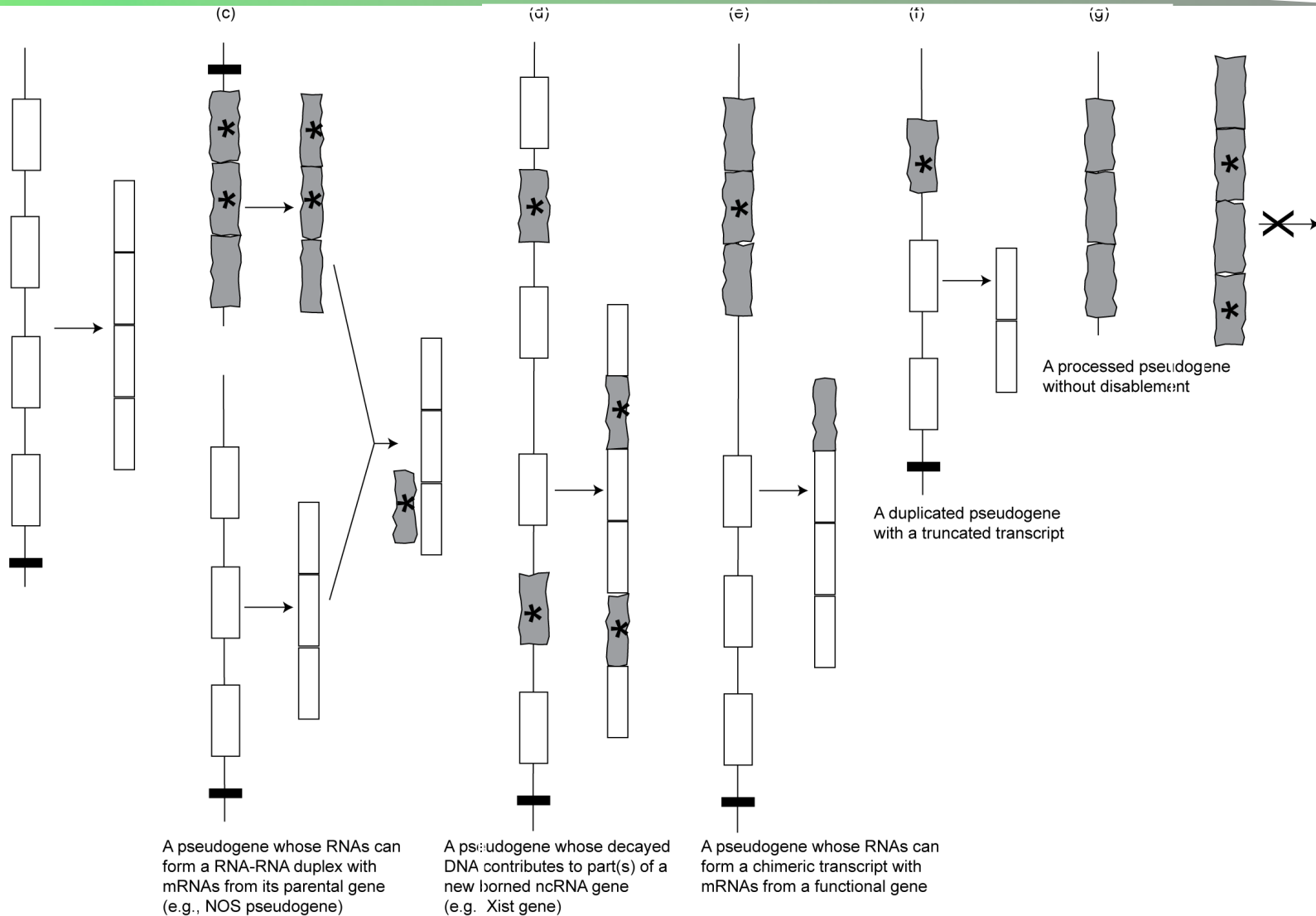


# Genes or Pseudogenes?

(a) Functional Gene

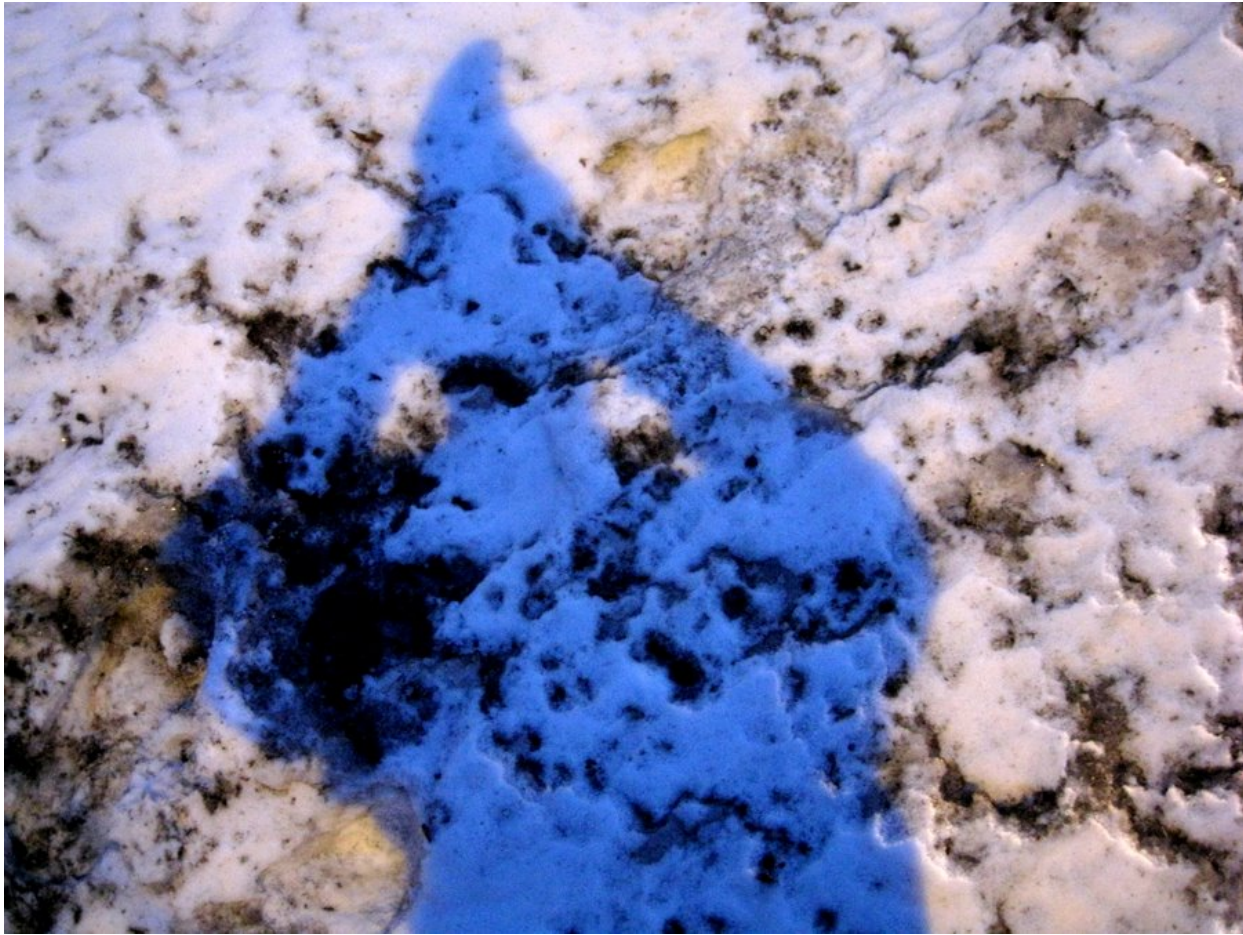
Ambiguous Cases

(b) Dead Pseudogene



# Summary:

## Looking Back Over the Talk





# Overview of the Process of Intergenic Annotation

- Basic Inputs
  1. Doing large-scale similarity comparison, looking for repeated or deleted regions
  2. Determining experimental signals for activity (e.g. transcription) across each base of genome
- Results of Analyzing Similarity Comparison
  - A. Finding repeated or deleted blocks
    1. As a function of similarity (age)
    2. vs. other organisms or vs. human reference
    3. Big and small blocks (duplicated regions and retrotransposed repeats)
- Results of Processing Raw Expt. Signals
  - a. Signal Processing: removing artifacts, normalizing, window averaging
  - a. Segmenting signal into larger "hits"
  - b. Clustering together active regions into even larger features at different length scales and classifying them
  - c. Integrating Annotations, Building networks and beyond....

# Outline



- Regulatory Sites
  - a. ChipSeq signal processing to call punctate "hits"
  - b. Clustering of hits into broader blocks and annotating them
- Variable Blocks in Genome (CNVs,SDs)
  - A/a. Calling them with various signal processing approaches (MSB, PEMer, ReSeqSim)
  - b. Grouping CNVs & SDs into larger features and inter-relating them
- Pseudogenes
  - A. Pattern-match tools for calling them
  - A. Focus on one group of pseudogenes
  - c. Integrating them with other annotations (transcription, regulation, CNVs, SDs)
- Future of Annotation
  - ◇ What is a "gene" post encode?

# PeakSeq + Biplots

- Segmenting the Raw "Signal" from Next-generation Sequencing into Usable Annotation Blocks (PeakSeq)
  - ◇ Scoring chip-seq expt relative to input control
  - ◇ Simulating chip-seq expt anticipates & allows correction for non-uniformity
- First-Pass Annotation Clustering and Characterizing Groups of Binding Sites (Biplots)
  - ◇ on ~50kb scale
  - ◇ Gives broad separation of seq. specific and non-specific factors and associated genomic bins



# Signal Processing #2: Identifying Structural Variants in Human Population

- BreakPtr
  - ◇ Model-based segmentation using bivariate HMM
- MSB
  - ◇ Mean-shift segmentation approach following grad. of PDF
  - ◇ Equally applied to aCGH and depth of coverage of short reads
- PEMer
  - ◇ Detecting Variants from discordantly placed paired-ends
  - ◇ Simulation to parameterize statistical model
- ReSeqSim
  - ◇ Efficiently simulating assembly of a representative variant
  - ◇ Shows that best reconstruction has a combination of long, med. and short reads

## **Analysis of Duplication in the Genome:** **SVs and SDs**

- Large-scale analysis of existing CNVs & SDs in human genome
- SDs assoc. with Alu, pseudogenes and older SDs
- CNVs assoc. other repeats (microsat.) and not as much with SDs
- Suggestion: Alu burst 40 MYA triggered much NAHR rearrangement, then dupl. feed on itself in hotspots but now dying down and NAHR assoc. with other repeats and CNVs also from NHEJ



# Annotating the Human Genome: Integrative Annotation of Pseudogenes in Relation to Conservation, Transcription, and Duplication

- Pseudogene Assignment Technology
  - ◇ Pipeline + DB
  - ◇ Ontology
  - ◇ Pseudofam analysis of Pseudogene Families
- Annotation of Human Genome
  - ◇ Pipeline draft (20K) + Hybrid Approach
- Glycolytic pseudogenes
  - ◇ Great variation in number, with GAPDH the largest
  - ◇ Synteny & dating shows most GAPDH ones are recent, resulting from retrotranspositional bursts
- Association with SDs
  - ◇ As expected, duplicated pseudogenes associated with SDs and processed pseudogenes like Alus are near SD junctions
- Pseudogene Activity
  - ◇ >20% appear to be transcribed (38/201)
  - ◇ No obvious selection on transcribed ones

# Consortia Acknowledgements

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GenomeTECH.gersteinlab.org  
Pseudogene.org



# More Information on this Talk

**SUBJECT:** GenomeTechAnnote

**DESCRIPTION:**

Structural Studies, LMB, Cambridge, UK, 2009.12.01, 10:15-11:15;  
[I:**LMB**] (Long GenomeTechAnnote talk, building on [I:**UCSC**] .)

(Works equally well on mac or PC. Paper references in the talk were mostly from Papers.GersteinLab.org. The above topic list can be easily cross-referenced against this website. Each topic abbrev. which is starred is actually a papers "ID" on the site. For instance, the topic **pubnet\*** can be looked up at <http://papers.gersteinlab.org/papers/pubnet> )

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